

# QCD parameters from the lattice: the $\overline{\text{ALPHA}}$ Collaboration method

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## Overview:

- perturbative: PQCD
- PT = asymptotic expansion only
- Lattice: LQCD
- establish connection:  $\text{PQCD}=\text{LQCD}$
- Schrödinger Functional, step scaling method
- $\Lambda$  parameter, RGI masses, a few results

# QCD: PT in a nutshell

bare QCD Lagrangian:  $\mathcal{L}_{\text{QCD}} \equiv \mathcal{L}(A_\mu, q, \bar{q}; g_0, m_{0,f})$

- bare coupling  $g_0$ , bare quark masses  $m_{0,f}$ ,  $f = d,u,s,c,b,t$  [ $N_f + 1$  param.]
- PT:  $\mathcal{L} \rightarrow$  algorithm to generate Feynman rules
- $D = 4 - 2\varepsilon$ ,  $g_0^2 = g_{\overline{\text{MS}}}^2 \mu^{2\varepsilon} Z_g$ ,  $m_{0,f} = m_{\overline{\text{MS}},f} Z_m$
- renormalization: eliminate  $g_0, m_{0,f}$ , send  $\varepsilon \rightarrow 0$
- PT physical predictions in terms of  $\mu, g_{\overline{\text{MS}}}, m_{\overline{\text{MS}},f}$  [ $N_f + 2$  param.]
- RG redundancy: there are  $(\delta\mu, \delta g_{\overline{\text{MS}}}, \delta m_{\overline{\text{MS}},f})$  with unchanged physics  $\Rightarrow$  running  $\bar{g}(\mu), \bar{m}_f(\mu)$ , solutions of

$$\mu \frac{\partial}{\partial \mu} \bar{g} = \beta(\bar{g}), \quad \mu \frac{\partial}{\partial \mu} \bar{m}_f = \tau(\bar{g}) \bar{m}_f \quad [\text{all } \overline{\text{MS}}]$$

- PT results: truncated series in  $\bar{g}(\mu)$ , best approximation with  $\mu \sim$  physics

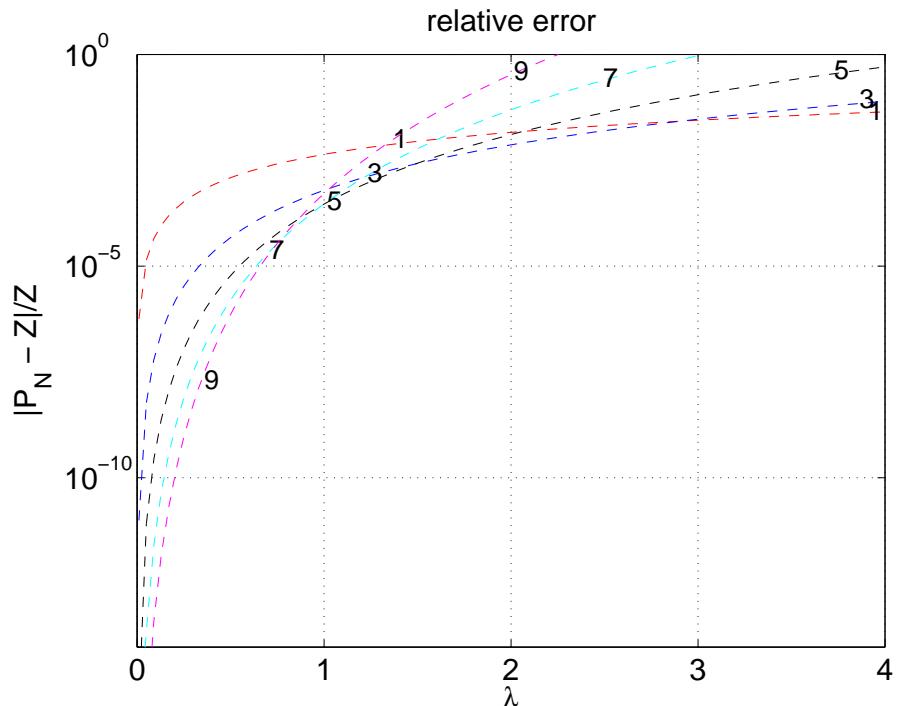
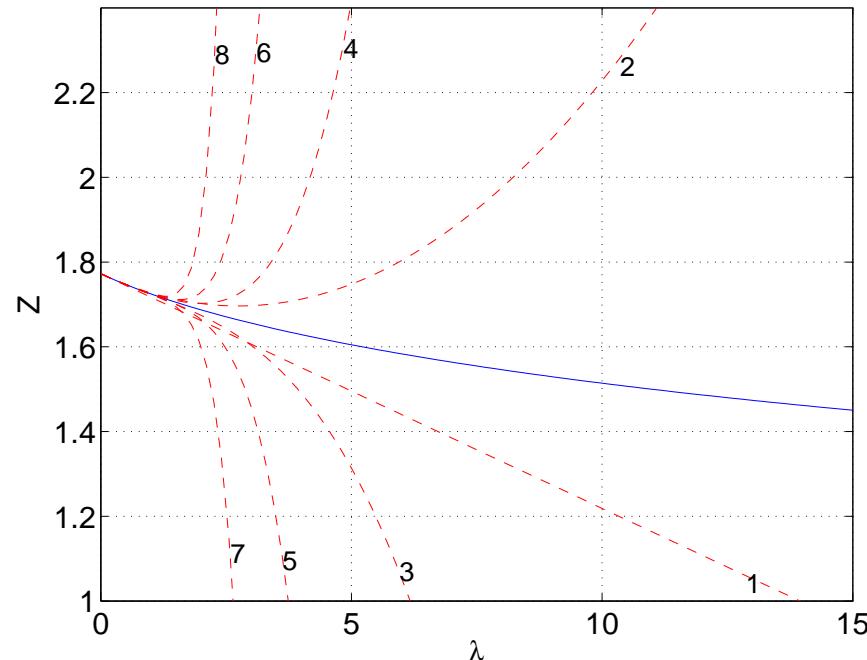
# What is PT?

'convergence'? → asymptotic series

toy example:  $Z(\lambda) = \int_{-\infty}^{\infty} d\phi e^{-\phi^2 - \frac{\lambda}{4!}\phi^4} = \sqrt{6/\lambda} e^{3/\lambda} K_{1/4}(3/\lambda)$

$$c_n = \frac{1}{n!} \frac{d^n}{d\lambda^n} Z(\lambda)|_{\lambda=0} = \frac{\Gamma(2n+1/2)}{\Gamma(n+1)},$$

$$\left| Z(\lambda) - \sum_{n=0}^N c_n \lambda^n \right| \propto \lambda^{N+1} \quad \text{as} \quad \lambda \searrow 0$$



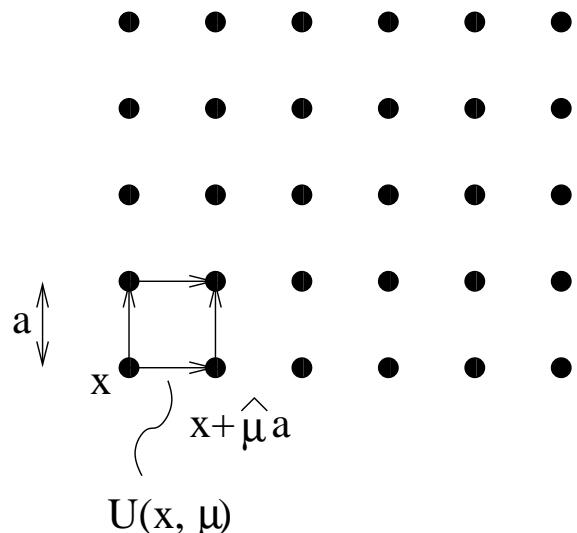
regions in  $\lambda$ :  $I = [0, 1] \{PT\}, \quad II = [1, 5] \{PP\}, \quad III = [5, \infty] \{NP\},$

# Why Lattice QCD?

- to conceptually define **without** PT what is approximated **by** PT
- to allow computations beyond PT (in II, III, usually MC simulations)

$\Rightarrow$  the path integrals of (Euclidean) QFT are approximated by sequences of well-defined finite-dimensional compact integrations ( $SU(3)+\text{Grassmann}$ ). requires:

- finite space-time **resolution**: lattice spacing  $a > 0$  [fm]
- finite space-time **volume**  $L^4$ ,  $L < \infty$  [fm]
- then:  $\#\text{dof} = (L/a)^4 \times [\text{color, flavor, spin}] = \text{finite}$



$$\int d^4x (\partial_\mu \varphi)^2 \rightarrow a^4 \sum_{x,\mu} \frac{1}{a^2} [\varphi(x + a\hat{\mu}) - \varphi(x)]^2,$$

$$\int d^4x F_{\mu\nu}^2 \rightarrow a^4 \sum_{x,\mu<\nu} \text{(plaquette terms)}, \text{ etc.}$$

criteria:

- naive (classical) continuum limit
- **renormalizability**: complete set of local terms up to dimension 4 compatible with the **(lattice) symmetries**

# Scales in (L)QCD

- dimensionless bare input parameters ('control knobs'):  $g_0, am_{0,f}, L/a$
- 'traditional' simulations: baryon masses, matrix elements (**same order** GeV, extracted from correlations) → tune knobs such that:
  - continuum limit:  $a M_{\text{had}} \ll 1, a F_{\pi,K} \ll 1, \dots$  **simultaneously**
  - arrange  $L m_\pi \gtrsim 4$  and tune  $N_f$  inputs → rest: predictions
  - regularization scales ( $a, L$ ) remote from physical scales
  - limit (continuum):  $g_0, am_{0,f}$  assume **critical values**,  $L/a \rightarrow \infty$
  - [in practice (2011):  $\ll 1 \leftrightarrow < 1/3, L/a \lesssim 100, a > 0.05 \text{ fm}$ ]
  - $M'_{\text{had}}/M_{\text{had}}$  etc. become independent of the lattice = **have been NP computed**
  - systematic errors ('untaken' limits):  $O(a^2 M_{\text{had}}^2)$  and  $\exp(-c L M_{\text{had}})$
- continuum limit works at **finite**  $L M_{\text{had}}$  (even small, 'Femto' universe)  
⇒ universal results which depend on (e.g. periodic) boundary conditions  
 $L^{-1}$  somewhat like an external momentum probe
- for  $L M_{\text{had}} \ll 1$  we may use PT [ $\bar{g} \propto -1/\ln(L\Lambda)$ ] again (lattice or other)

# LQCD=PQCD=QCD

PQCD and LQCD almost look like separate theories, but in principle we are able to compute ‘connecting’ dimensionless numbers:

$$\bar{g}(\mu), \frac{\bar{m}_f(\mu)}{F_K} \quad \text{at} \quad \mu = 100F_K$$

Problems with this:

- NP calculation of PT parameters
- multiscale:  $\text{UV} \rightarrow a^{-1} \gg \mu \gg F_K \gg L^{-1} \leftarrow \text{IR}$        $[L/a \lesssim 100]$

Solution of the ALPHA collaboration:

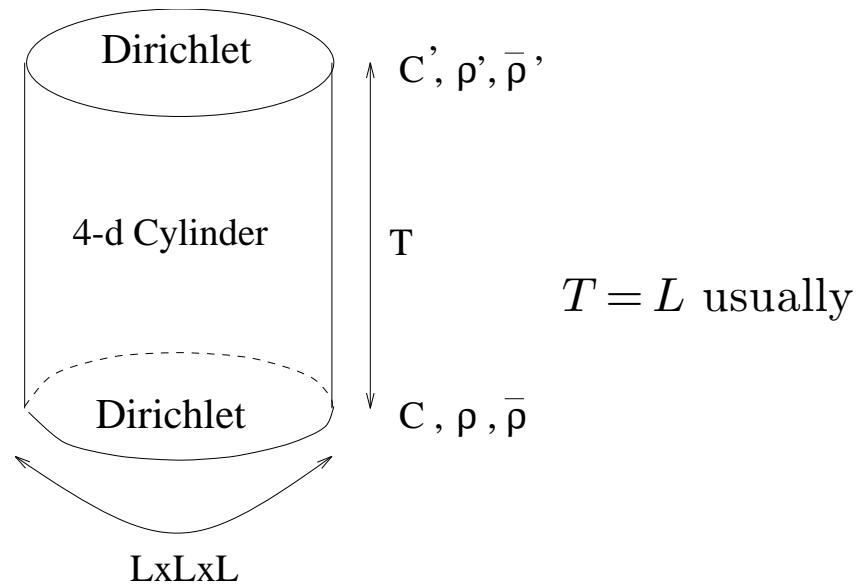
- intermediate use of a Femto universe with  $\mu = L^{-1}$  to:
- define an NP scheme with  $\bar{g}_F(L)$  and  $\bar{m}_{F,f}(L)$  [before:  $\bar{g} \equiv \bar{g}_{\overline{\text{MS}}}, \dots$ ]
- NP-compute evolution with  $L$  [ $\rightarrow \beta_F(\cdot), \tau_F(\cdot)$ ] at arbitrary  $L^{-1}$
- verify agreement with PT for  $L^{-1} \gg 1 \text{ GeV}$
- evolve from  $\bar{g}_F(L = F_K^{-1})$  up to  $\bar{g}_F(L_{\min}), L_{\min}^{-1} \gg 1 \text{ GeV}$
- convert by PT (controlled, region I)  $\bar{g}_F(L_{\min})$  to  $g_{\overline{\text{MS}}}(L_{\min}^{-1})$
- problem solved (take  $F_K L_{\min} = 0.01$ ), no more reference to finite volume

# Schrödinger Functional (SF) scheme

$$\langle C', \rho', \bar{\rho}' | e^{-T \hat{H}} P_g | C, \rho, \bar{\rho} \rangle$$

$$= \int_{\text{Dirichlet b.c.}} D[\text{fields}] e^{-S}$$

$$= e^{-\Gamma}$$



$e^{-a\hat{H}}$

: transfer matrix

$P_g$

: projector on gauge invariant states

$|C, \rho, \bar{\rho}\rangle$

: field diagonal quantum state

$C, C'$

: spatial gauge fields (constant diagonal, parameter  $\eta$ )

$\rho', \bar{\rho}', \rho, \bar{\rho}$

: boundary quark fields (Grassmann source)

$$\frac{\partial \Gamma}{\partial \eta} = \frac{k}{\bar{g}_{\text{SF}}^2(L)}, \quad k \leftrightarrow \bar{g}_{\text{SF}}^2 = g_0^2 + \dots$$

$\Leftrightarrow$  response in free energy to deforming b.c.  $\leftrightarrow$  Casimir effect

design criteria were:

- good signal in Monte Carlo
- manageable PT (2 loops) to connect to  $\overline{\text{MS}}$  scheme
- small cutoff effects,  $O(a)$  can be canceled (Symanzik improvement)
- IR finite at finite  $L, T$ , thus  $\bar{m}_f = 0$  possible  $\rightarrow$
- massless scheme [Wilson lattice fermions:  $a m_{0,f} = a m_c(g_0)$ ]

## Step scaling function

like  $\beta$  function, but  $\mu = L^{-1} \rightarrow \mu/2$  instead of  $\mu \rightarrow \mu + \delta\mu$

$$\Sigma(u, a/L) = \bar{g}_{\text{SF}}^2(2L)|_{\bar{g}_{\text{SF}}^2(L)=u}$$

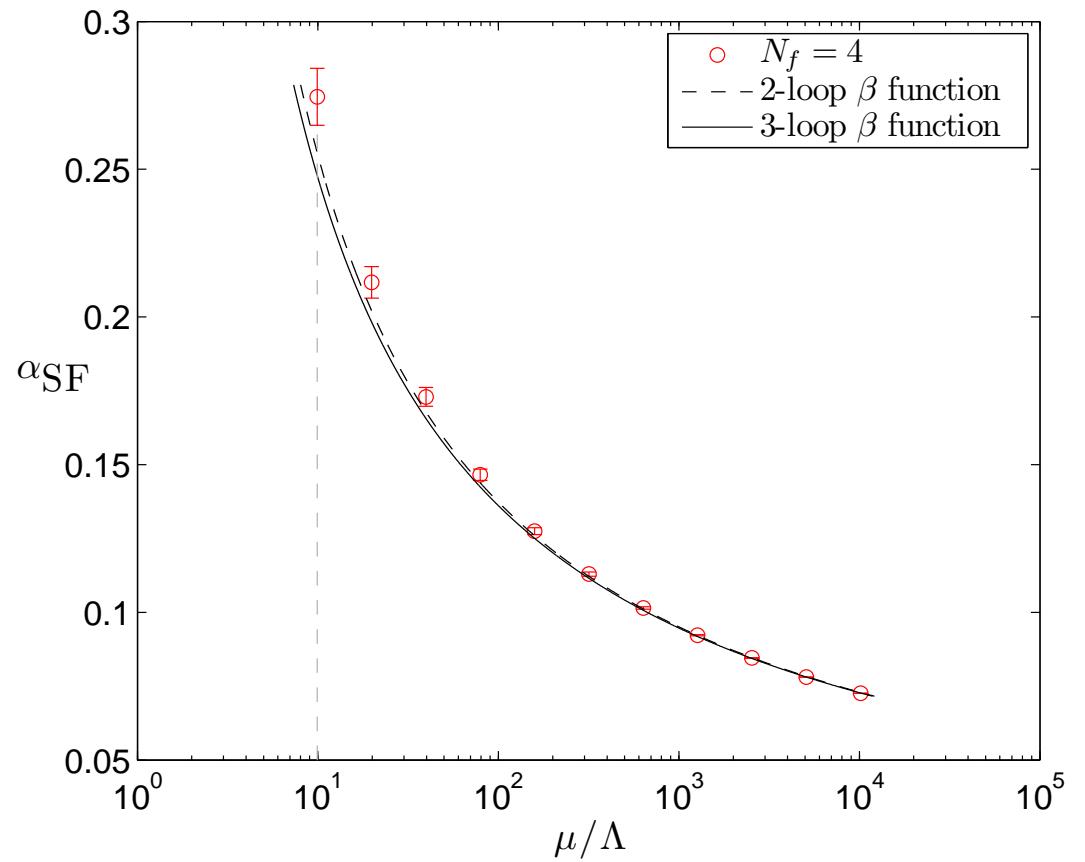
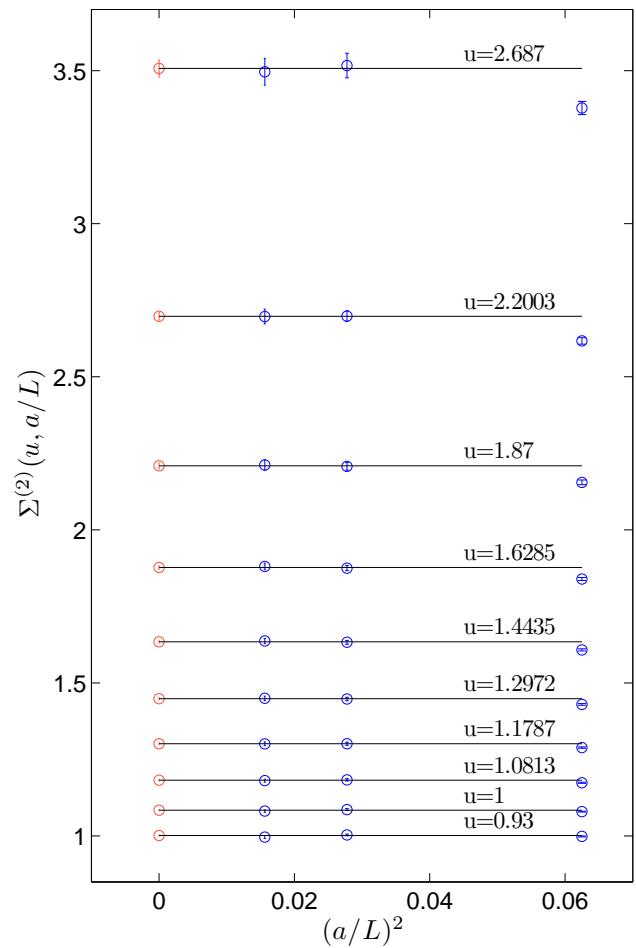
operationally [in principle..]:

- choose  $L/a$ , set  $m_{0,f} = m_c(g_0)$  [predetermined]
- tune  $g_0$  to achieve  $\bar{g}_{\text{SF}}^2(L) = u$ , then  $L/a \rightarrow 2L/a$ , return  $\Sigma = \bar{g}_{\text{SF}}^2(2L)$
- repeat for a number of  $L/a = 6, 8, 10, \dots$  to take the continuum limit

$$\sigma(u) = \lim_{a/L \rightarrow 0} \Sigma(u, a/L) \quad \Rightarrow -\frac{1}{2} \int_u^{\sigma(u)} \frac{dx}{\sqrt{x} \beta_{\text{SF}}(\sqrt{x})} = \ln 2$$

- scales:  $a \ll L, 2L$  only

# Some recent results



PhD, Fatih Tekin, 2010,  $\alpha_{SF} = g_{MS}^2 / 4\pi$

## Λ parameter and RGI masses

$$\mu \frac{\partial}{\partial \mu} \bar{g} = \beta(\bar{g})$$

$$\rightarrow \Lambda = \mu (b_0 \bar{g}^2)^{-b_1/2b_0^2} e^{-1/2b_0 \bar{g}^2} \exp \left\{ - \int_0^{\bar{g}} dx \left[ \frac{1}{\beta(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\} \quad [\bar{g} \equiv \bar{g}(\mu)]$$

- $d\Lambda/d\mu = 0$  due to RG eq.
- $\Lambda$  is the integration constant and selects a definite trajectory  $\bar{g}(\mu)$
- for large  $\mu$  a PT approximation of  $\beta$  is sufficient
- knowledge: large  $\mu$  asymptotics of  $\bar{g}(\mu) \Leftrightarrow \Lambda$  [given  $\beta_{\text{loop}}$ ]
- $\Lambda_{\text{scheme 1}}/\Lambda_{\text{scheme 2}}$  exact in 1-loop PT (compute for  $\mu \rightarrow \infty$ )

From  $\mu \frac{\partial}{\partial \mu} \bar{m}_f = \tau(\bar{g}) \bar{m}_f$  by a similar construction  $\rightarrow M_f^{\text{RGI}}$  (scheme independent)

$$M_f^{\text{RGI}} = \bar{m}_f (2b_0 \bar{g}^2)^{-d_0/2b_0} \exp \left\{ - \int_0^{\bar{g}} dx \left[ \frac{\tau(x)}{\beta(x)} - \frac{d_0}{b_0 x} \right] \right\} \quad [\bar{g} \equiv \bar{g}(\mu), \bar{m} \equiv \bar{m}(\mu)]$$

# Recursive computation of $\Lambda_{\text{QCD}}$

- compute SSF  $\sigma(u)$  [at  $N_f = (0, 2), 4$ ] for a set  $\{u_i\}$  at a certain error level
- interpolate  $\sigma$  for an interval  $u \in [0, u_{\max}]$  with negligible extra error

Start by defining a **scale**  $L_{\max}$  in the **hadronic** range [ $L_{\max} = \mathcal{O}(F_K^{-1})$ ] by

$$\bar{g}_{\text{SF}}^2(L_{\max}) = u_{\max} \quad (\text{example: } u_{\max} = 3.45, N_f = 4)$$

- compute  $\bar{g}_{\text{SF}}^2(L_k)$ ,  $L_k = 2^{-k}L_{\max}$  by using  $\sigma$  [this is in the continuum!]
- at  $k \sim 10$  the running of  $\bar{g}_{\text{SF}}^2(L_k)$  is **demonstrably PT**
- compute  $\Lambda_{\text{SF}}$  from  $\bar{g}_{\text{SF}}^2(L_{10})$  by PT [in terms of  $2^{10}L_{\max}^{-1}$ !]
- convert  $\Lambda_{\text{SF}}$  to  $\Lambda_{\overline{\text{MS}}}$
- error analysis: propagate the errors of the sampling points (Stützstellen) of  $\sigma$  through the **complete** procedure

Finish by computing ('scale setting', trade  $L_{\max} \rightarrow F_K$ ):

- $F_K L_{\max}$  = dimensionless universal number
- sequence of lattice(s) with:  $a \searrow 0$ , principle operational sequence of steps:
  - pick  $L/a = 8$ , tune  $g_0$  such that  $\bar{g}_{\text{SF}} = 3.45$  with  $m_{0,f} = m_c(g_0)$
  - thus here:  $L_{\max} = 8a$
  - **same<sup>1</sup>**  $g_0$  (hence  $a$ ), choose  $L'/a$  larger (40?) **and**  
fix  $a m_{0,f}$  by  $m_{\text{meson},f}/F_K = \text{experiment}$  (physical quark masses)
  - output:  $a F_K$  (on  $L' \approx \infty$ ) and thus  $F_K L_{\max} = (a F_K) (\underbrace{L_{\max}/a}_{=8})$
  - verify  $L' F_K$ ,  $L' M_{\text{had}}$  was large enough
  - extrapolate this:  $L/a = 8, 12, 12, 16, \dots, \infty$
  - scales:  $a \ll F_K^{-1}, L_{\max} \ll L'$

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1. simplified, Symanzik improvement:  $g_0 \rightarrow \tilde{g}_0$

## Numerical example $\Lambda_{\text{SF}} L_{\max}$ @ $N_f = 4$

[Data: Rainer Sommer,  $\alpha_s$  workshop, Munich 2011]

$\implies \bar{g}_{\text{SF}}^2(L_{\max}) = u_{\max} = 3.45$  defines  $L_{\max}$

Fit I (global)

Fit II ( $8 \rightarrow 16$  only)

$i$	$u_i = \bar{g}_{\text{SF}}^2$	$\ln(\Lambda L_{\max})_{\text{3loop}}$	$u_i = \bar{g}_{\text{SF}}^2$	$\ln(\Lambda L_{\max})_{\text{3loop}}$
0	3.45	- 2.028	3.45	- 2.028
1	2.666(46)	- 2.066(56)	2.660(21)	- 2.073(26)
2	2.179(45)	- 2.105(83)	2.173(20)	- 2.116(37)
3	1.847(37)	- 2.141(97)	1.842(17)	- 2.153(44)
4	1.606(30)	- 2.17(10)	1.602(14)	- 2.185(50)
5	1.422(25)	- 2.20(11)	1.419(13)	- 2.213(56)
6	1.278(20)	- 2.23(11)	1.275(11)	- 2.238(63)
7	1.161(17)	- 2.25(12)	1.159(10)	- 2.259(70)
8	1.064(15)	- 2.27(12)	1.0626(95)	- 2.278(76)
9	0.982(13)	- 2.29(12)	<b>0.9815(87)</b>	<b>- 2.294(83)</b>
10	0.913(11)	- 2.30(12)	0.9122(81)	- 2.309(89)

Scale setting: so far only in terms of  $r_0$  and for  $N_f = 2$  ( $\rightarrow$  future plans)

(also from Rainer) Status of  $r_0 \Lambda_{\overline{\text{MS}}}$ ,  $r_0 = 0.475$  (?)fm

$N_f =$	0	2	4	5
ALPHA	0.60(5)	0.73(3)(5)	0.XX(5)*	
Bethke			0.71(3)	0.52(2)
Blümlein et al.			0.54(8)	

\* in a few years

Rainers master formula:

$$\frac{\Lambda_{\overline{\text{MS}}}^{(5)}}{F_K} = \underbrace{\frac{1}{F_K L_{\max}}}_{\text{NP}} \times \underbrace{\frac{L_{\max}}{L_k}}_{= 2^k [\leftrightarrow \bar{g}_{\text{SF}}(L_k)]} \times \Lambda_{\text{SF}}^{(4)} L_k \times \underbrace{\frac{\Lambda_{\overline{\text{MS}}}^{(4)}}{\Lambda_{\text{SF}}^{(4)}} \times \frac{\Lambda_{\overline{\text{MS}}}^{(5)}}{\Lambda_{\overline{\text{MS}}}^{(4)}}}_{\text{PT}}$$

## Conclusions

- arduous long term project (worthwhile? ... up to you to judge)
- stream of technical spin-offs [finite size schemes, NP renormalization, NP improvement, finite lattice PT (A3!), MC technology, ...] has influenced the field
- recent application: conformal window, walking technicolor
- short cut approaches (single lattice,  $a^{-1}$  as PT scale) have less systematic error control
- method extends to quark masses (# for  $r_0 M_s^{\text{RGI}}$  at  $N_f = 2$  under revision)

## People

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