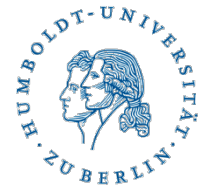


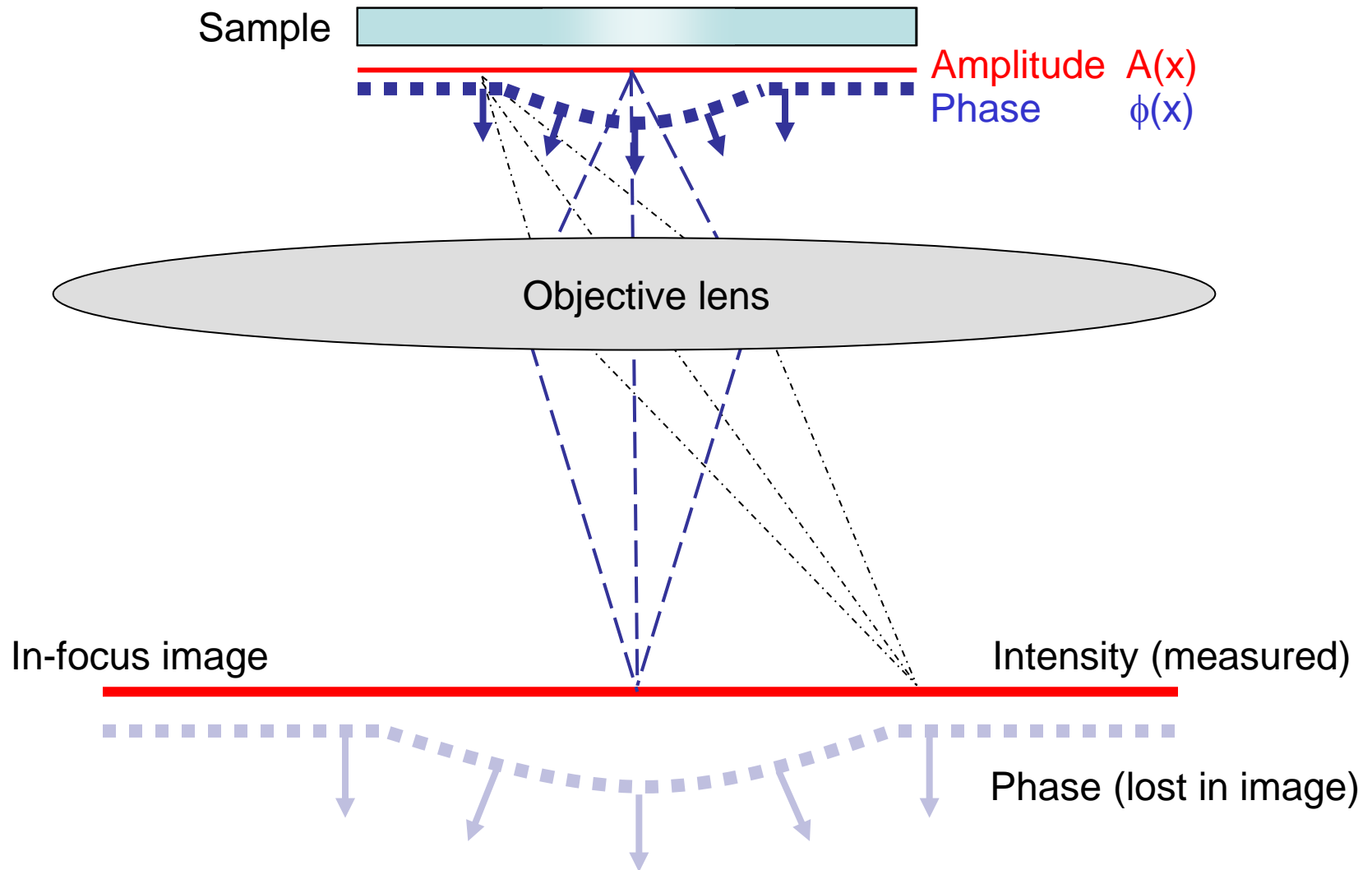
Inline and hybrid electron holography for  
mapping charges, potentials, and strain

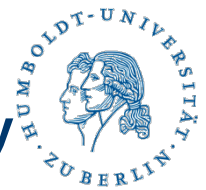


# Outline

- Introduction: What is inline holography?
- Applications: HRTEM Aberration compensation & Strain Mapping
- Recovering low spatial frequencies by
  - Hybrid holography
  - Gradient-flipping inline holography
- Introducing the full-resolution wave reconstruction (FRWR) code
- Data Acquisition at the TEM

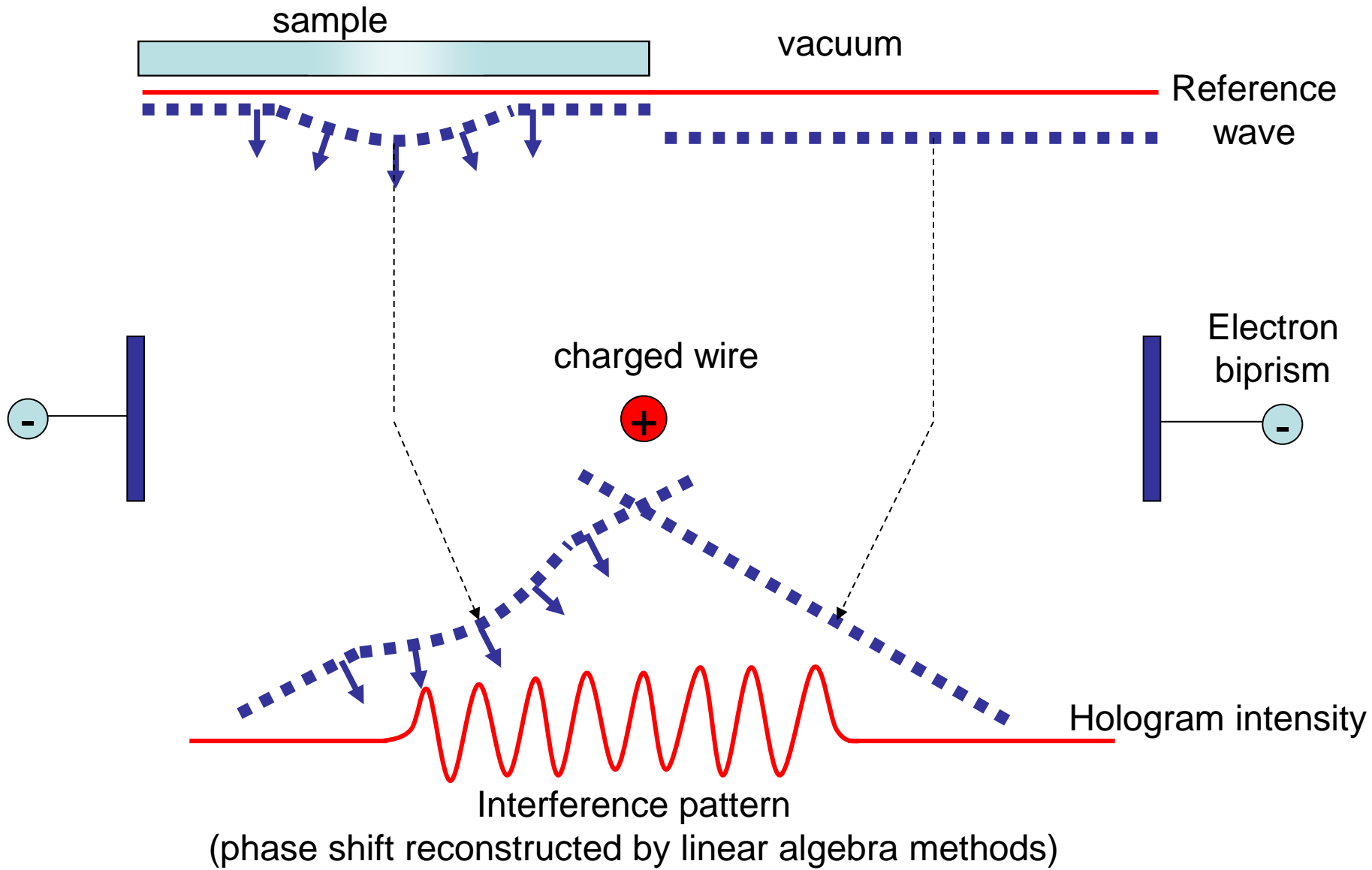
# The Phase Problem in Imaging





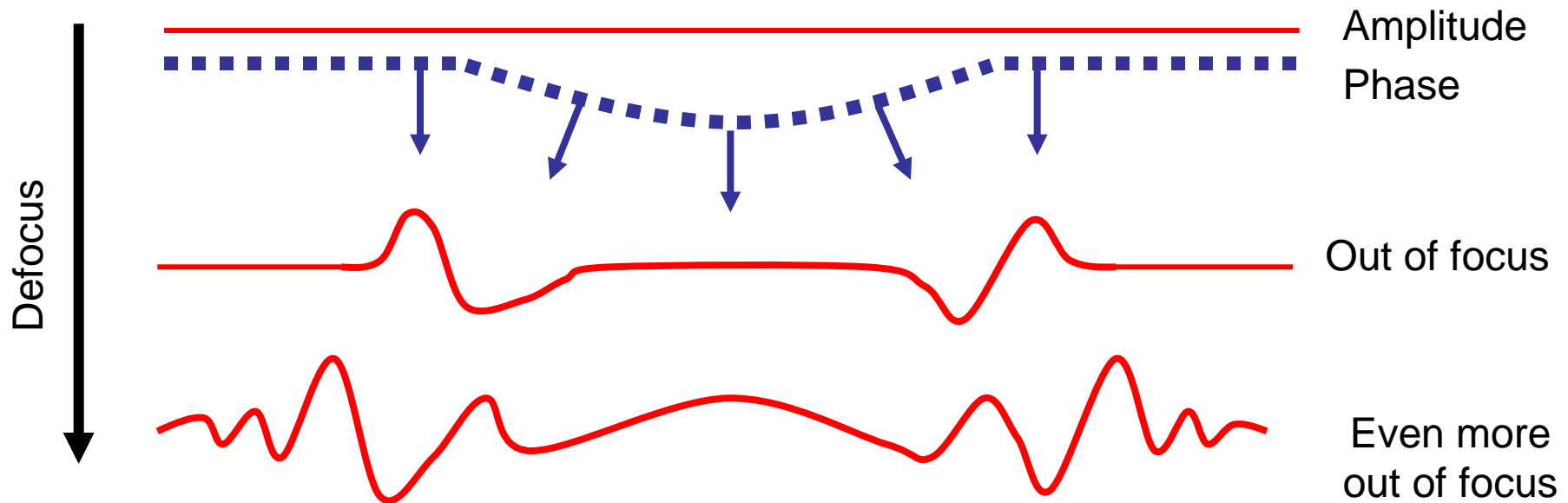
# Holography in the TEM: a) Off-axis Electron Holography

*Pioneered by Gottfried Möllenstedt in Tübingen*





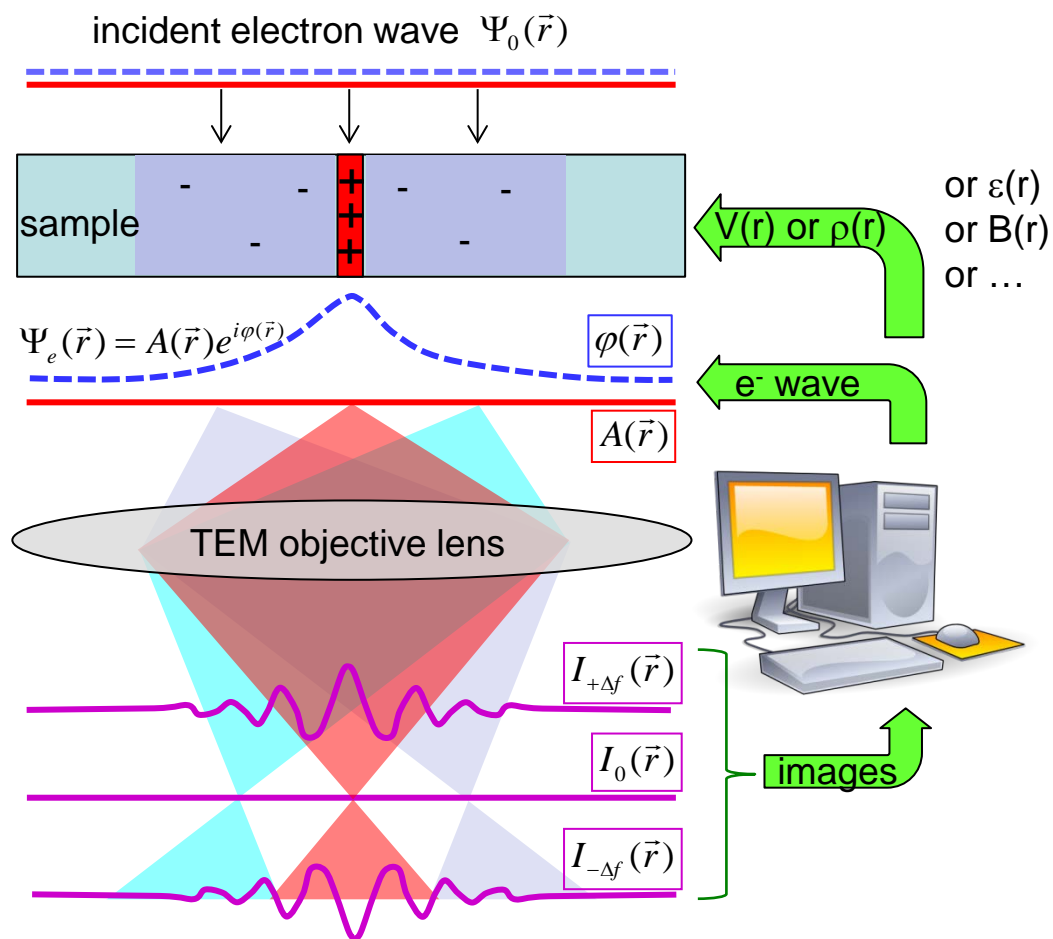
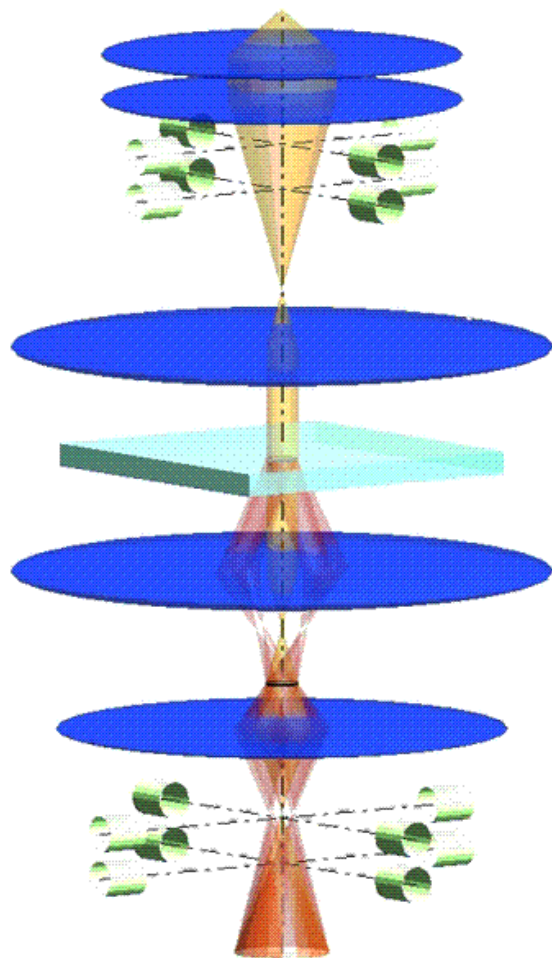
## Holography in the TEM: b) Inline Electron Holography

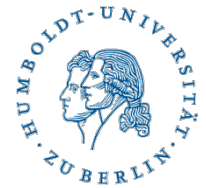


Shallow water on a sunny day

Variations in projected mass thickness => Fresnel fringes

# How Inline Holography Works in the TEM

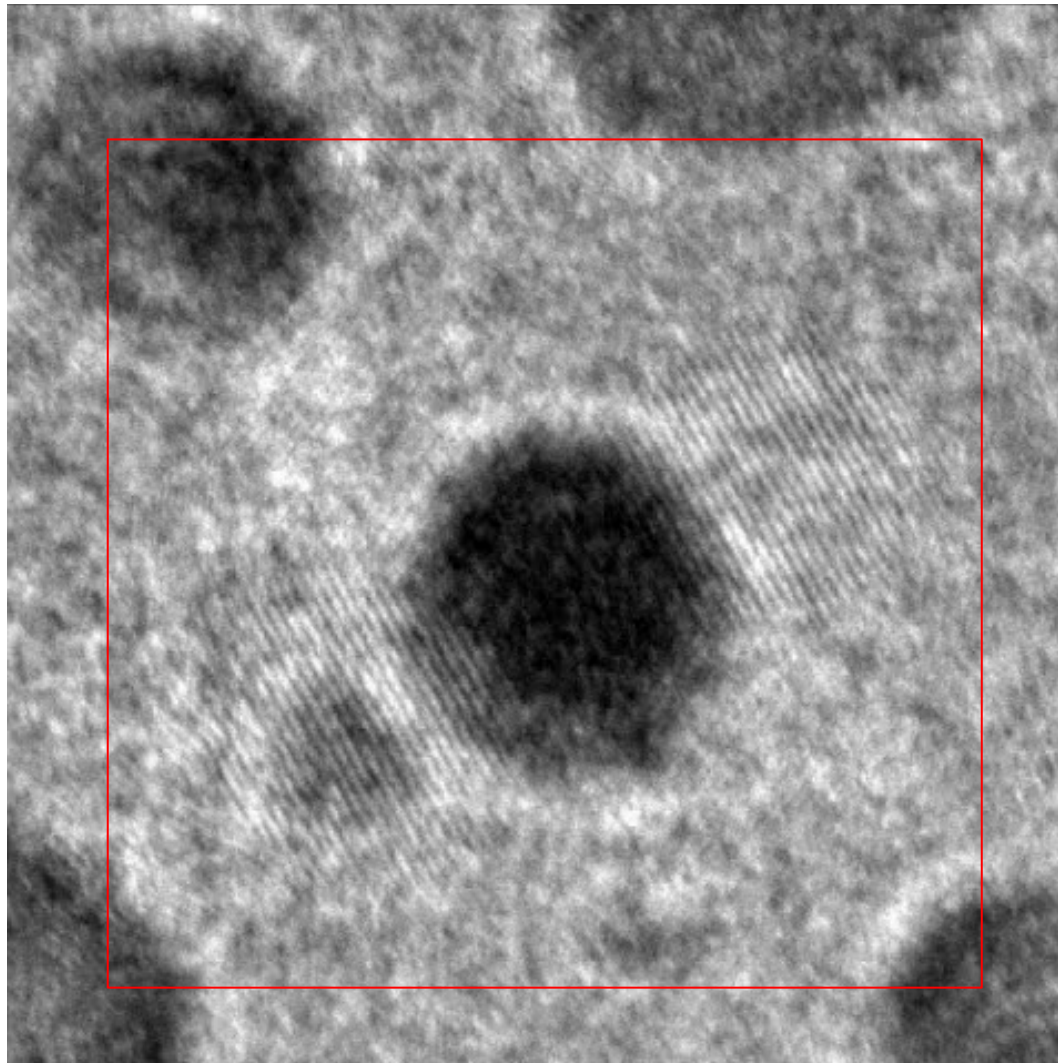




# Outline

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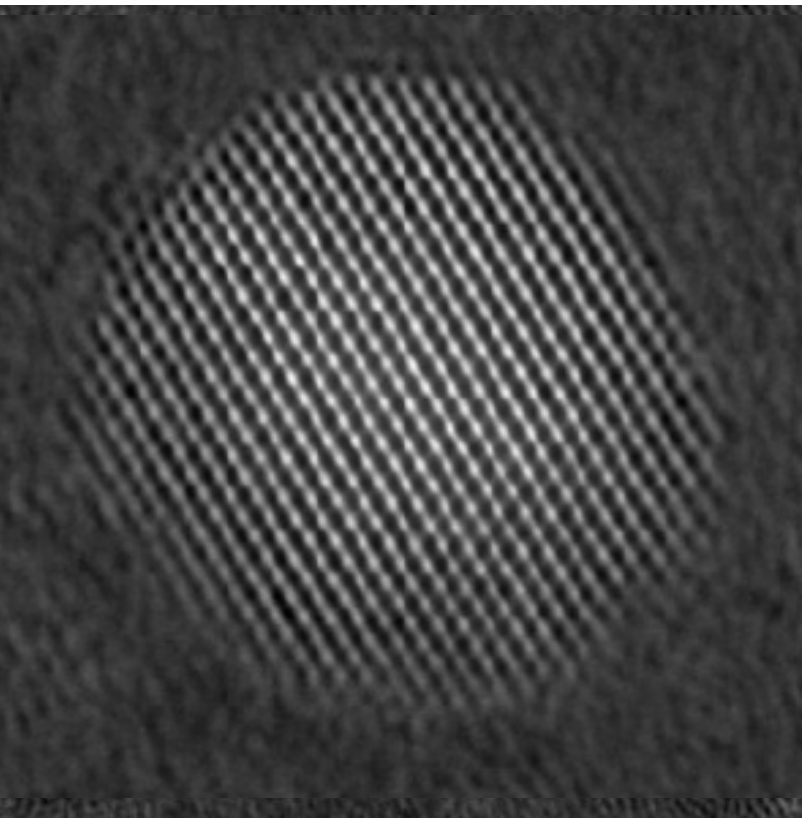
# Iterative Focal Series Reconstruction in HRTEM



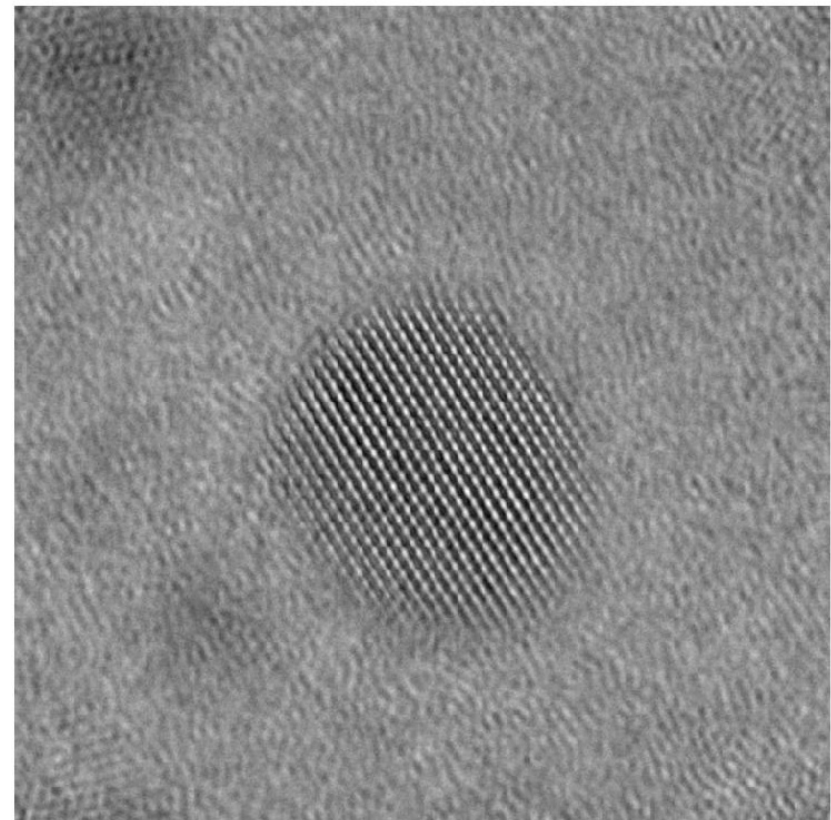
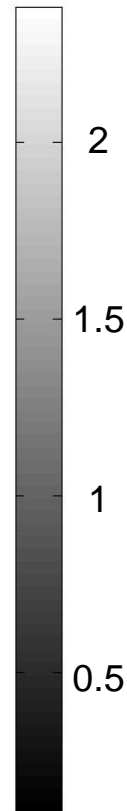
21 images  
 $\Delta f = -605 \dots -679 \text{ nm}$

*Data: M. Pelsozy, F. Ernst, T. Zawodzinski (Case Western Reserve University)  
Gold particle on thin amorphous Ge film*

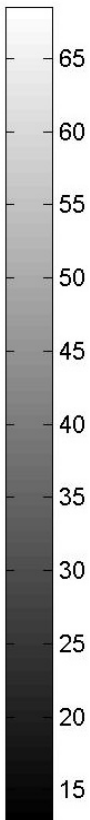
# Atomic-Resolution Inline Electron Holography



**Phase**



**Amplitude**



Phase and amplitude reconstructed using FRWR from focal series of a Gold particle on a thin Carbon film.

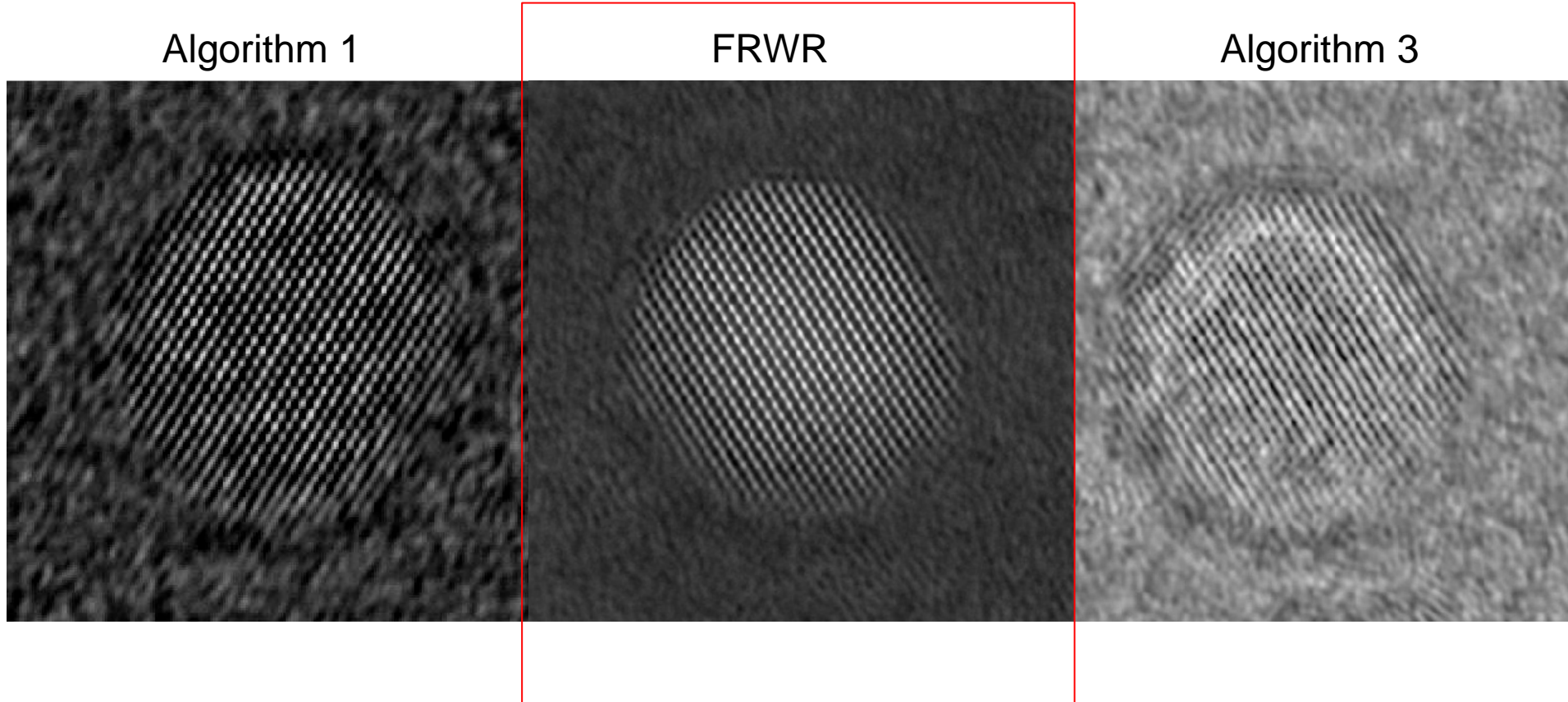


## Same Data – Different Algorithms

Algorithm 1

FRWR

Algorithm 3



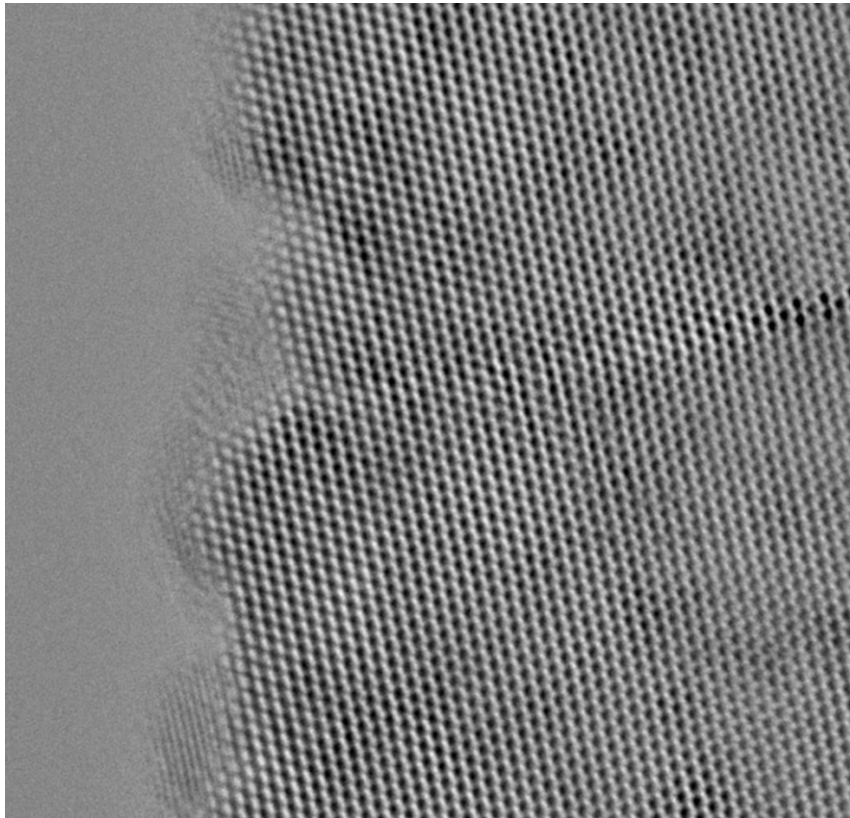
Phase of reconstructed exit-face wave function

## Grain Boundary in $\text{SrTiO}_3$

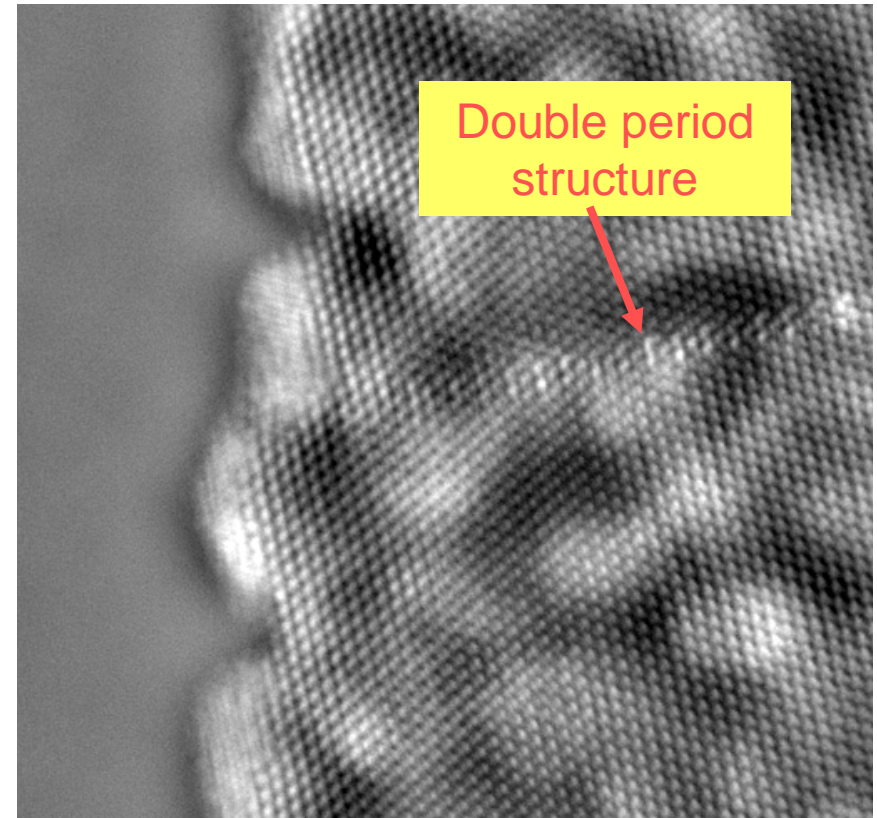
### Complex electron wave function reconstructed from inline holograms using the FRWR Algorithm<sup>1</sup>

(experimental data provided by K. Dudeck, Oxford)

Amplitude



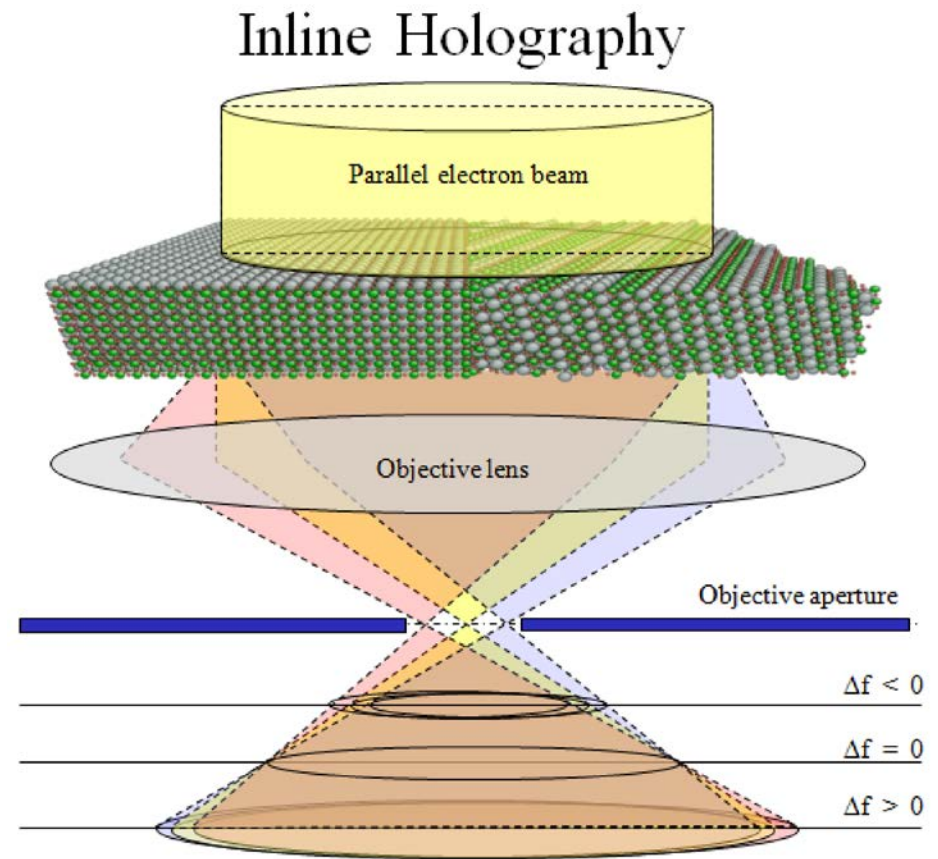
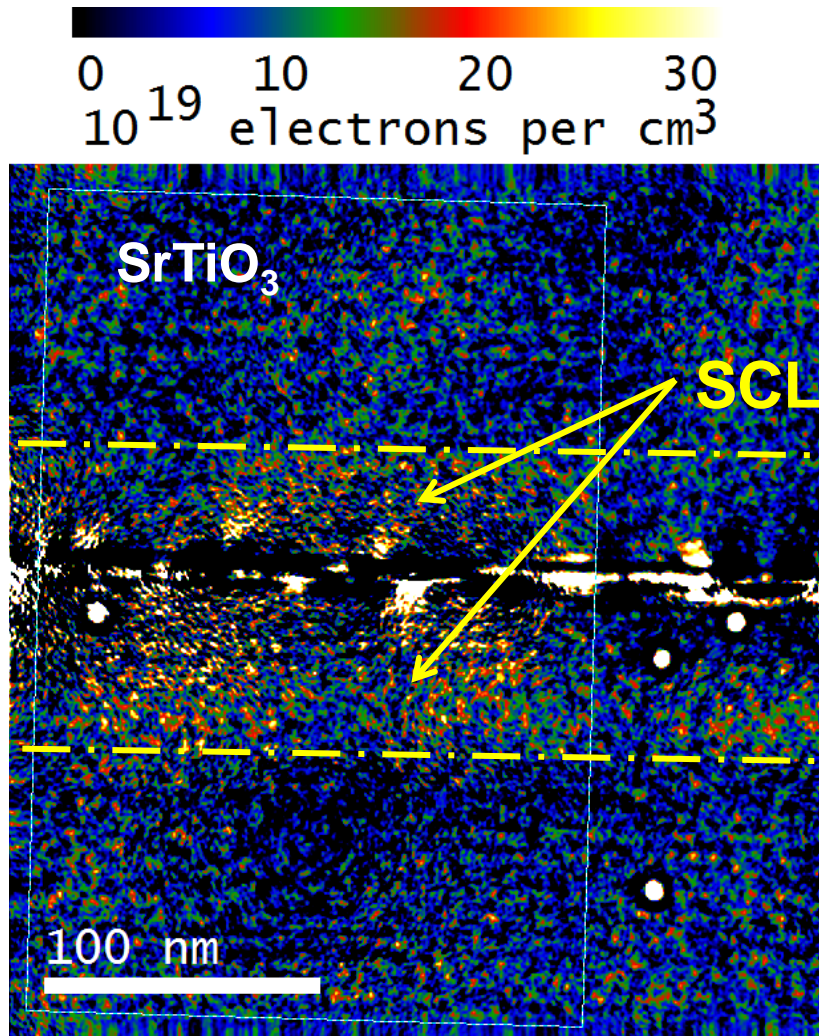
Phase



<sup>1</sup> C.T. Koch, Ultramicroscopy 108, 141-150 (2008)

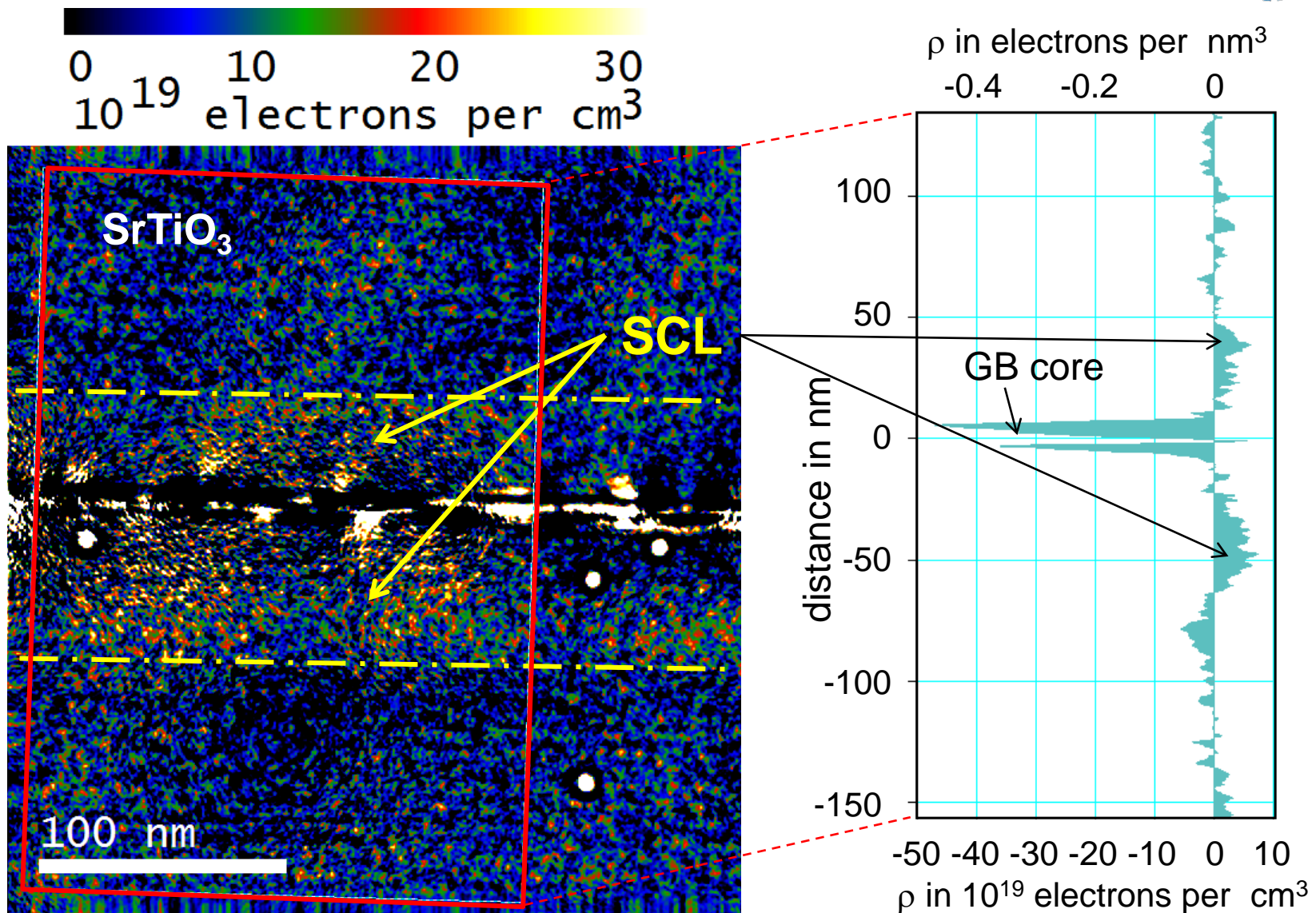


# Mapping Space Charge in Ceramics



Spatial resolution:  $\approx 1$  nm

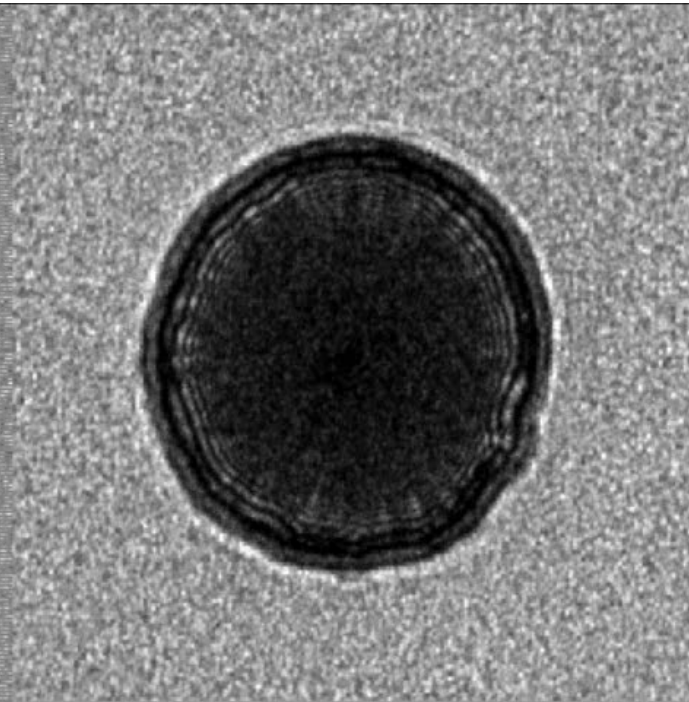
# Space charge @ $\Sigma 3(112)$ GB in $\text{SrTiO}_3$



$E = 200$  kV,  $t = 170$  nm,  $\epsilon_{\text{STO}} = 230$  (probably closer to 100 because of high E-field)



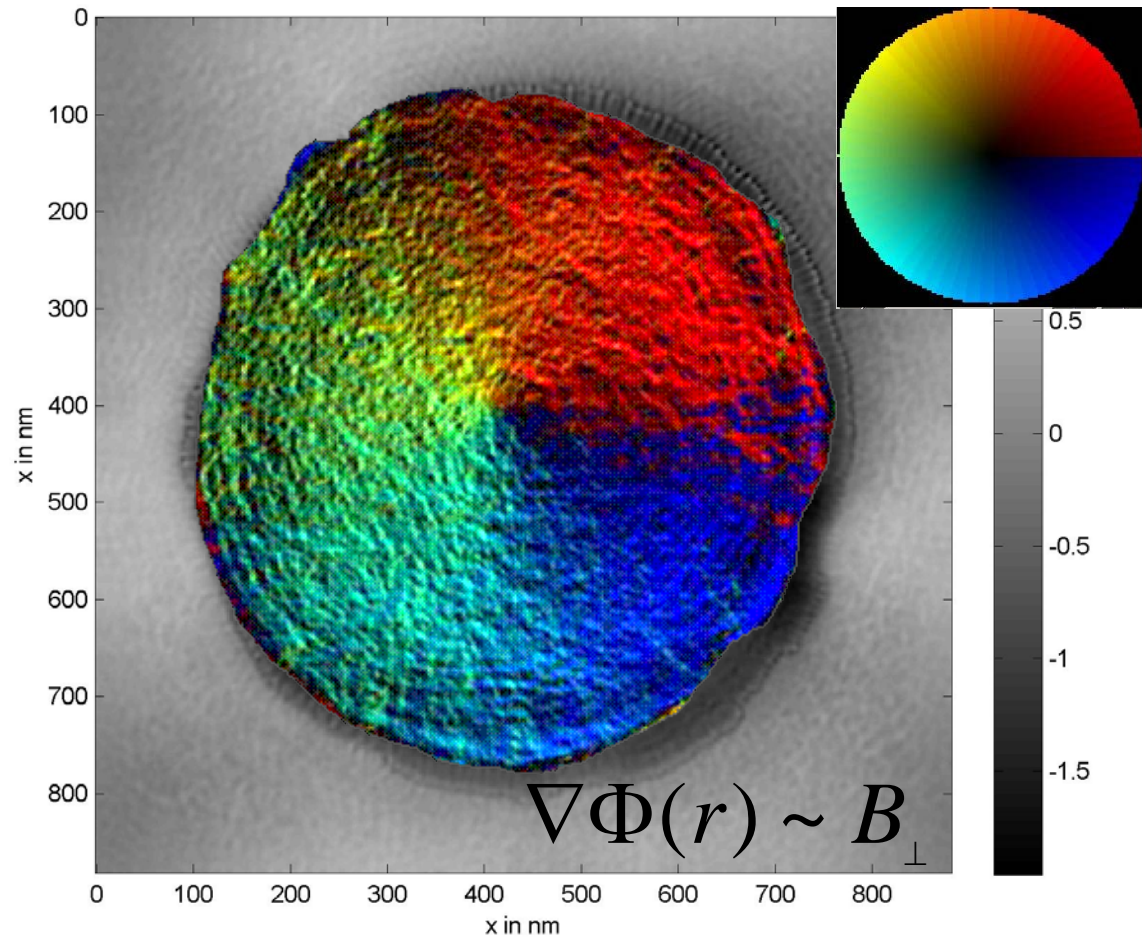
# Imaging Magnetic Fields by Inline Electron Holography



Lorentz focal series of a lithographically patterned magnetic structure

Image size:  $1.2 \times 1.2 \mu\text{m}^2$   
Data: S. McVitie (Glasgow)

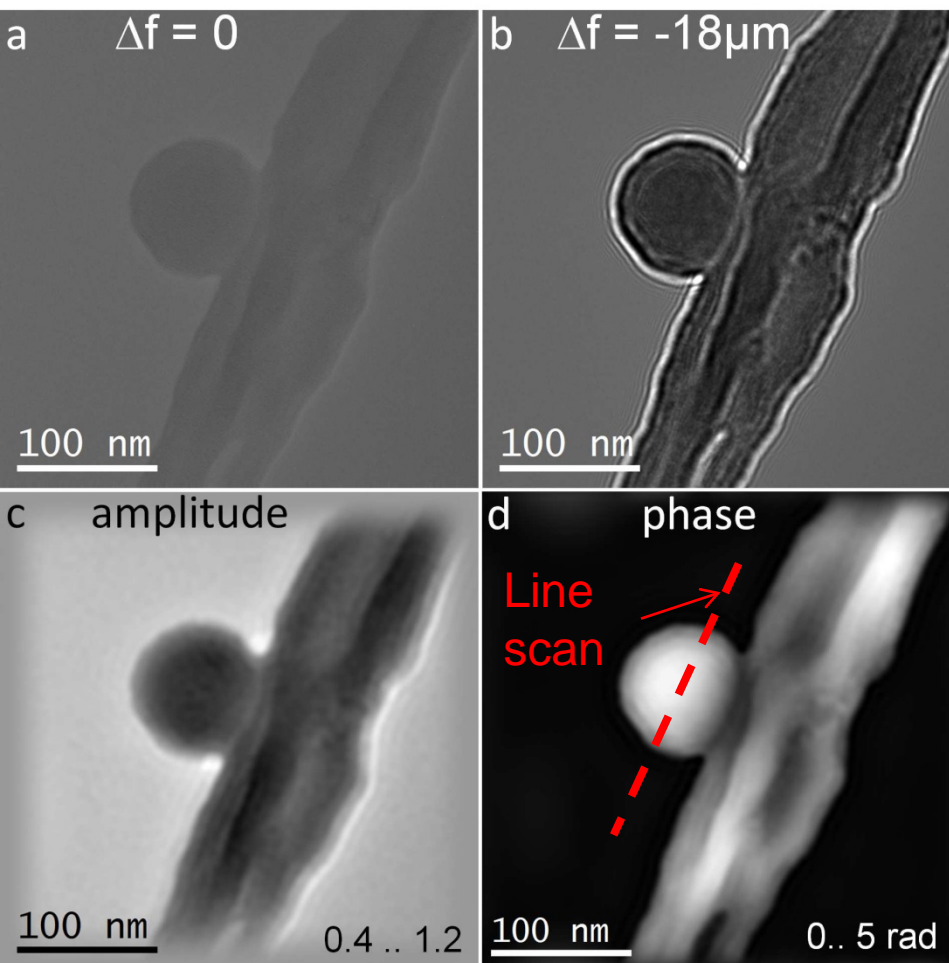
Magn. Field (horizontal components)



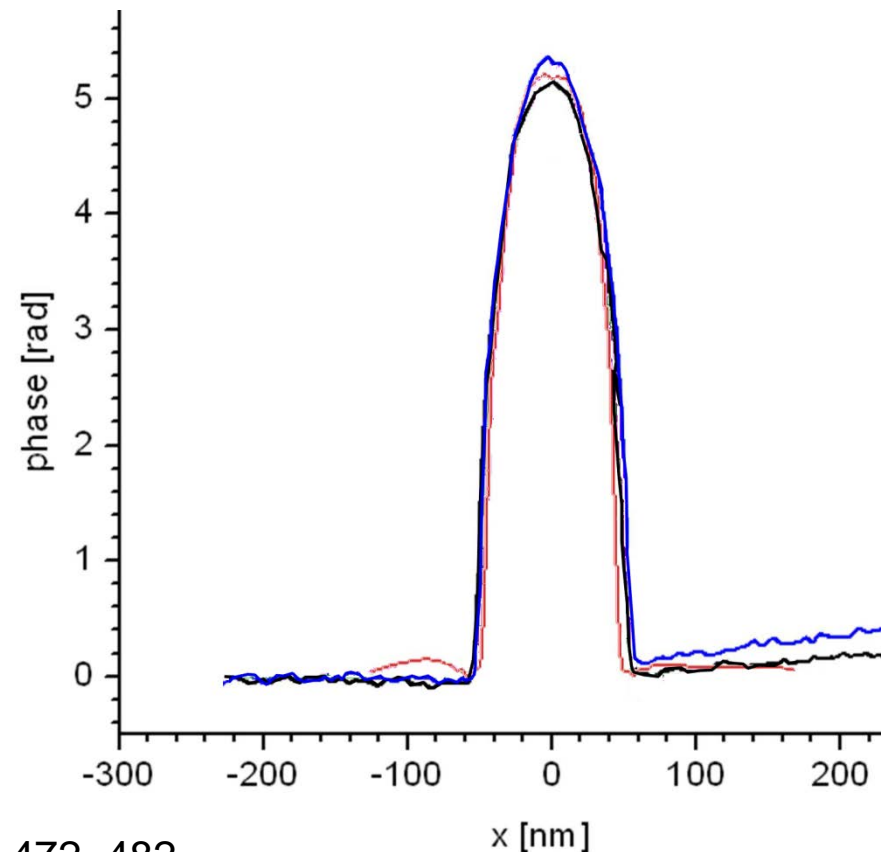
Reconstruction using FRWR software

## Reconstruction of Inline Holograms

Reconstruction from 1 in-focus and 1 out-of-focus image  
by an iterative (non-linear) reconstruction algorithm



— **Inline hologram**  
— **Off-axis hologram (1)**  
— **Off-axis hologram (2)**

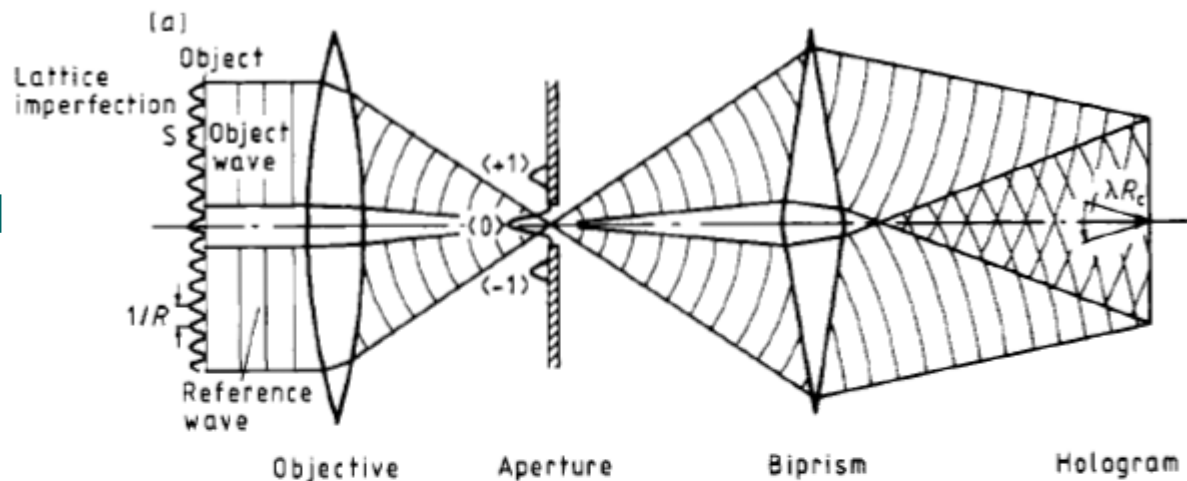


Experiment: P. Formanek (Dresden)

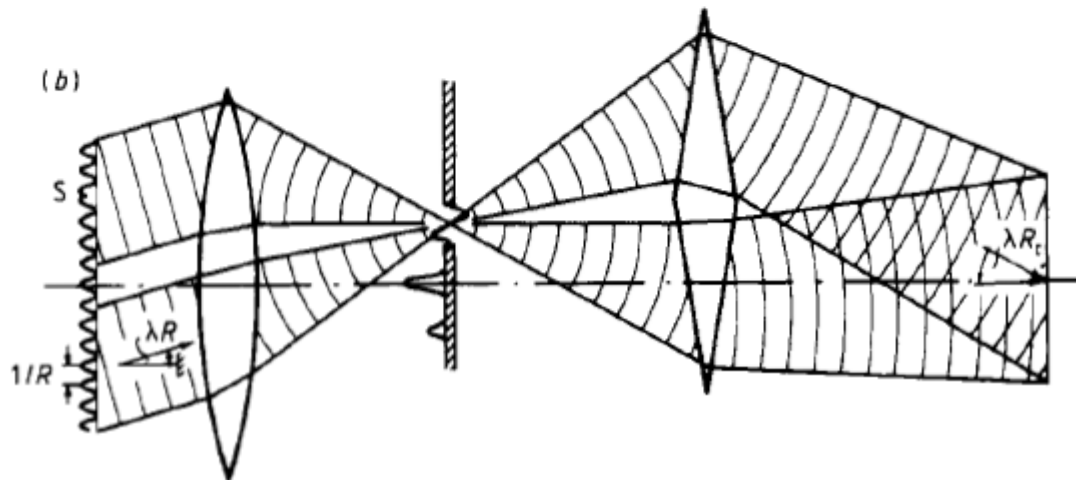
T. Latychevskaia, et al. Ultramicroscopy 110 (2010) 472–482

## BF and DF off-axis Electron Holography

Bright-field

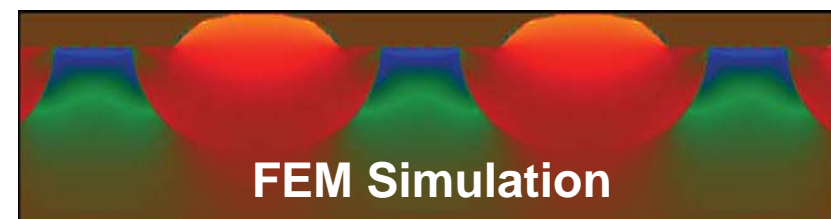
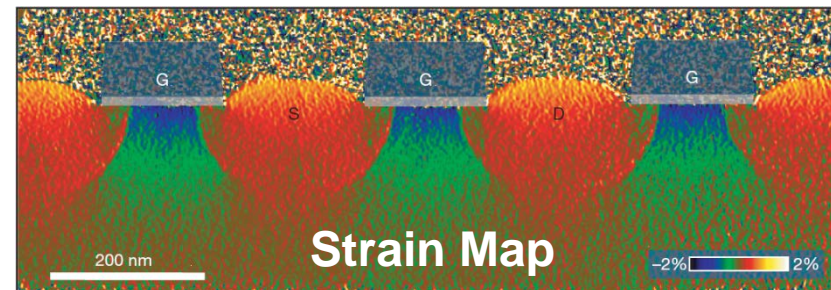
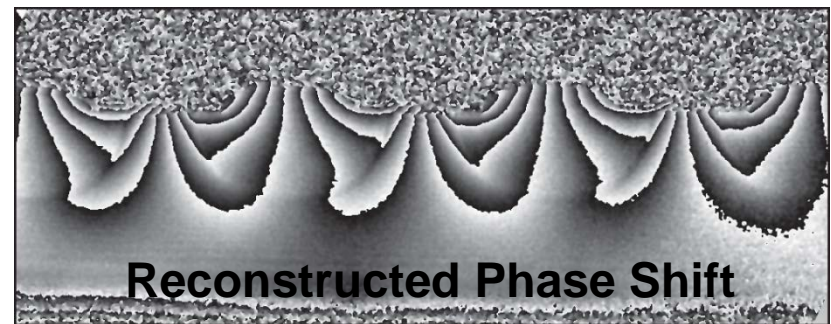
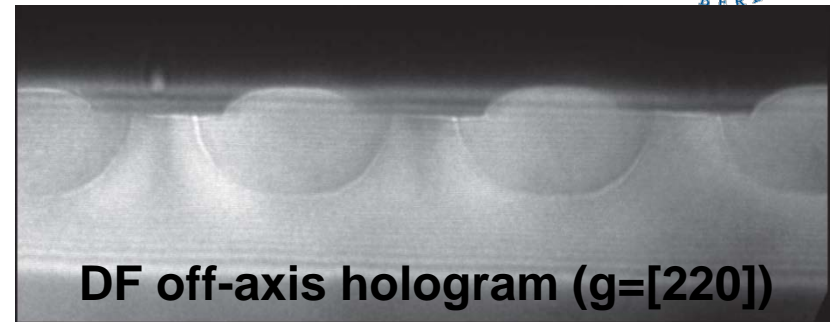
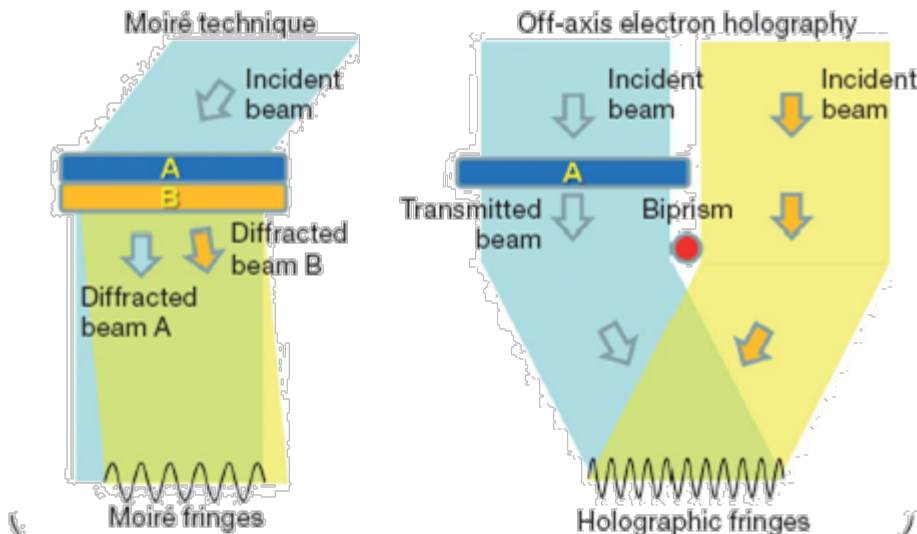


Dark-field

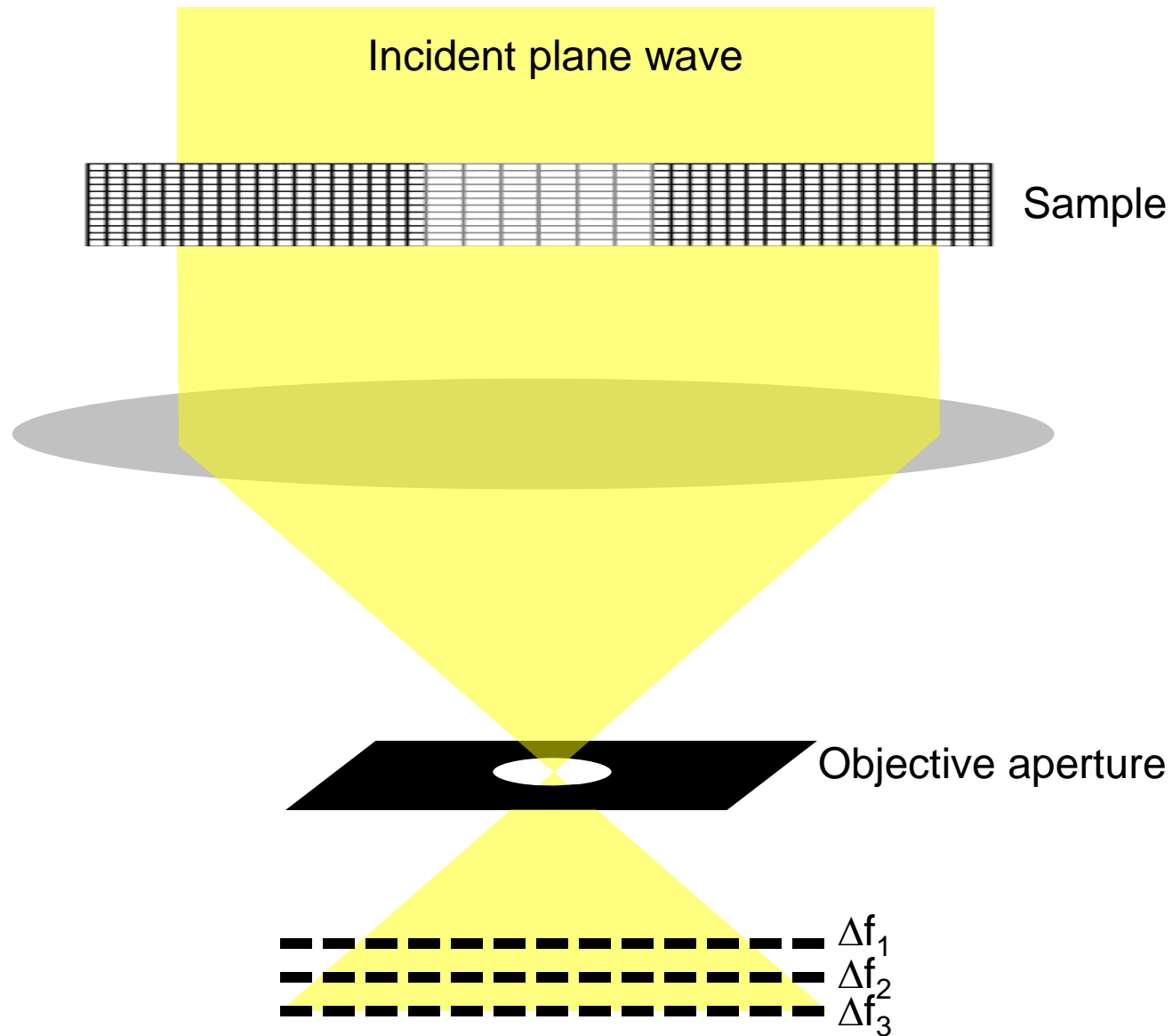




# Strain Mapping by Dark-field Holography

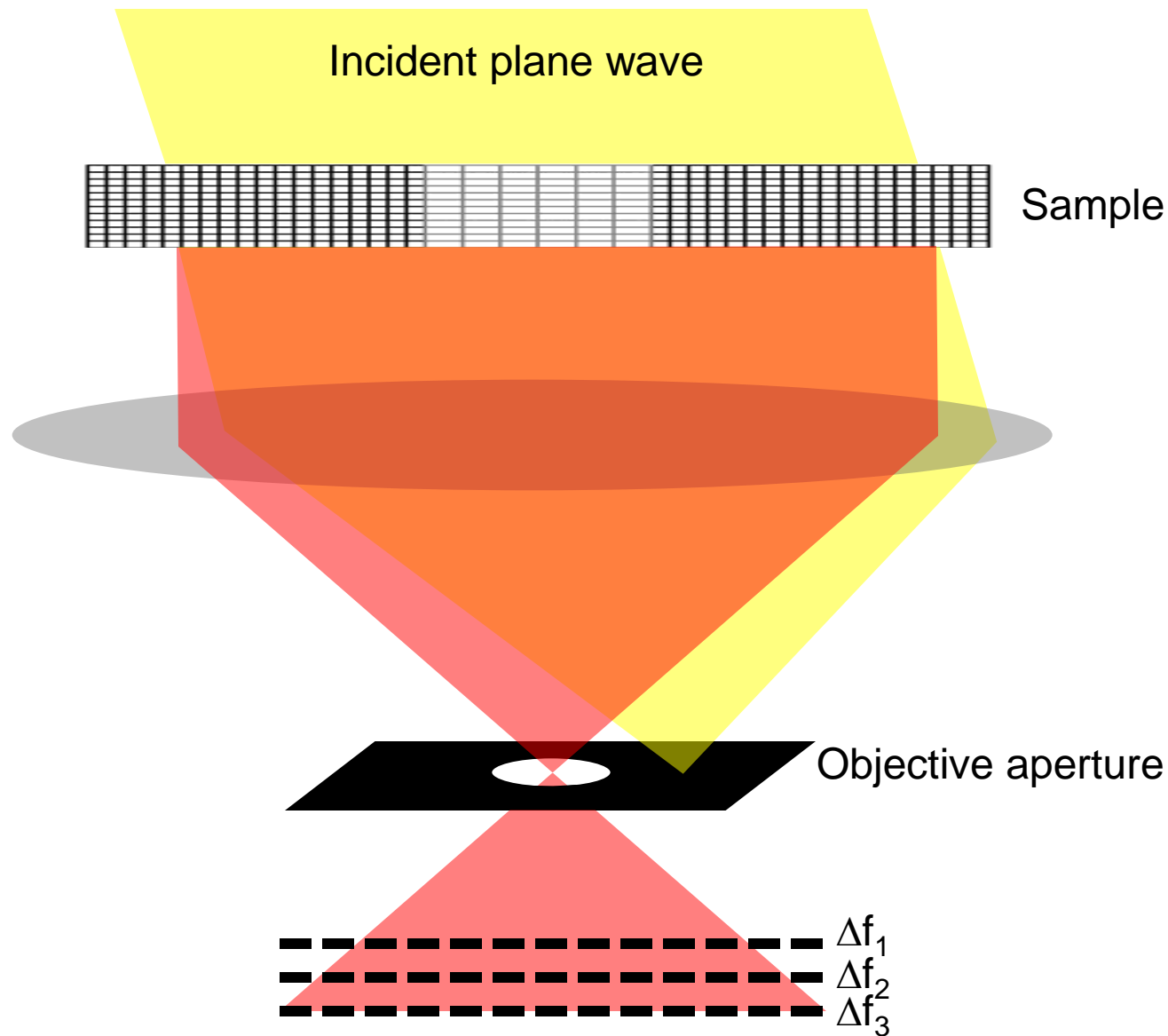


# Bright-Field Inline Electron Holography

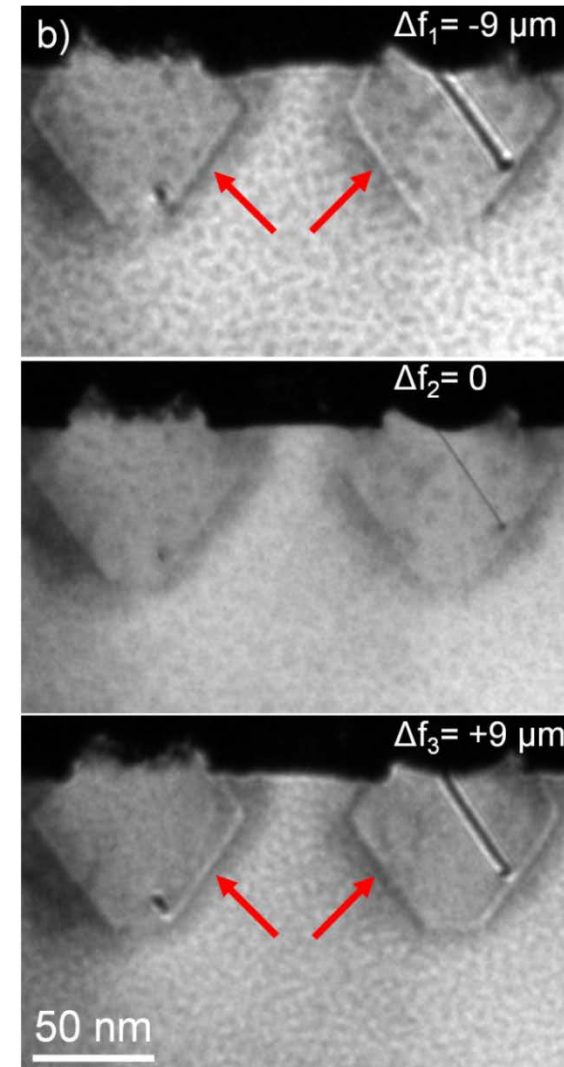
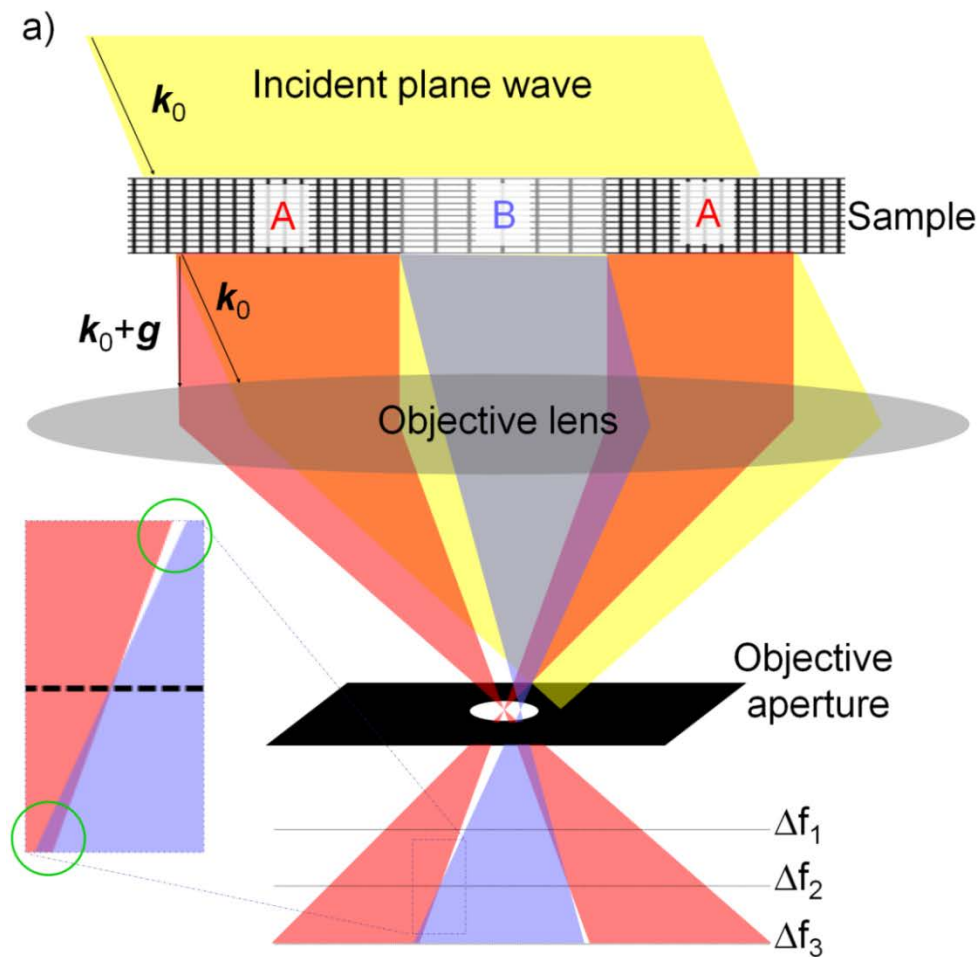




# Dark-Field Inline Electron Holography (DIH)



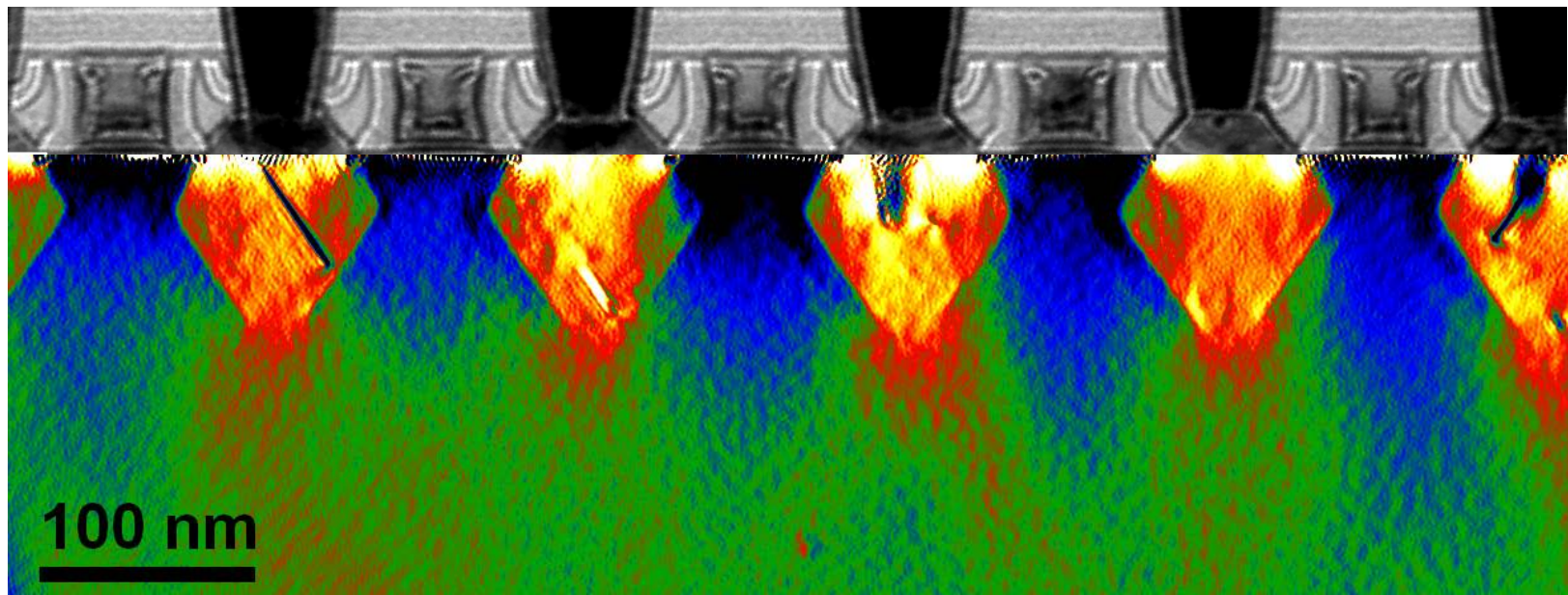
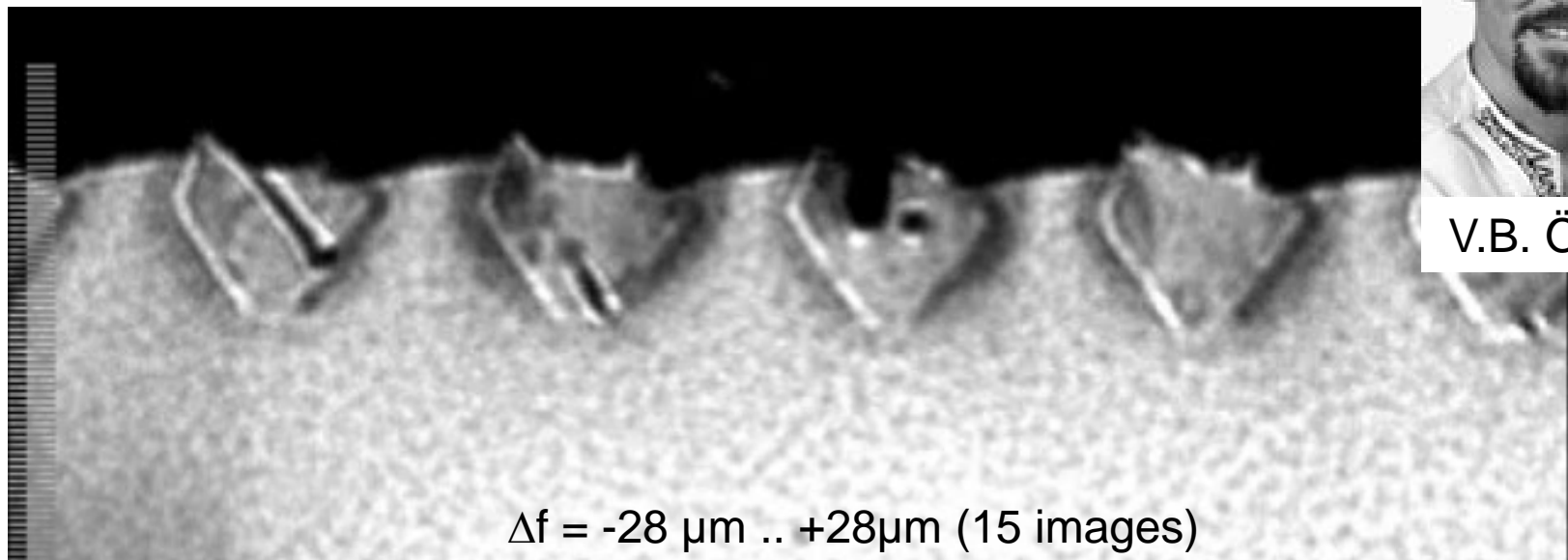
# Dark-field Inline Holography (DIH)





V.B. Özdöl

## DIH of 45nm CMOS transistor structure





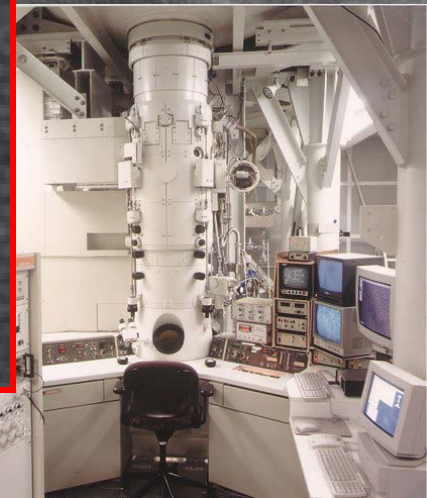
**Gate**

**Source**

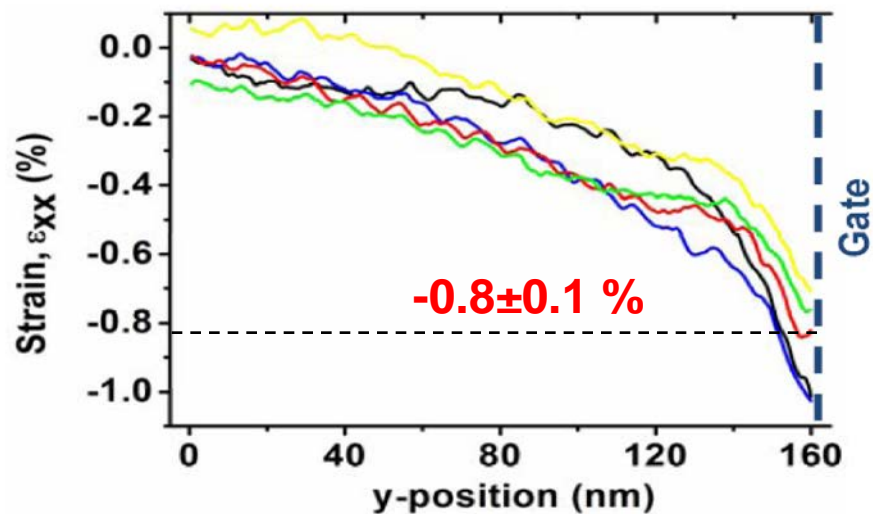
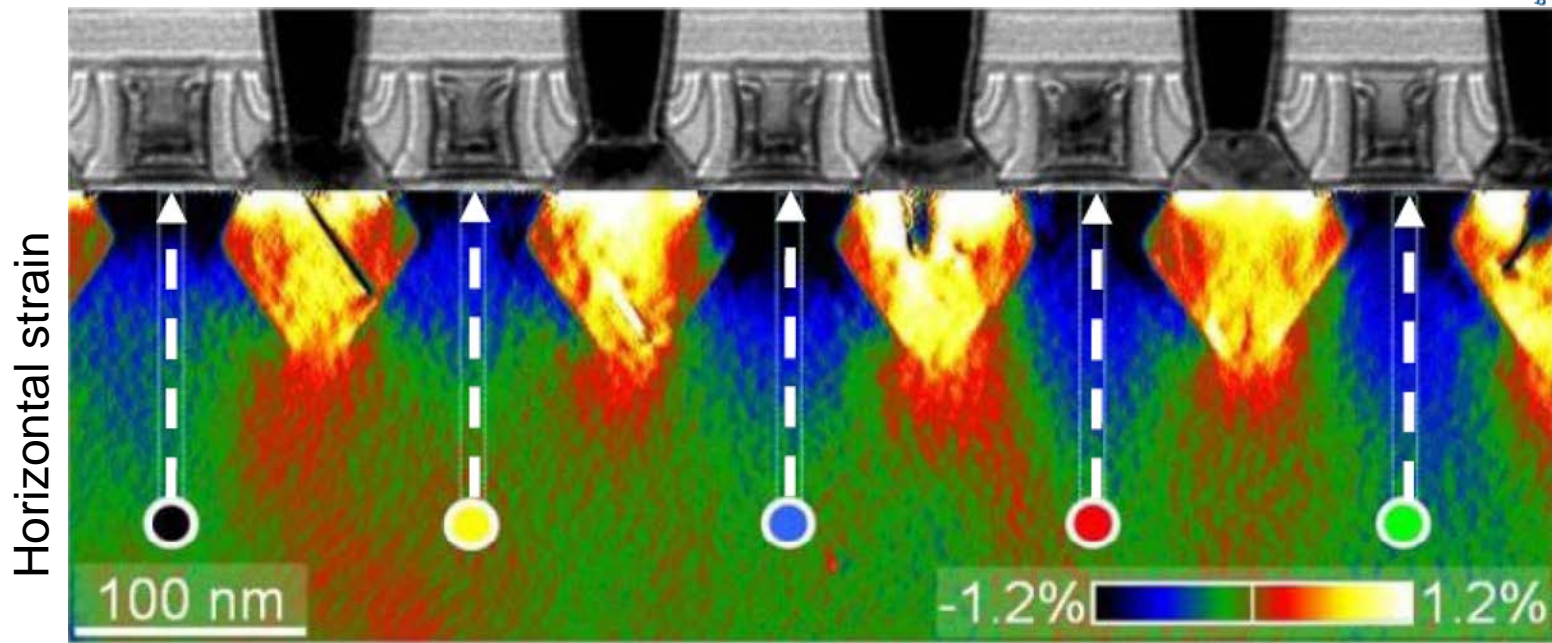
**Drain**



**Microscope: JEOL ARM 1250**

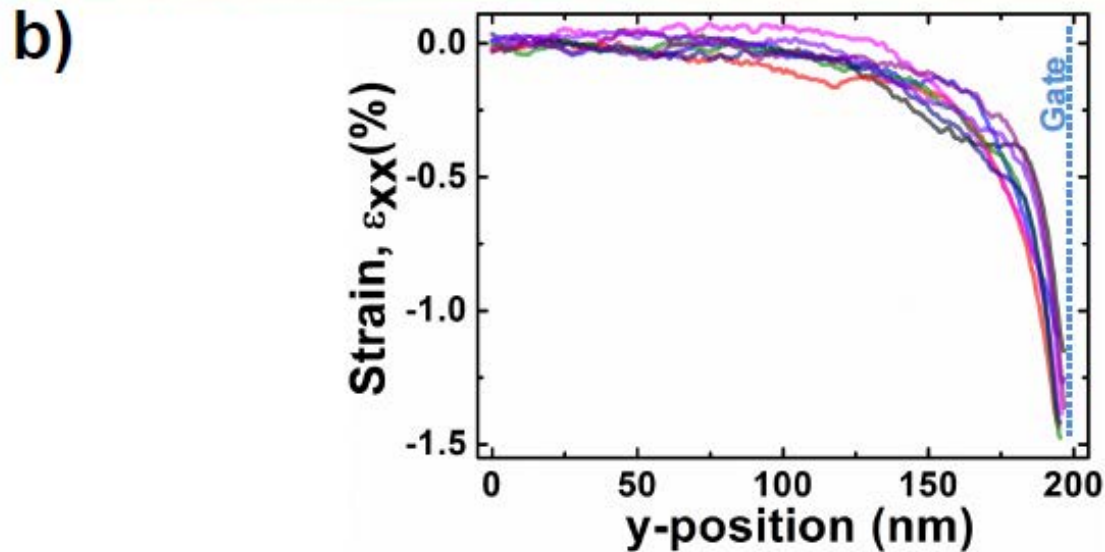
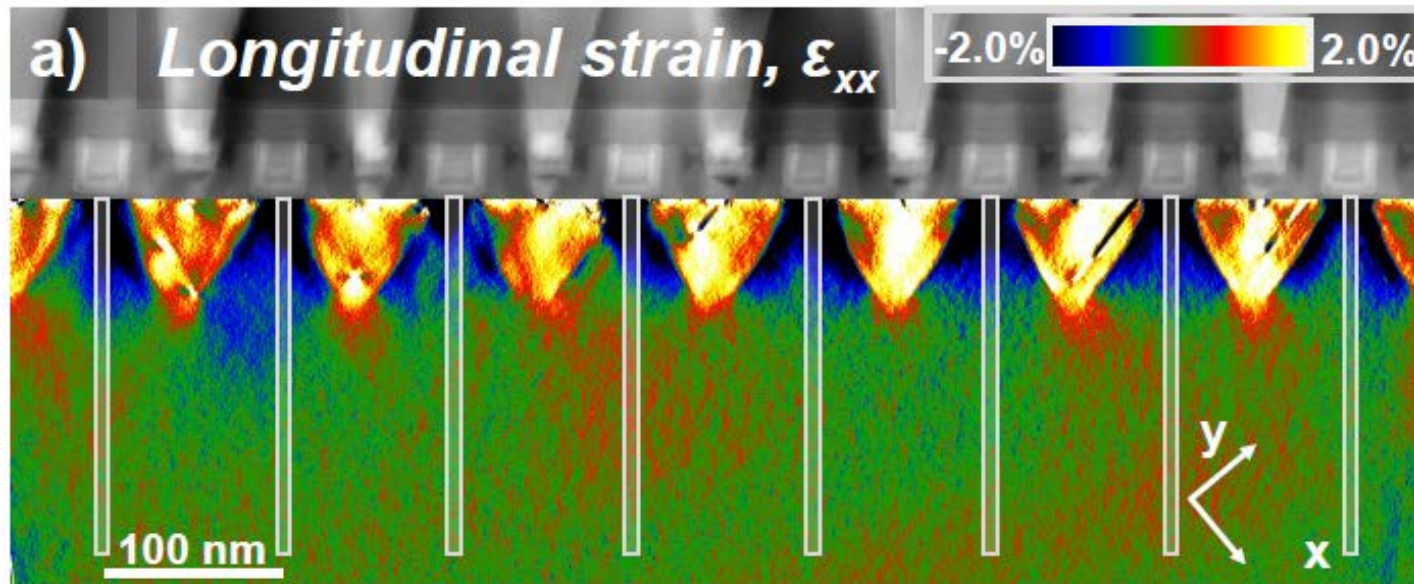


## Quantitative DIH of 45nm CMOS transistor structure



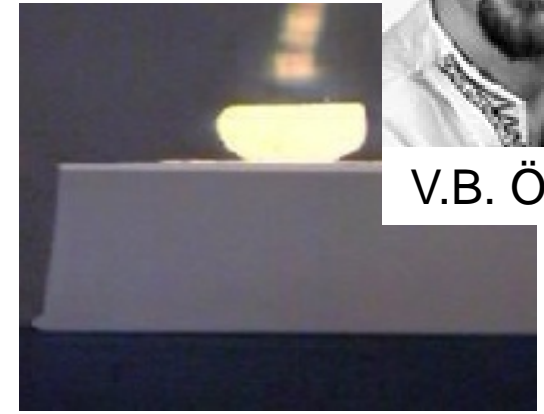
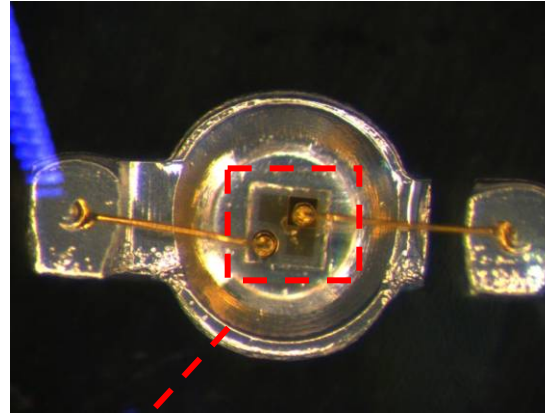


## 32nm PMOS Transistor Structure

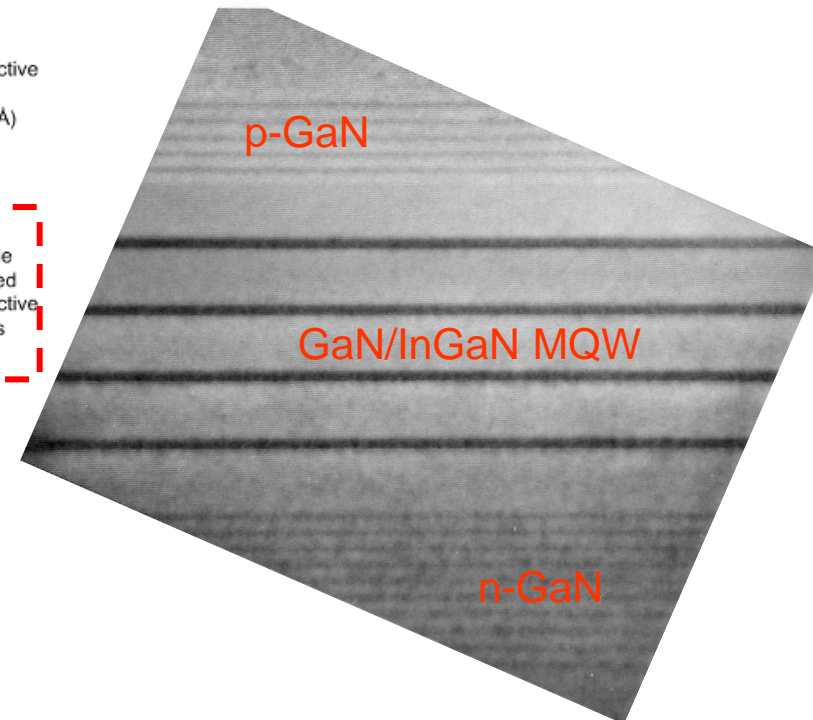
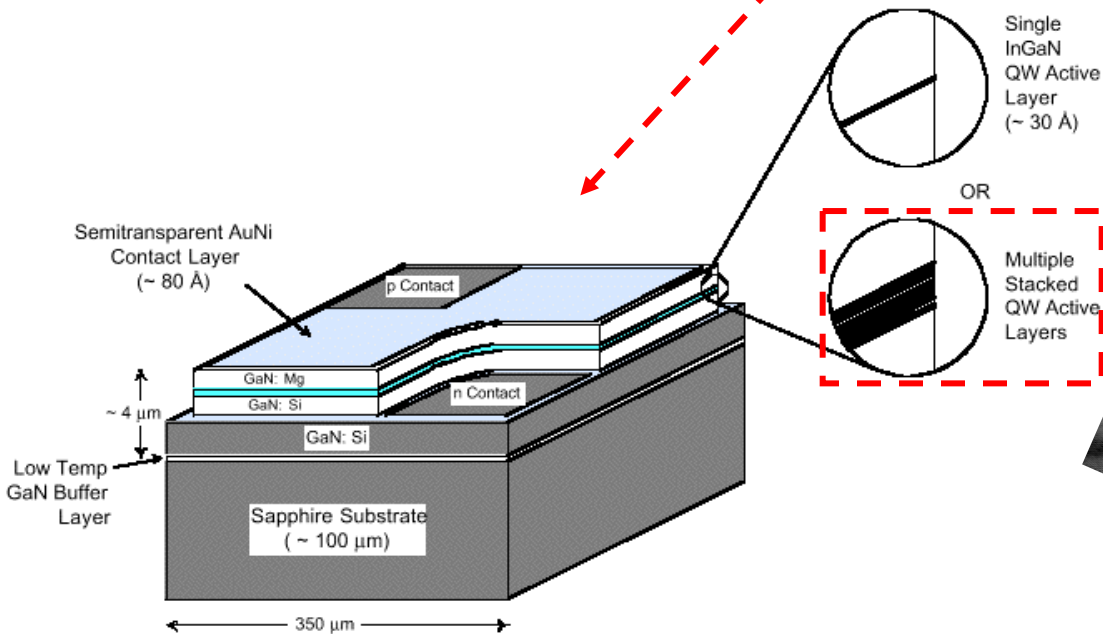


D. Tyutyunnikov

# InGaN MQWs in efficient green LEDs

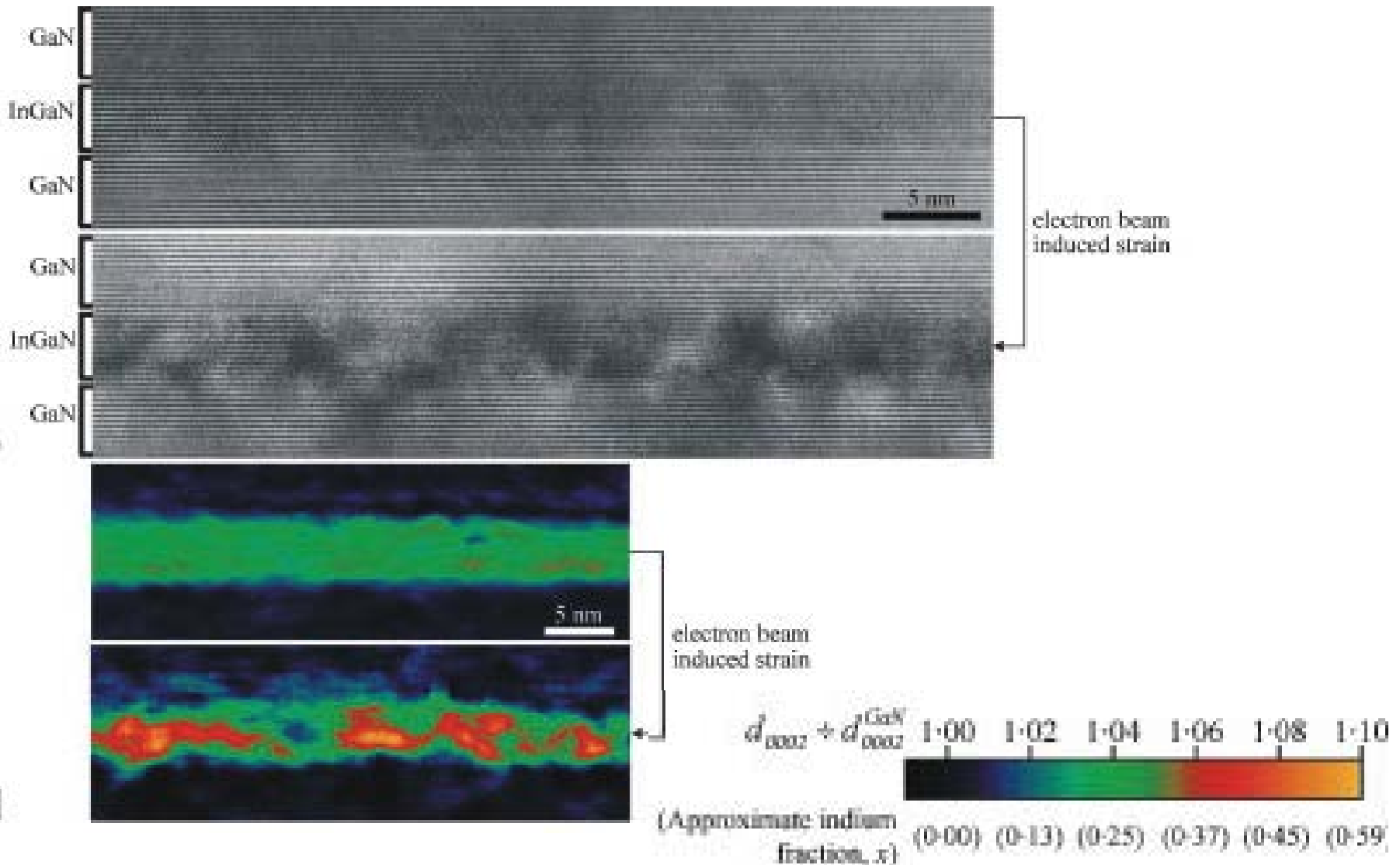


V.B. Özöl



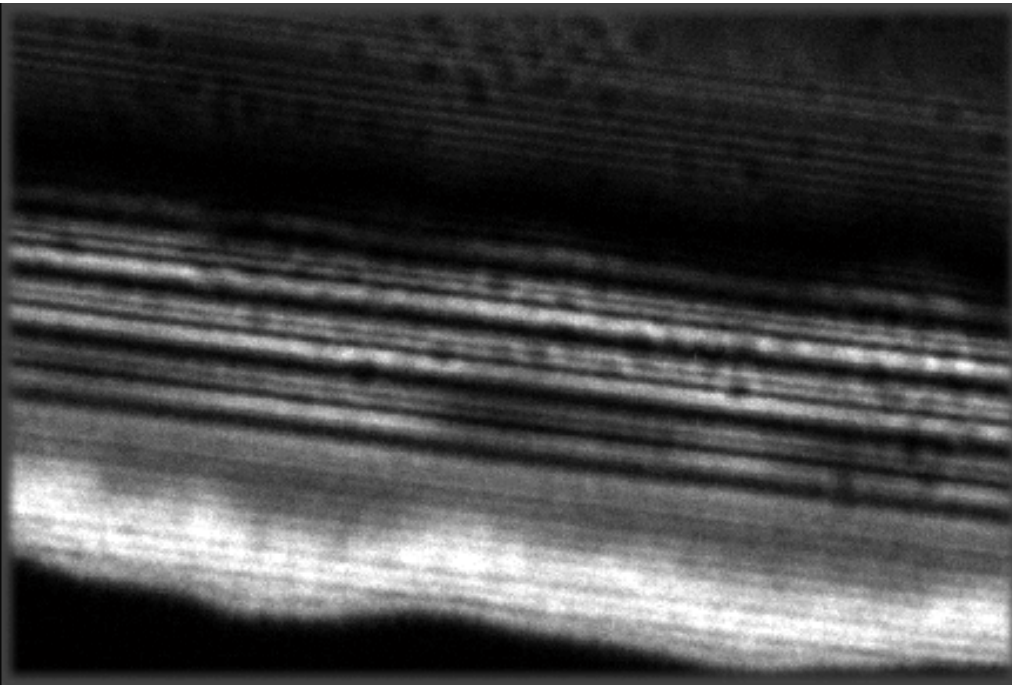


## HRTEM Strain Mapping May Damage!



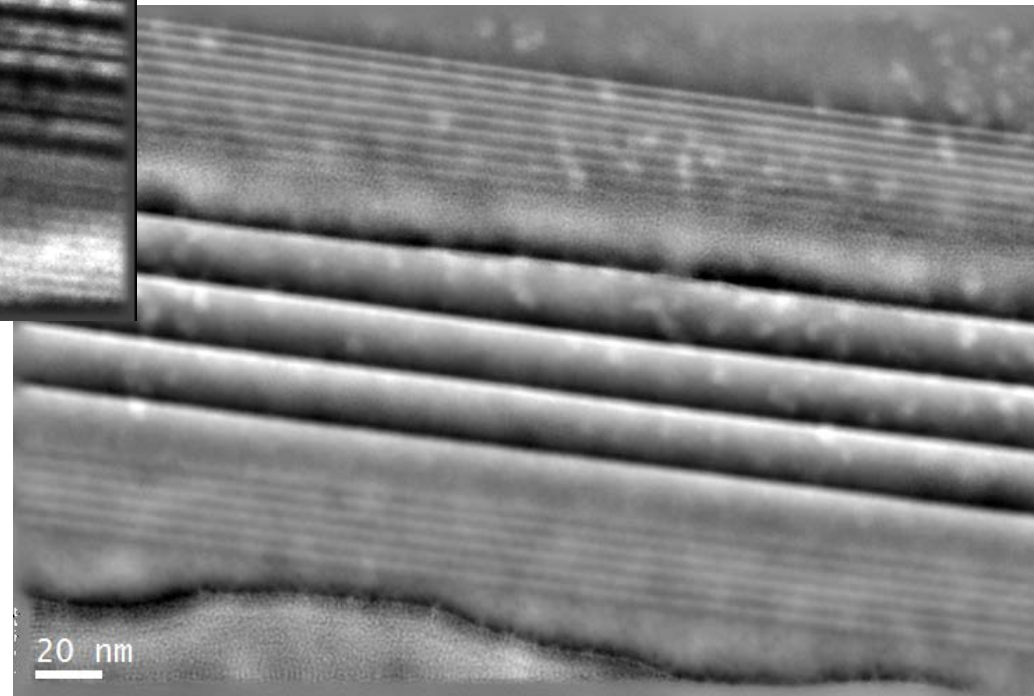
## DF Inline Holography of GaN/InGaN

Focal series of (0002) DF images



Experiment only possible with energy filter, otherwise the Fresnel fringes will be drowned by diffuse inelastic scattering.

Reconstructed geometric phase



50 nm

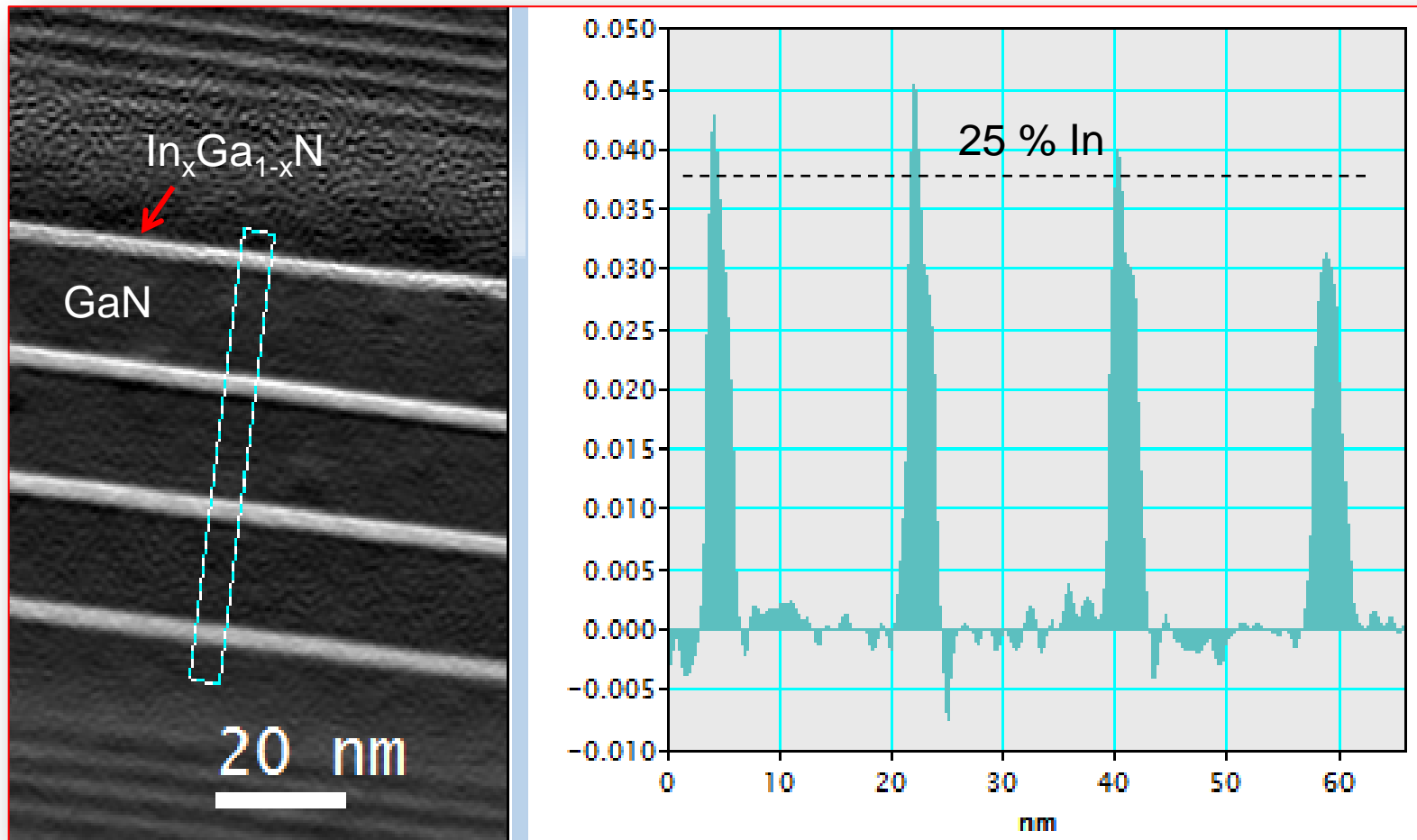
Sampling:	0.2 nm
Electrons / pixel:	32
Resolution:	1.04 nm

Reconstruction using FRWR<sup>1</sup> algorithm

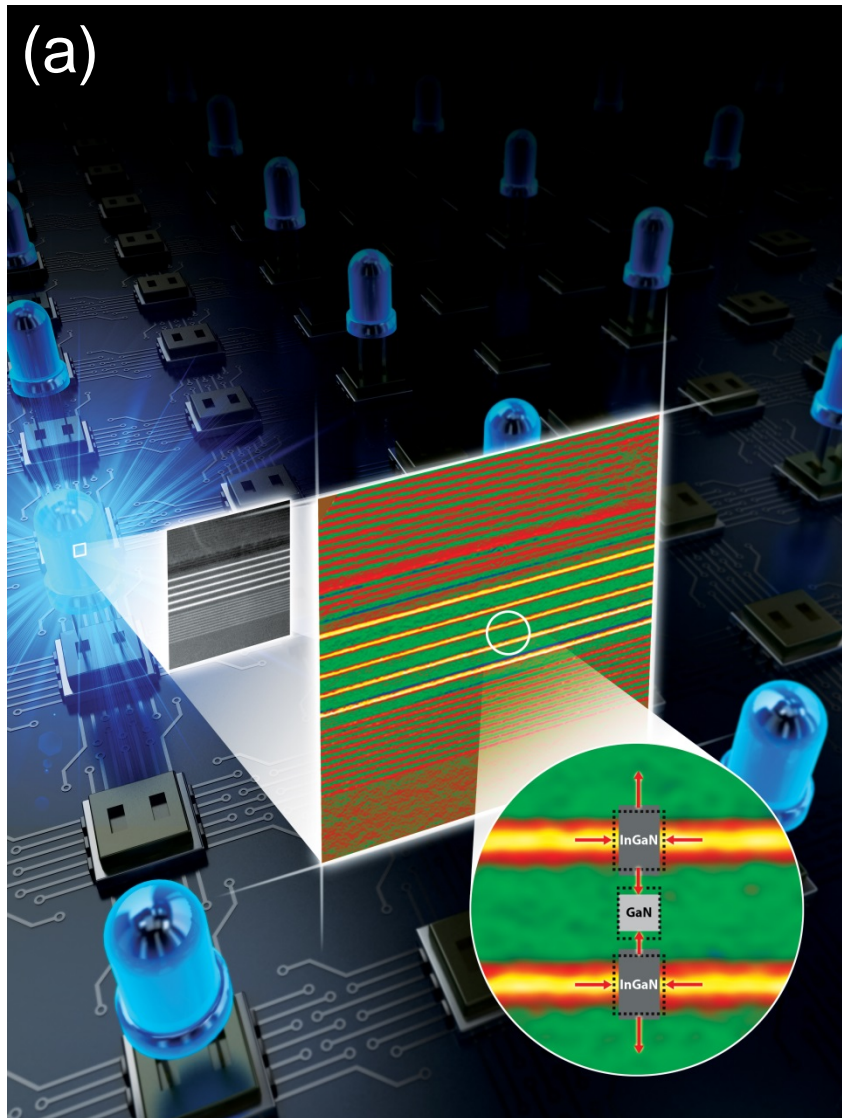
<sup>1</sup> C.T. Koch, Ultramicroscopy **108**, 141-150 (2008)

## In mapping by Dark-field Inline Holography

GaN / InGaN multiple quantum wells: Zone axis: [ 1120]

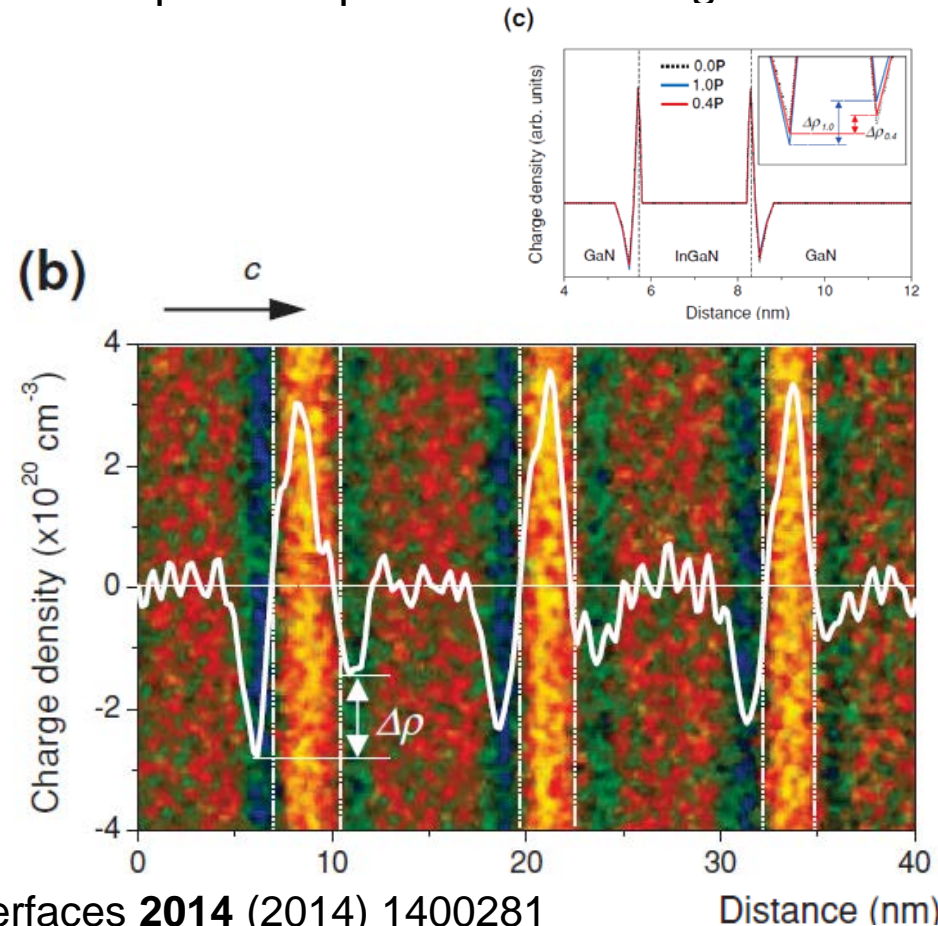


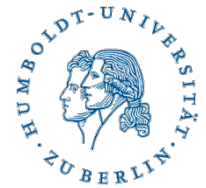
# Mapping piezoelectric fields



Combining Dark-field and Bright-field  
Inline Electron Holography

Mapping of sheet charges which  
compensate polarization charge





# Outline

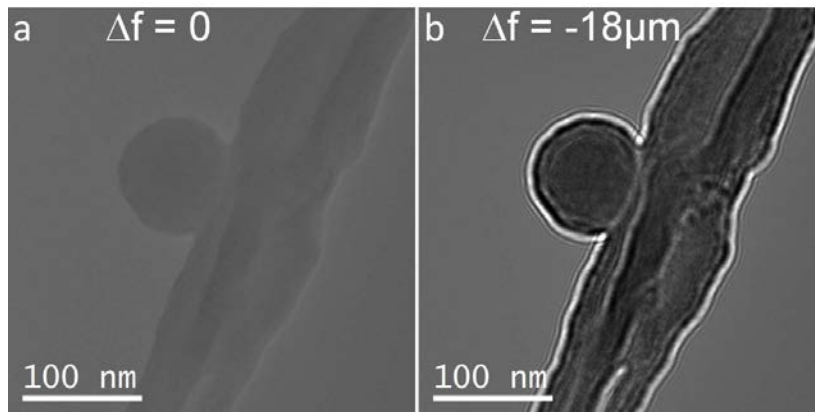
- Introduction: What is inline holography?
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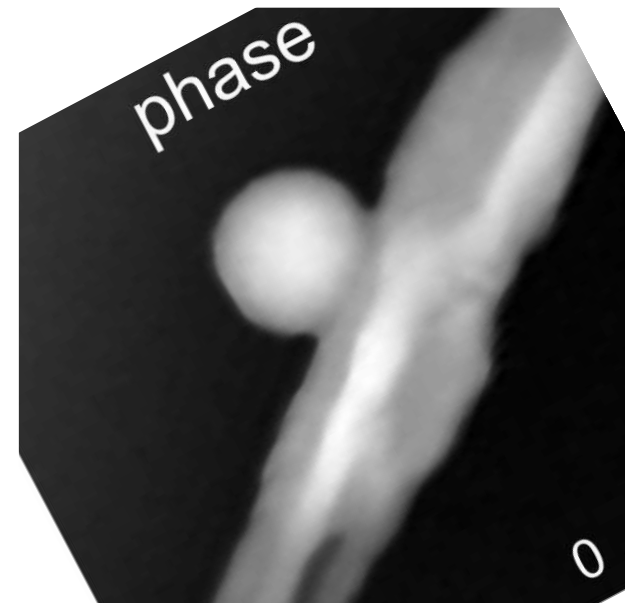
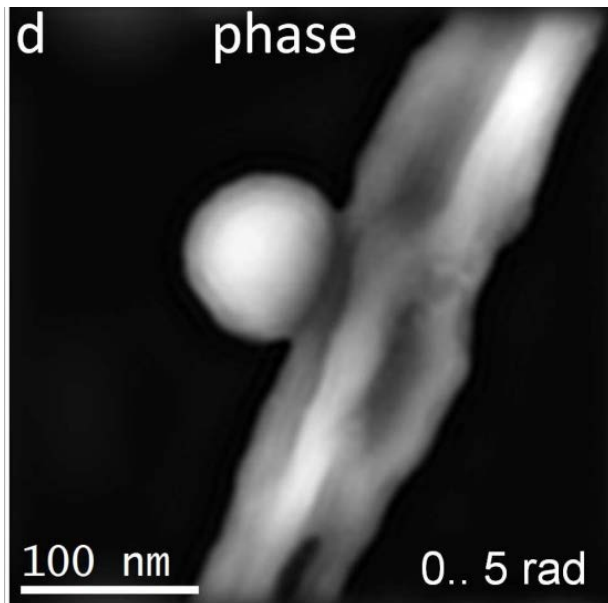
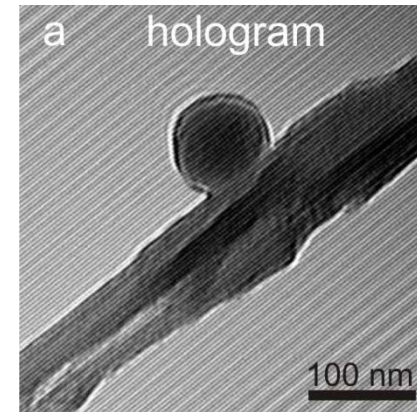
## Still Problems at Very Low Spatial Frequencies

(partly due to inelastic/incoherent scattering)

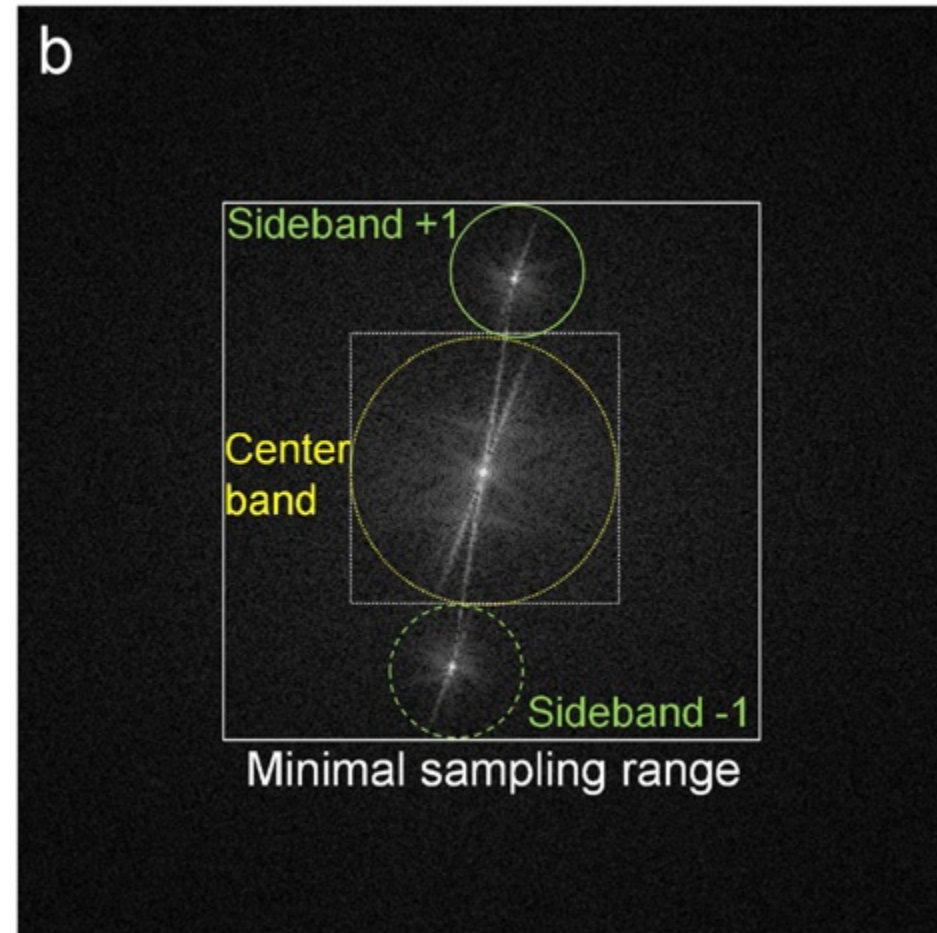
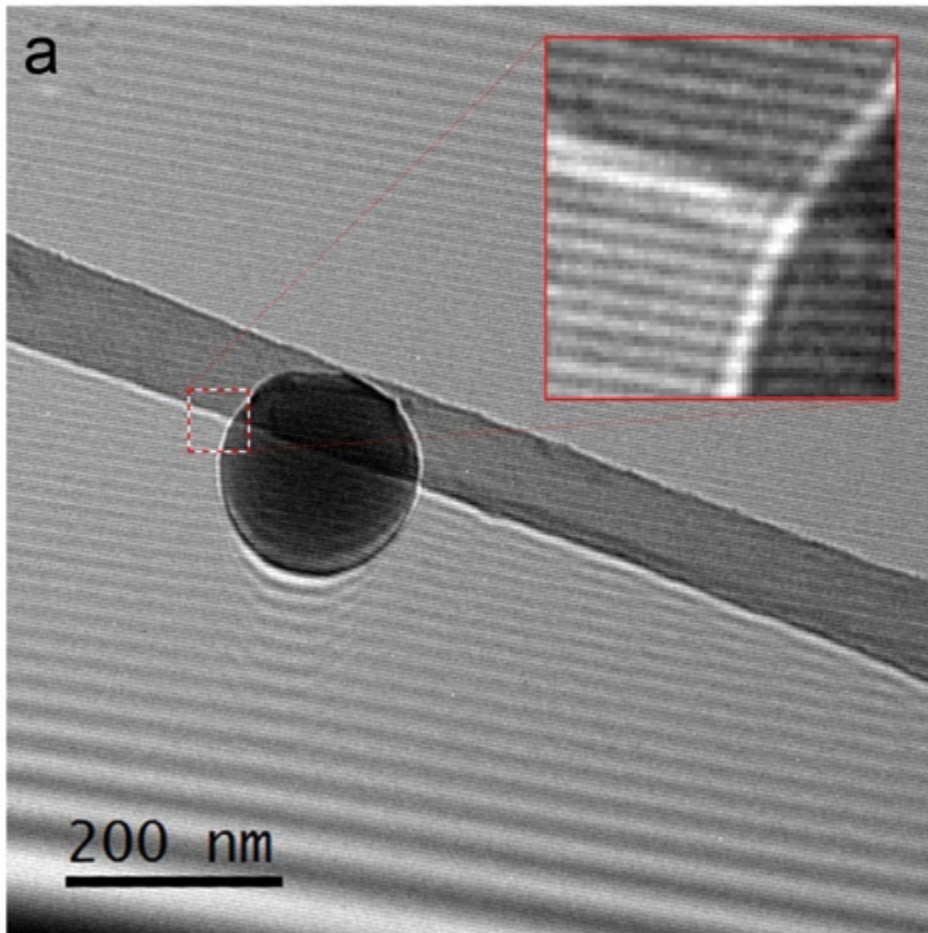
Inline holography



Off-axis holography



## Oversampling in Off-axis Holography

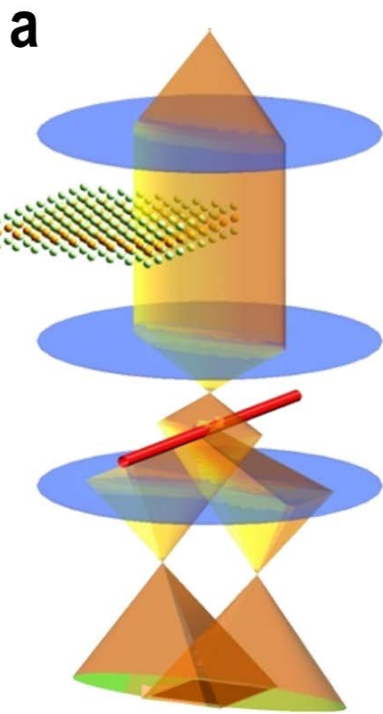


The necessary oversampling reduces the counts per pixel and thus increases the noise and requires thus **long exposure times**.

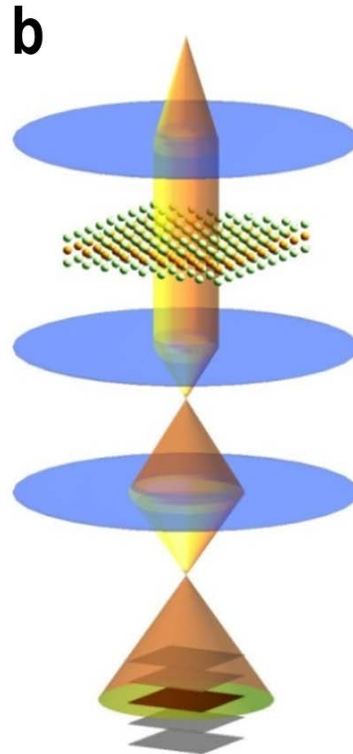


## Synergy: Combining Off-axis and Inline Holography

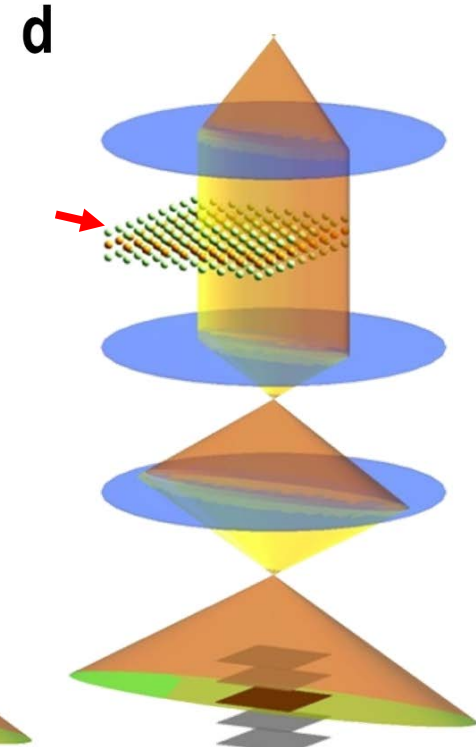
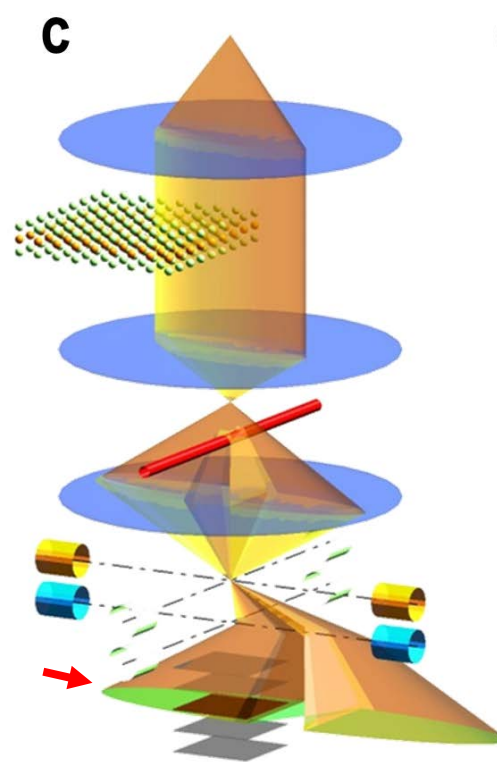
Off-axis setup



In-line setup



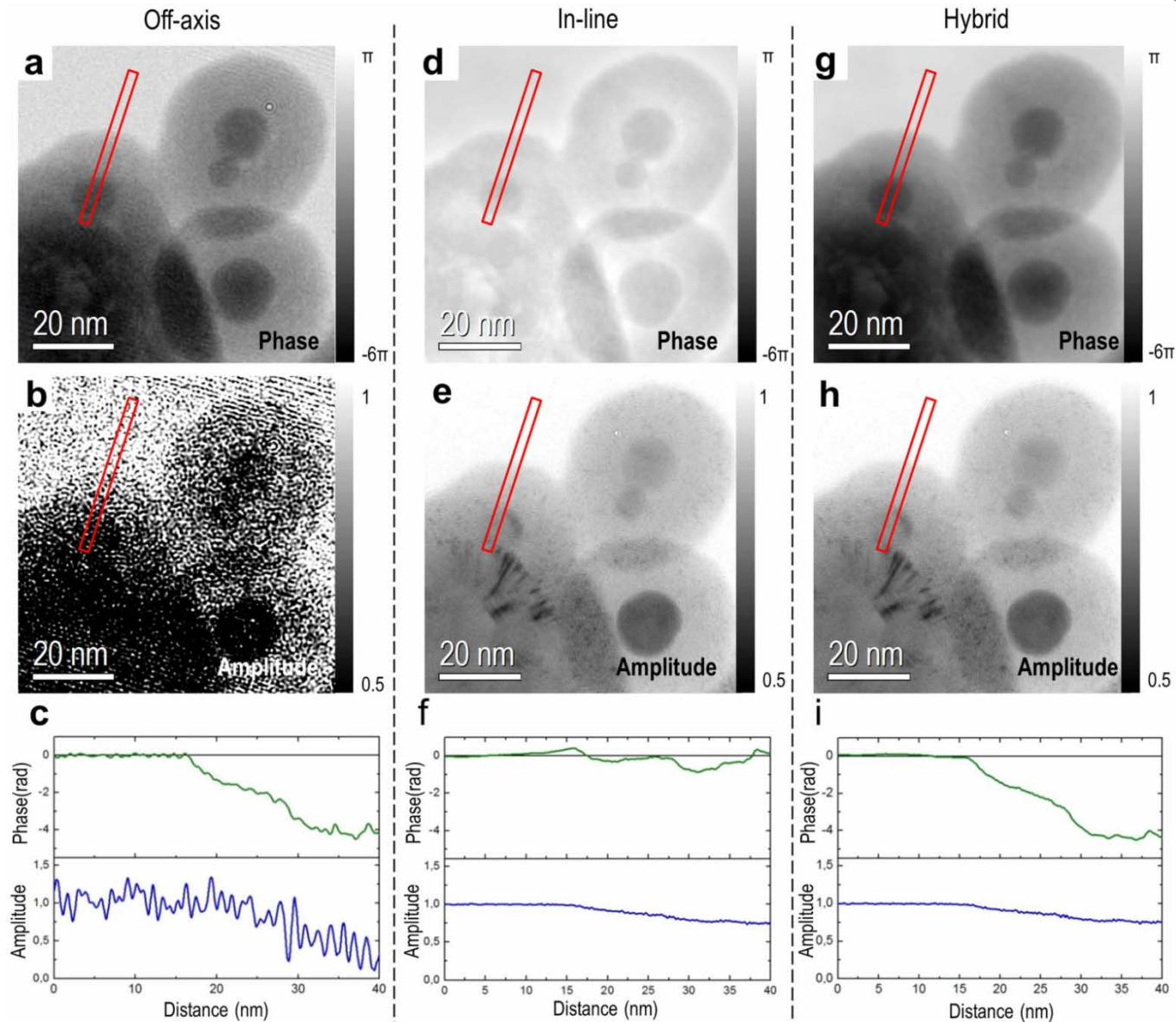
Off-axis and in-line setups for hybridization



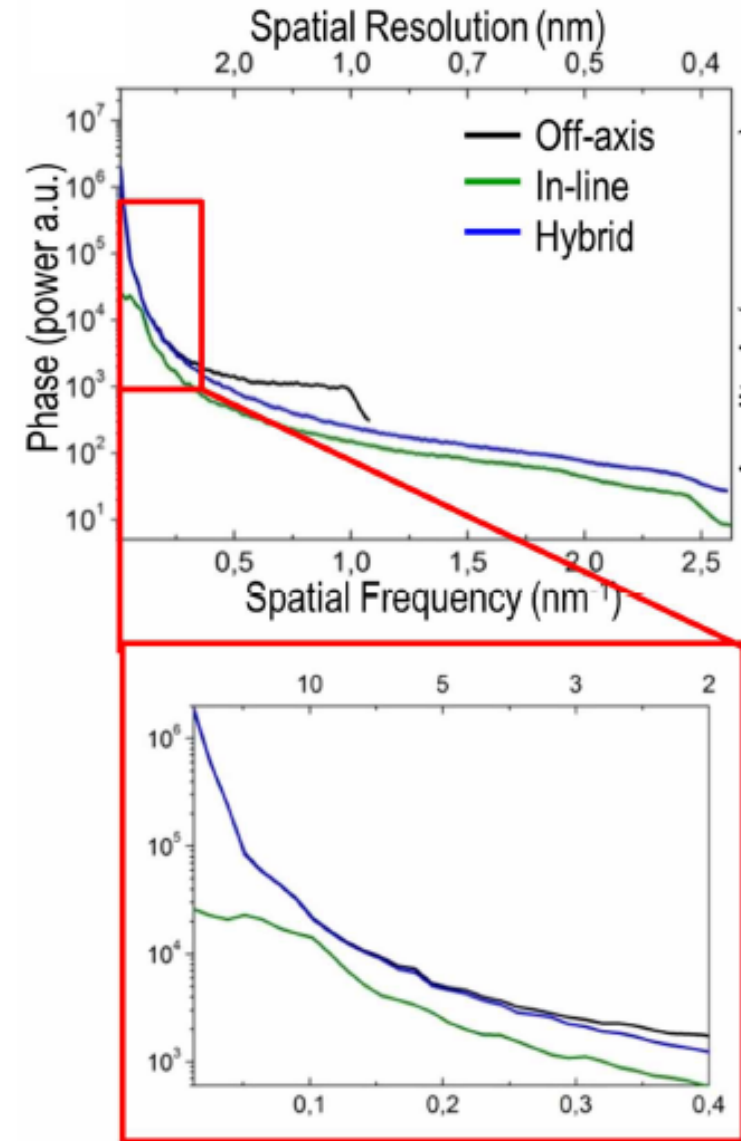
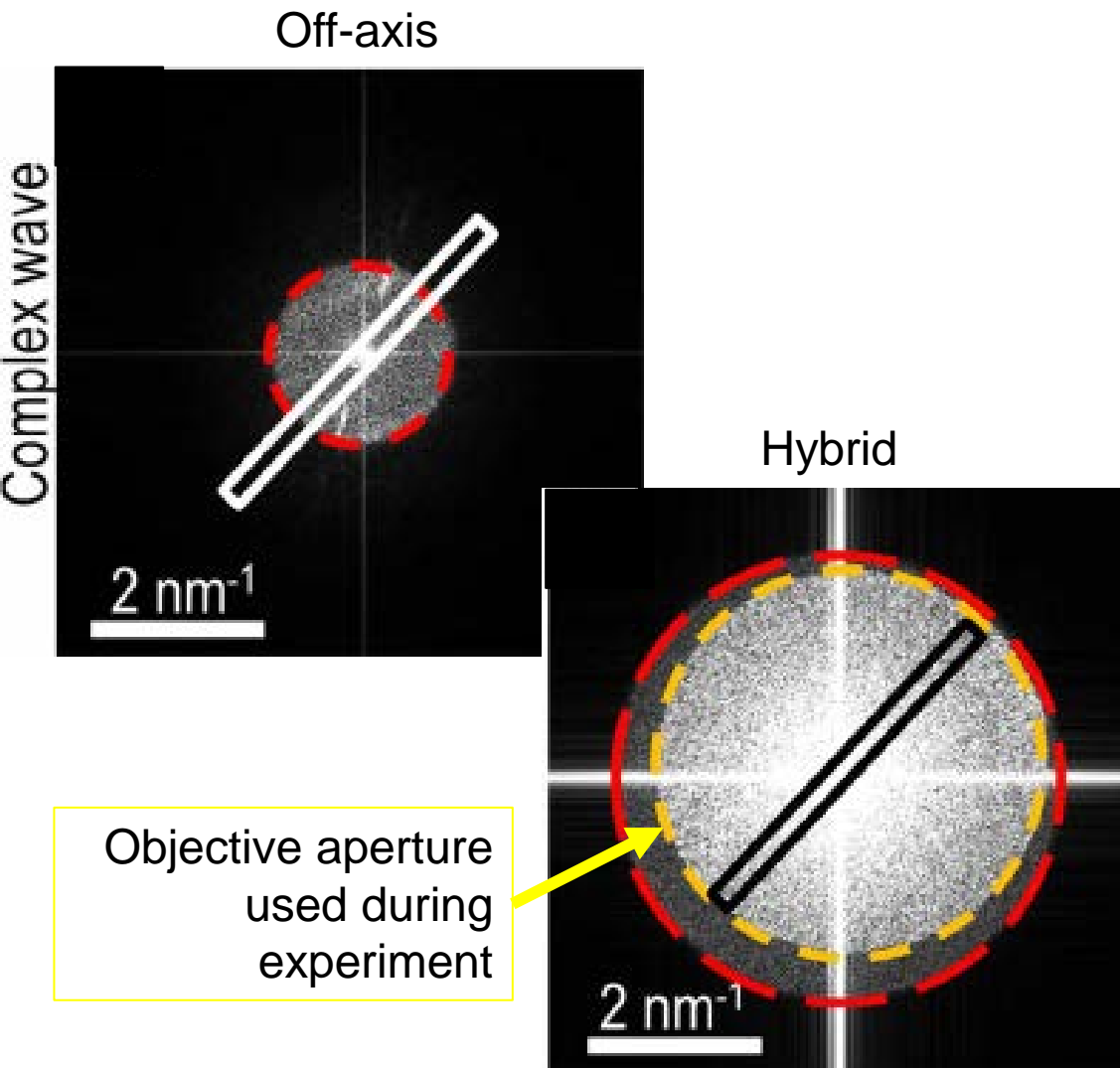
### Advantages:

- Low spatial frequencies through off-axis holography (even if data is very noisy)
- High spatial frequencies from inline holography (at least two times better resolution)

# Experimental results



## Comparison of Spatial Resolution





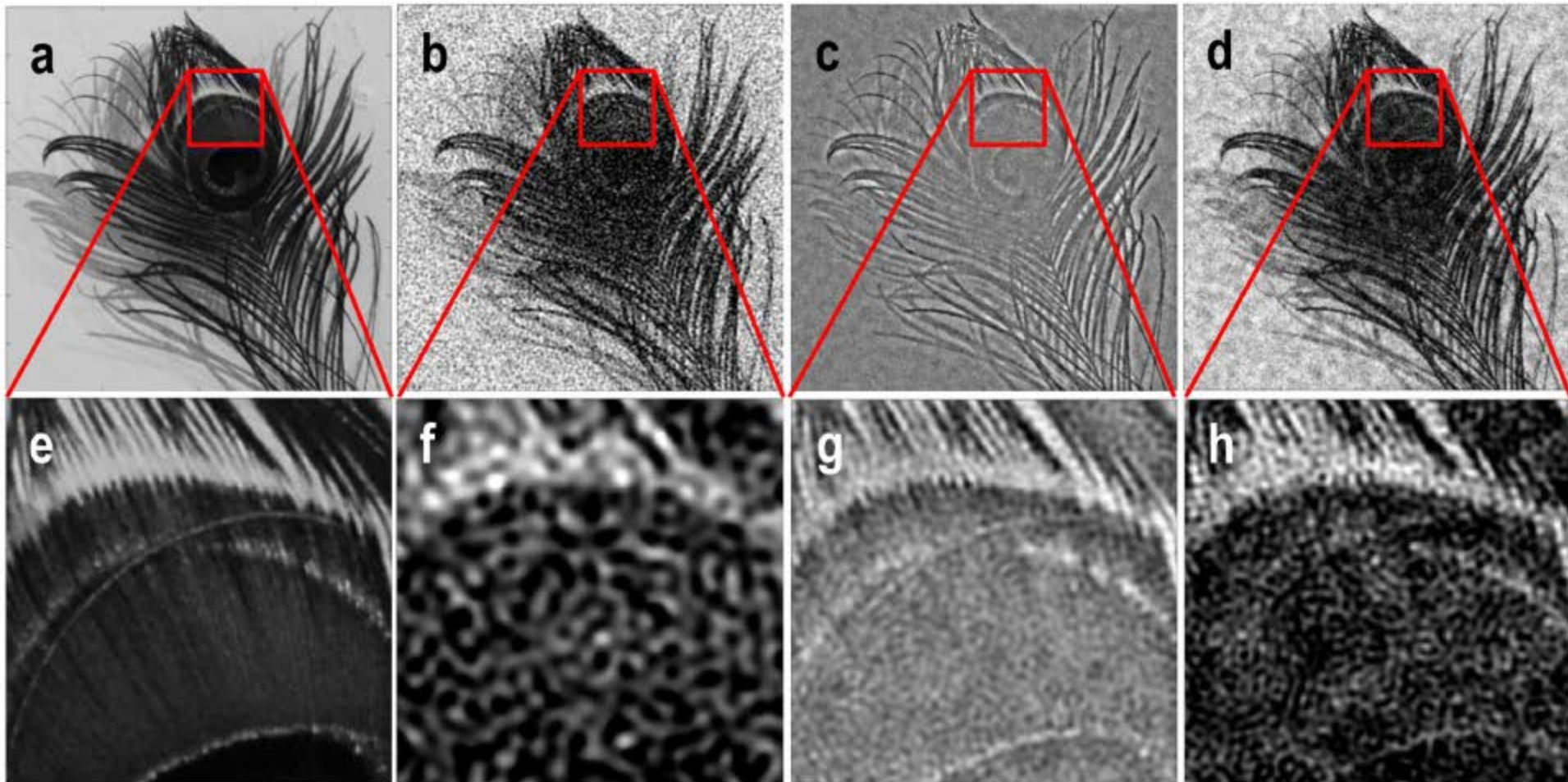
## Verification by Simulation

Original phase

Off-axis phase

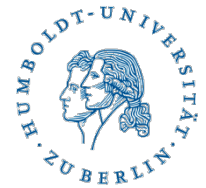
Inline phase

Hybrid phase



Equal electron dose in all 3 cases!

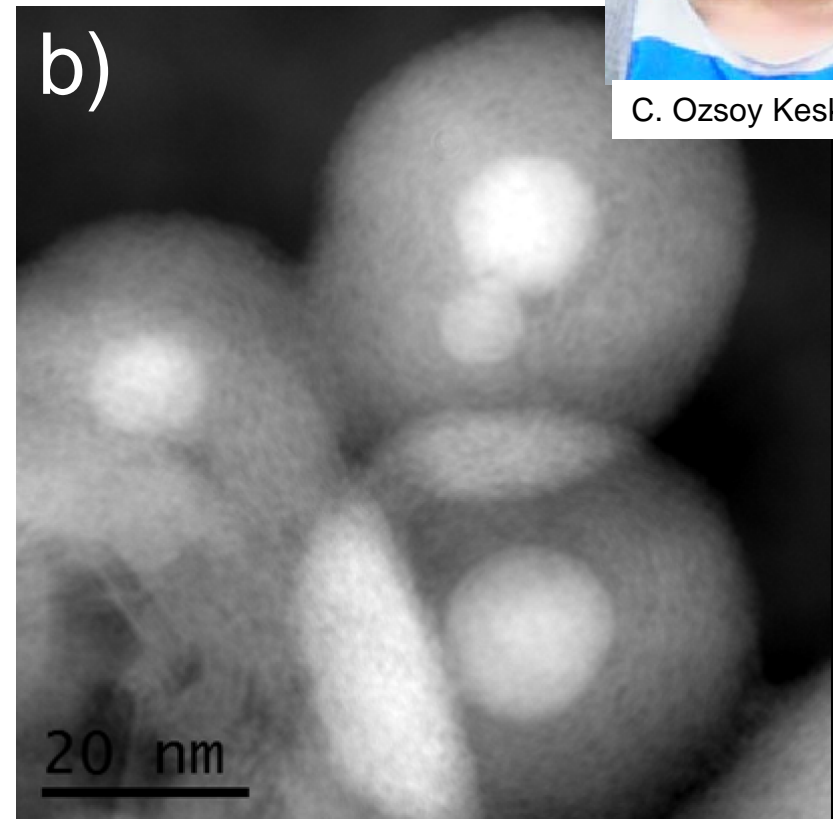
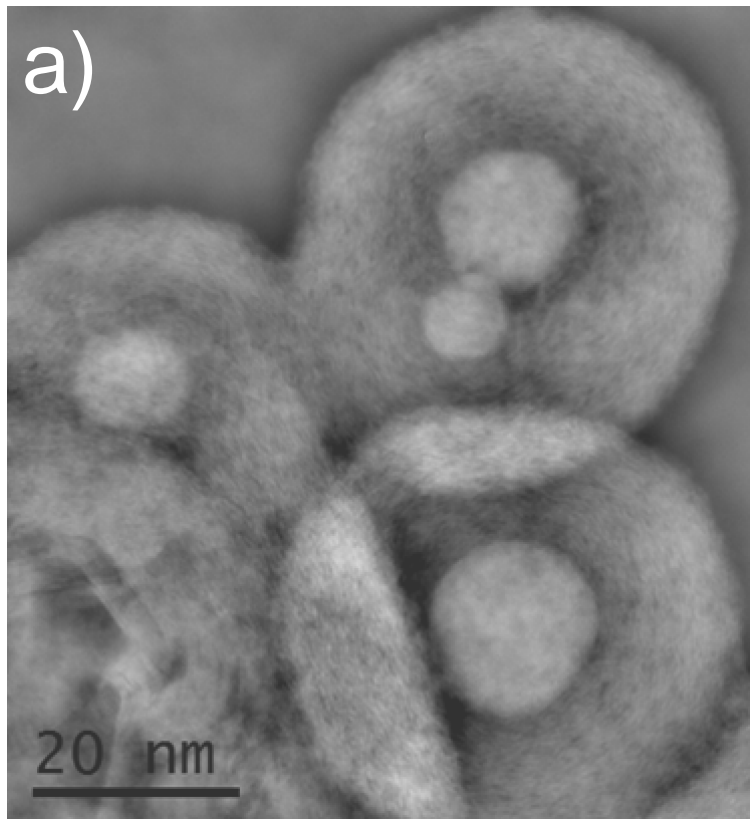




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## Dealing with low spatial frequencies



Conventional reconstruction algorithm

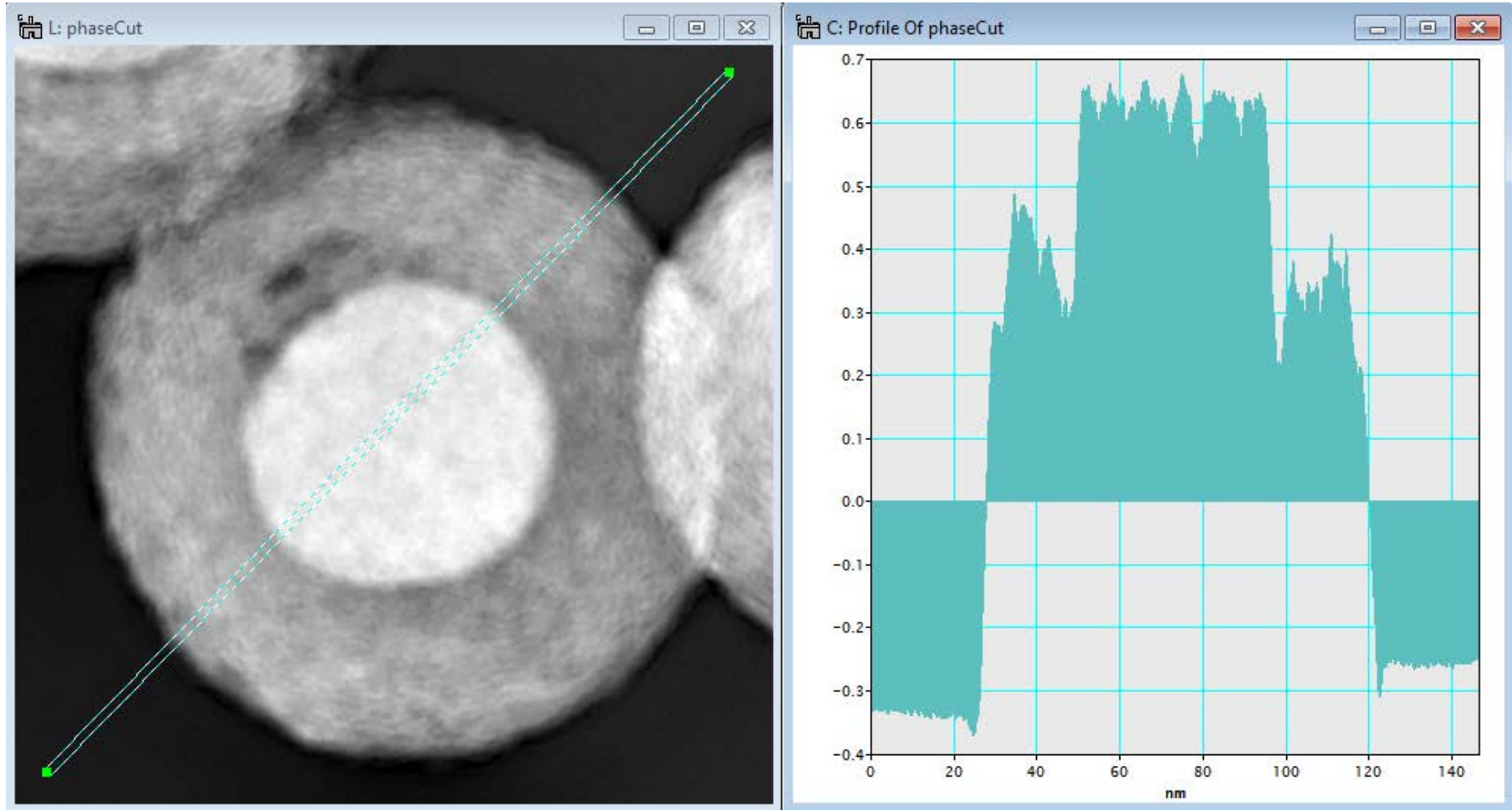
Regularized reconstruction

**Both reconstructions are equally consistent with the focal series data**



C. Ozsoy Keskinbora

# Very Low Frequencies in the Phase Unreliable by Inline Holography



## Different reconstruction versions

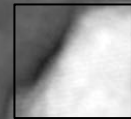
Simple non-linear

FRIH

Regularized FRIH

10 nm

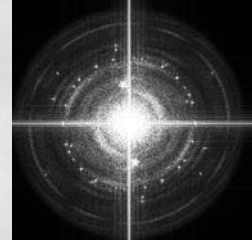
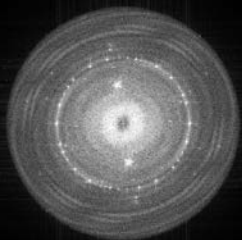
2 nm



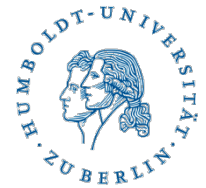
10 1/nm

10 1/nm

10 1/nm





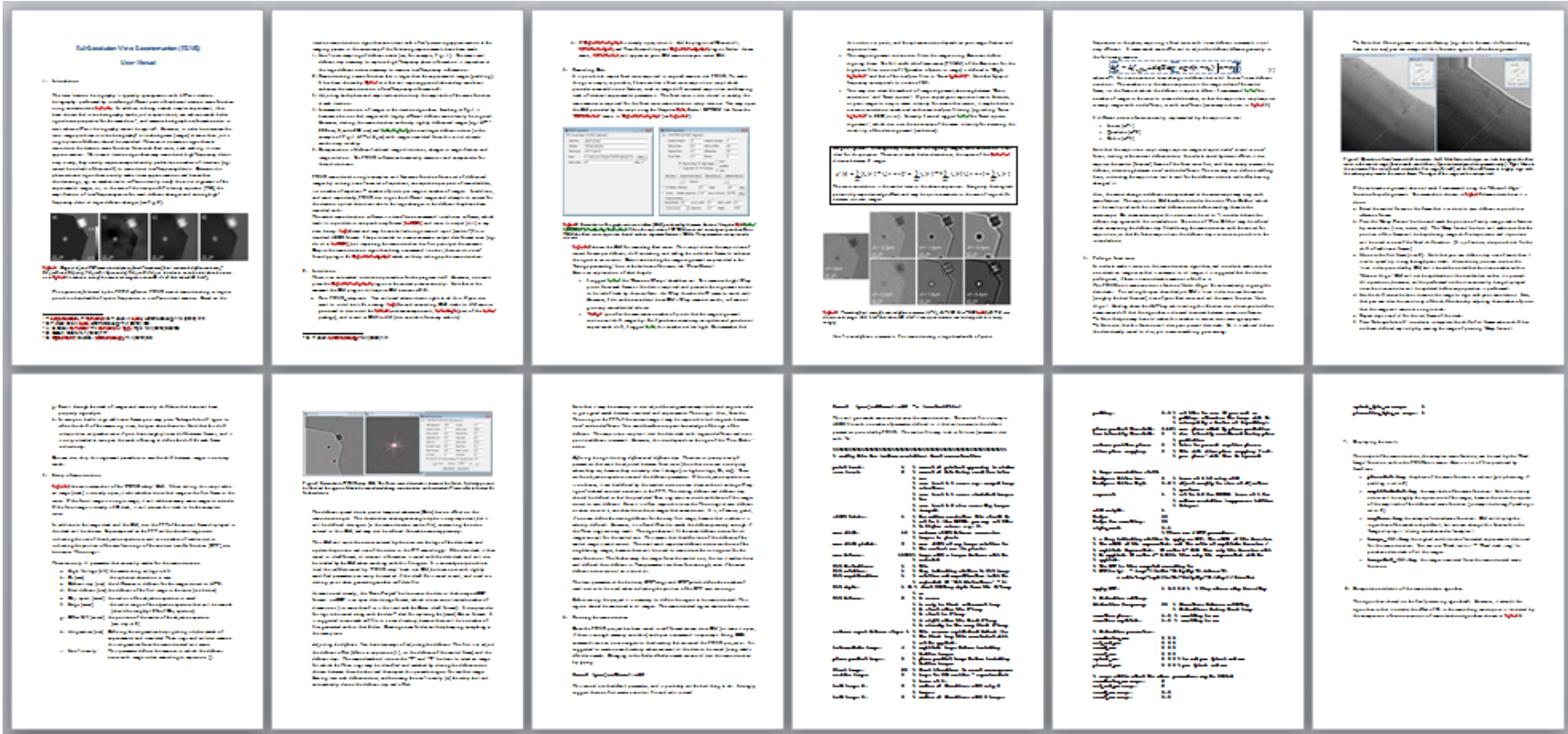


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  - Gradient-flipping inline holography
- Introducing the full-resolution wave reconstruction (FRWR) code
- Data Acquisition at the TEM



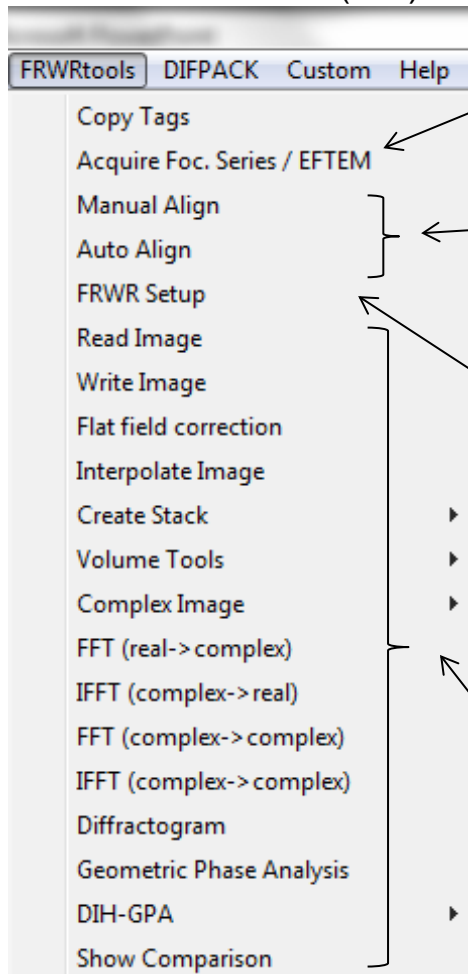
# User Manual



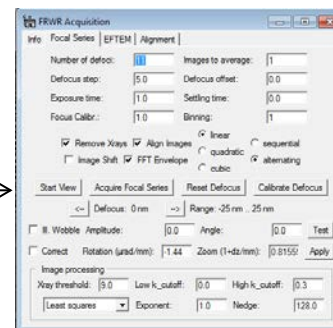
Not fully up to date ☹️, but still highly recommended

# FRWR Workflow

## FRWRtools menu (DM)

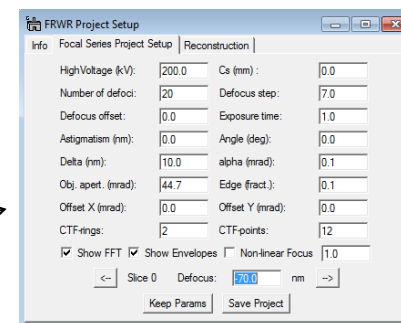


1. Data acquisition

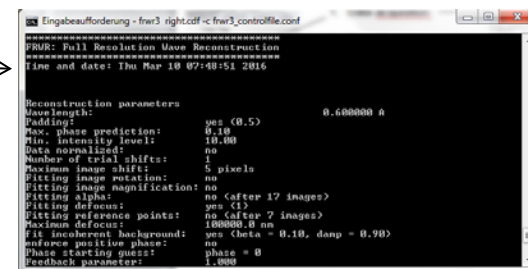


2. Data pre-alignment  
(further details in manual)

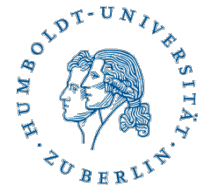
3. Setup of reconstruction



4. Running the reconstruction  
(results saved in .img format)



5. Read results into DM  
and process the data  
(e.g. for strain mapping)



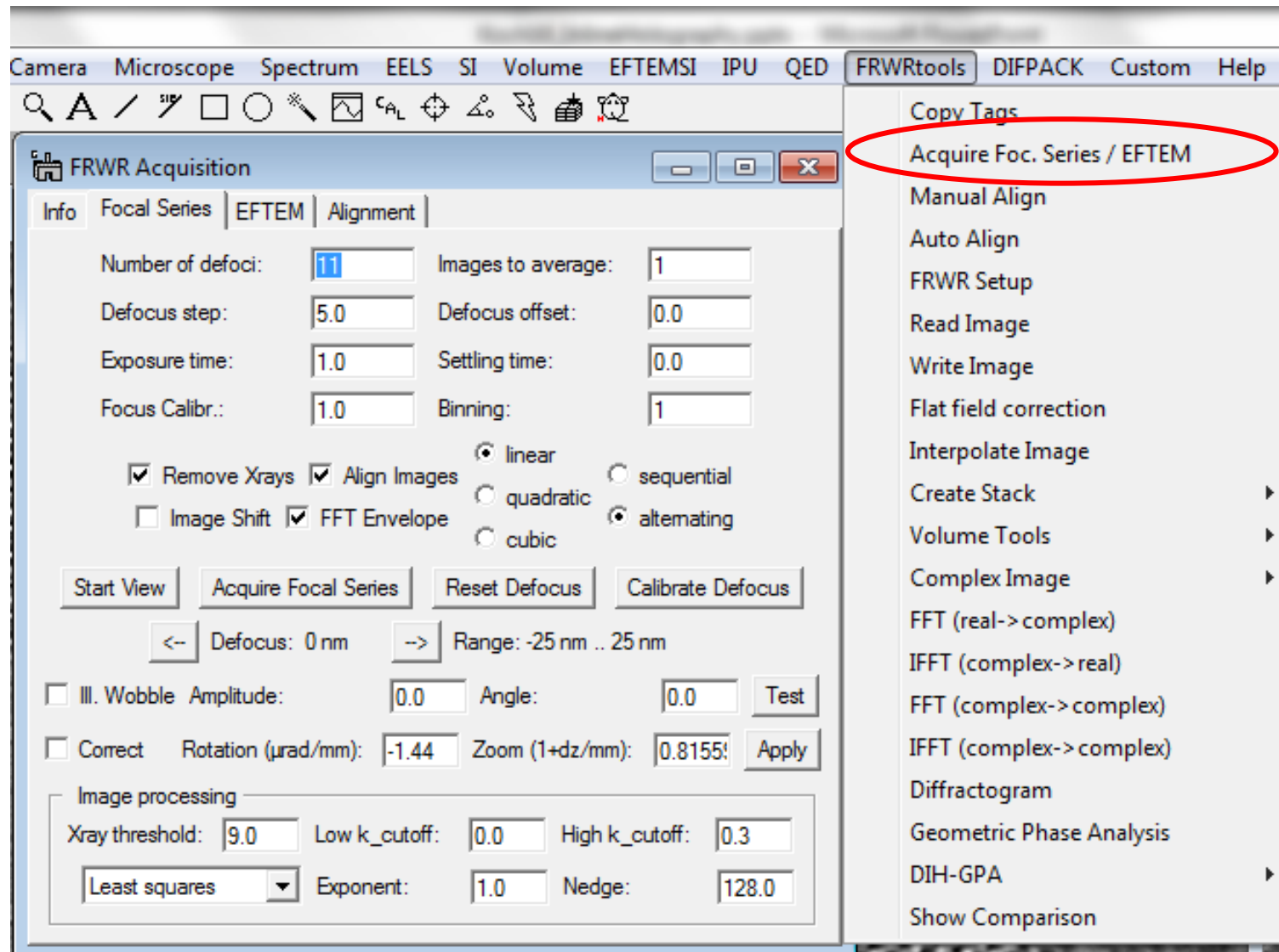
## Towards Full-Resolution Inline Holography (FRIH)

Features of the FRWR (full-resolution wave reconstruction) software:

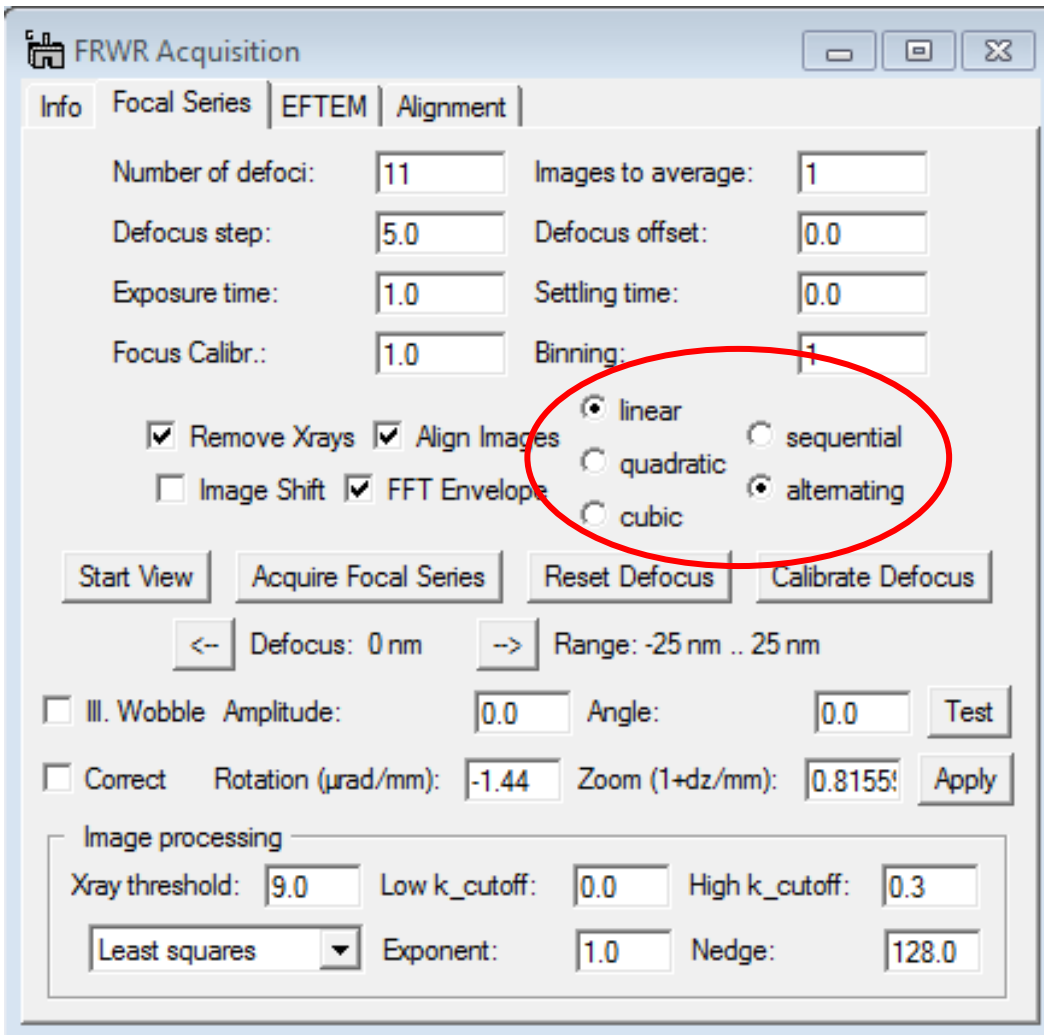
- **Non-linear defocus increments**
- **Fully automated acquisition in DM**
- Setup of reconstruction in DM
- Compensation of distortions induced by changes in objective lens current
- Phase prediction - applies a variant of the TIE with  $(I^{\text{exp}} - I^{\text{sim}})/df$  as input
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# The FRWRTools Plugin



# The Acquisition Tool



FRWR Acquisition

Info Focal Series EFTEM Alignment

Number of defoci: 11 Images to average: 1

Defocus step: 5.0 Defocus offset: 0.0

Exposure time: 1.0 Settling time: 0.0

Focus Calibr.: 1.0 Binning: 1

☒ Remove Xrays ☒ Align Images ☐ Image Shift ☒ FFT Envelope

☒ linear ☐ sequential  
☐ quadratic ☒ alternating  
☐ cubic

Start View Acquire Focal Series Reset Defocus Calibrate Defocus

<-- Defocus: 0 nm --> Range: -25 nm .. 25 nm

☐ Ill. Wobble Amplitude: 0.0 Angle: 0.0 Test

☐ Correct Rotation (μrad/mm): -1.44 Zoom (1+dz/mm): 0.8155! Apply

Image processing

Xray threshold: 9.0 Low k\_cutoff: 0.0 High k\_cutoff: 0.3

Least squares Exponent: 1.0 Nedge: 128.0

## Non-linear defocus increments:

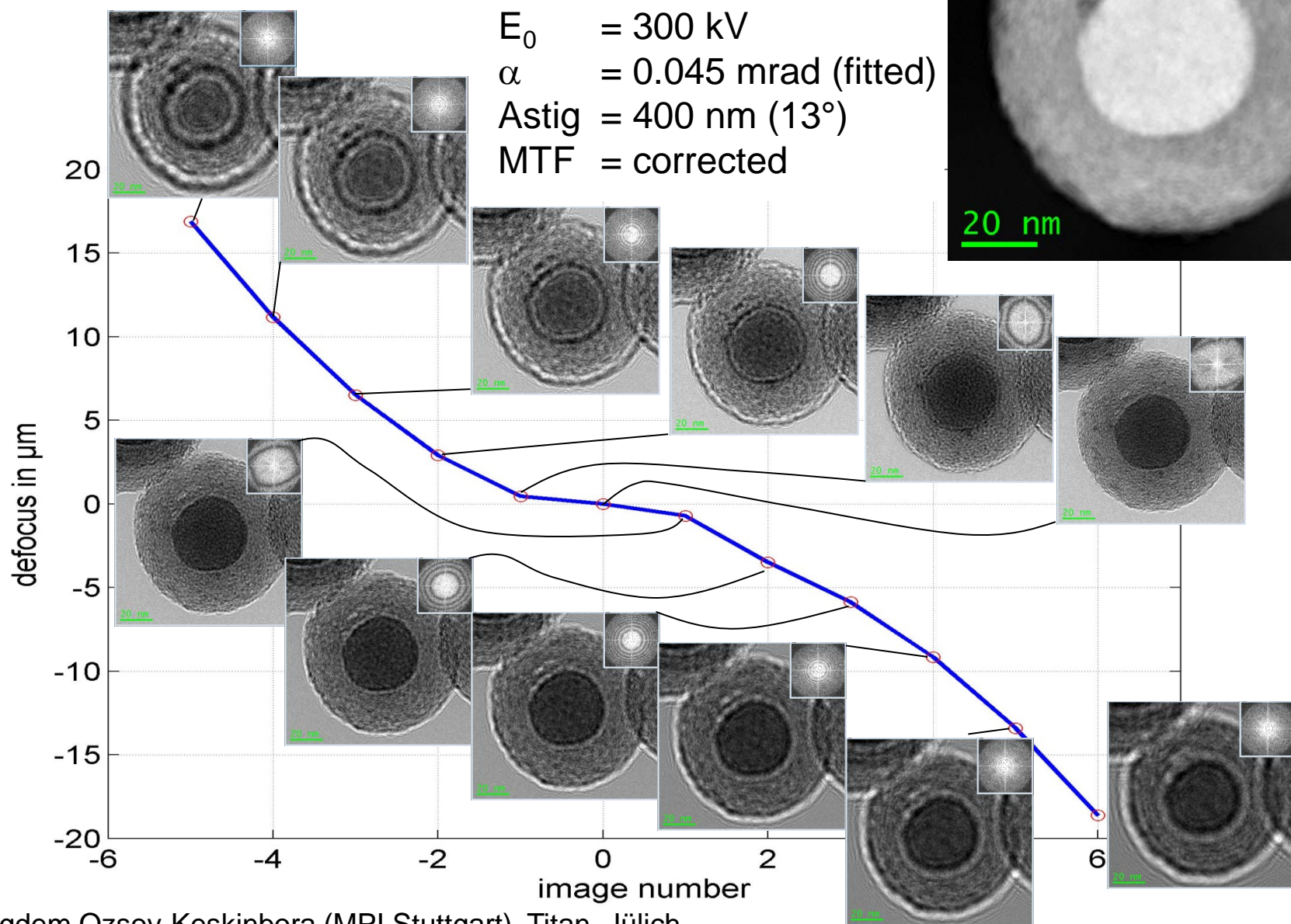
(n=1 [linear], 2 [quadratic], or 3 [cubic])

$$\Delta f_n = \Delta f_{\text{offset}} + \text{defStep} \cdot \text{sign}(n - n_0) \cdot |n - n_0|^m$$

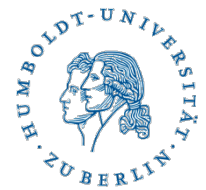
## Sequential acquisition:

- Aim is to have accurate defocus at small defocus values
- Sequential acquisition first jumps to lowest underfocus (if defstep > 0) => hysteresis!
- Alternating acquisition uses the following sequence:  
df = 0, -1, +1, -2, +2, -3, +3, ...

## Nonlinear defocus sampling



data: Cigdem Ozsoy-Keskinbora (MPI Stuttgart), Titan, Jülich



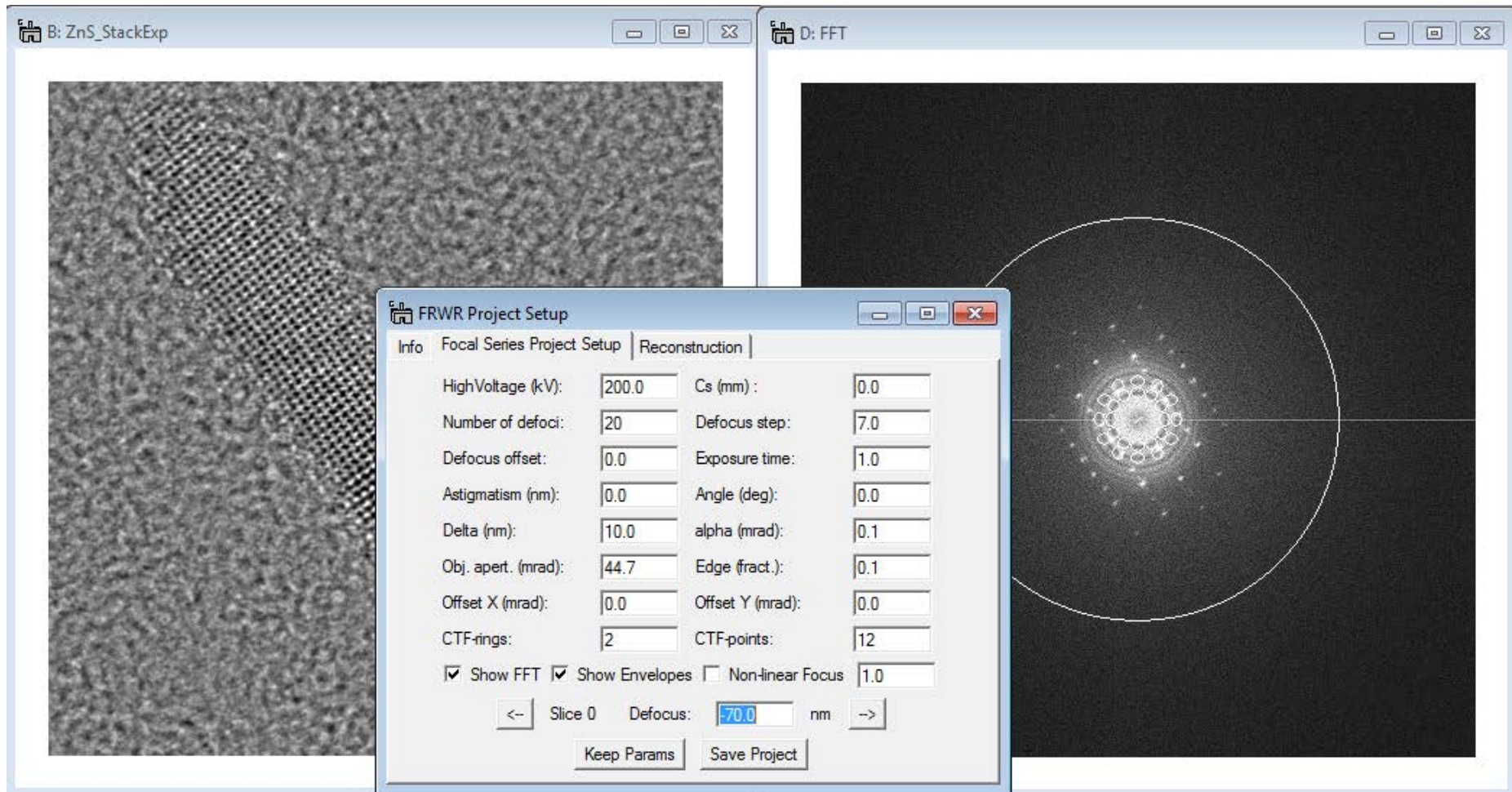
# Towards Full-Resolution Inline Holography (FRIH)

Features of the FRWR (full-resolution wave reconstruction) software:

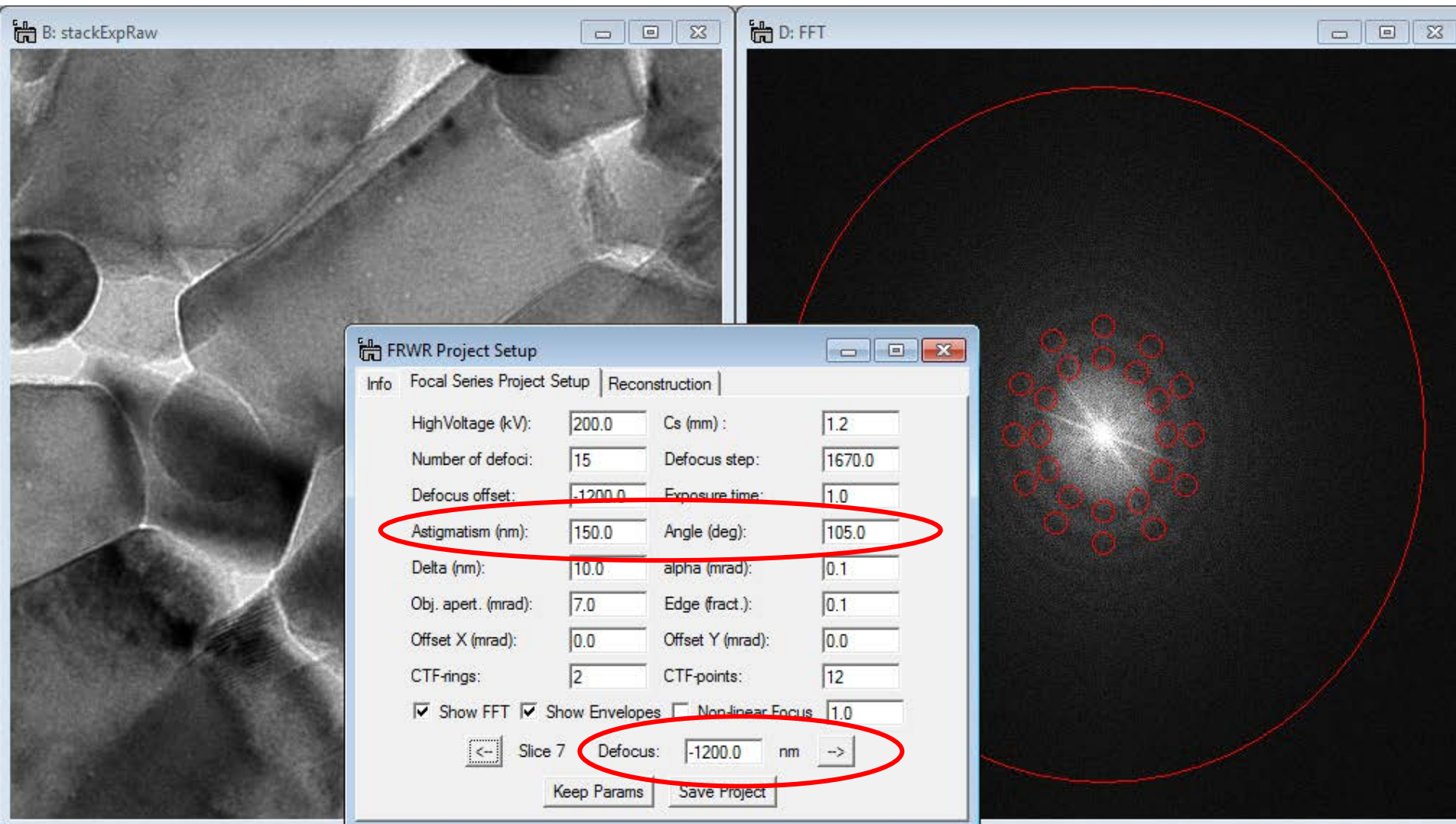
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# Setup Tool

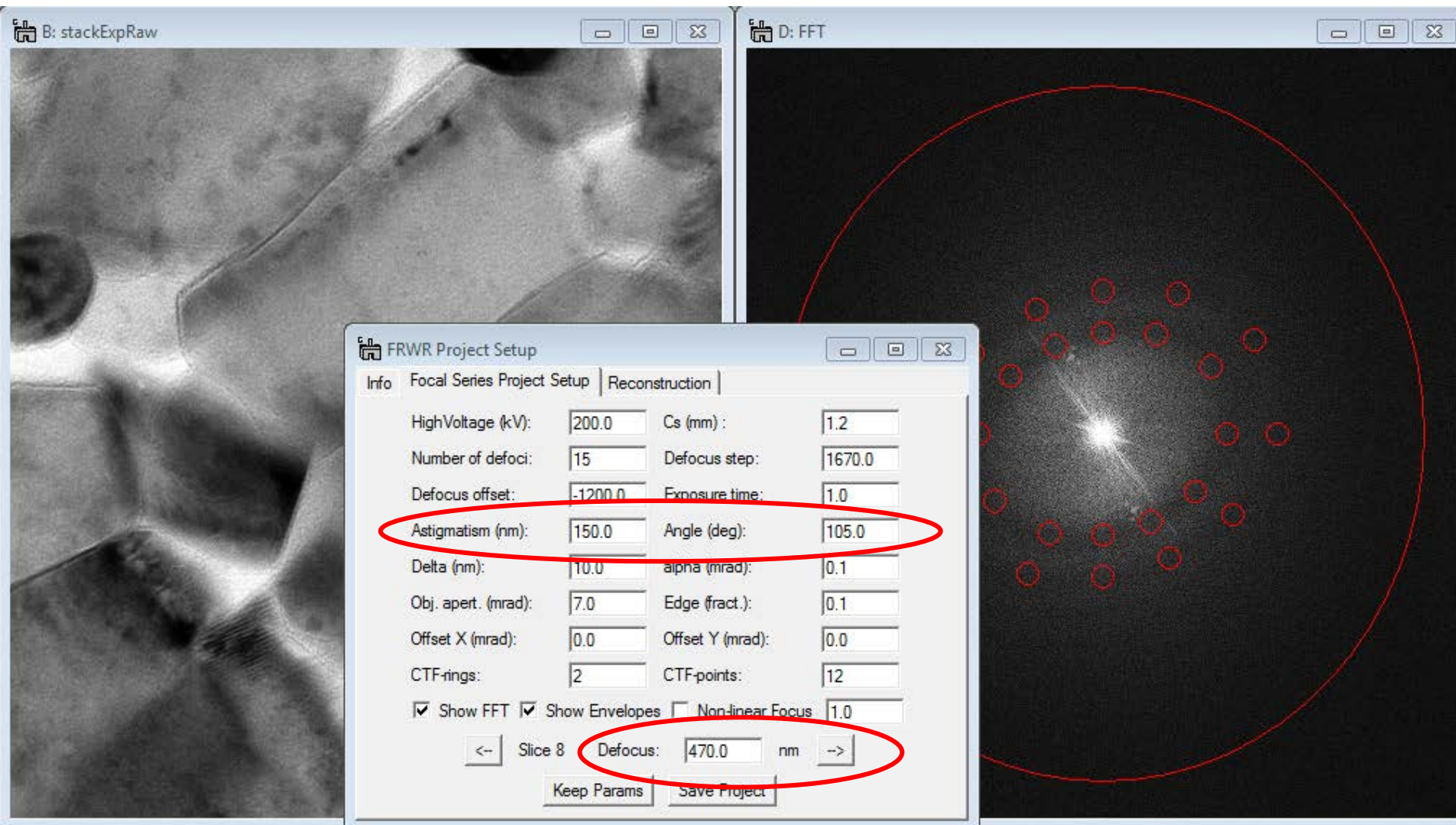


## Adjust defocus & Astigmatism at Underfocus





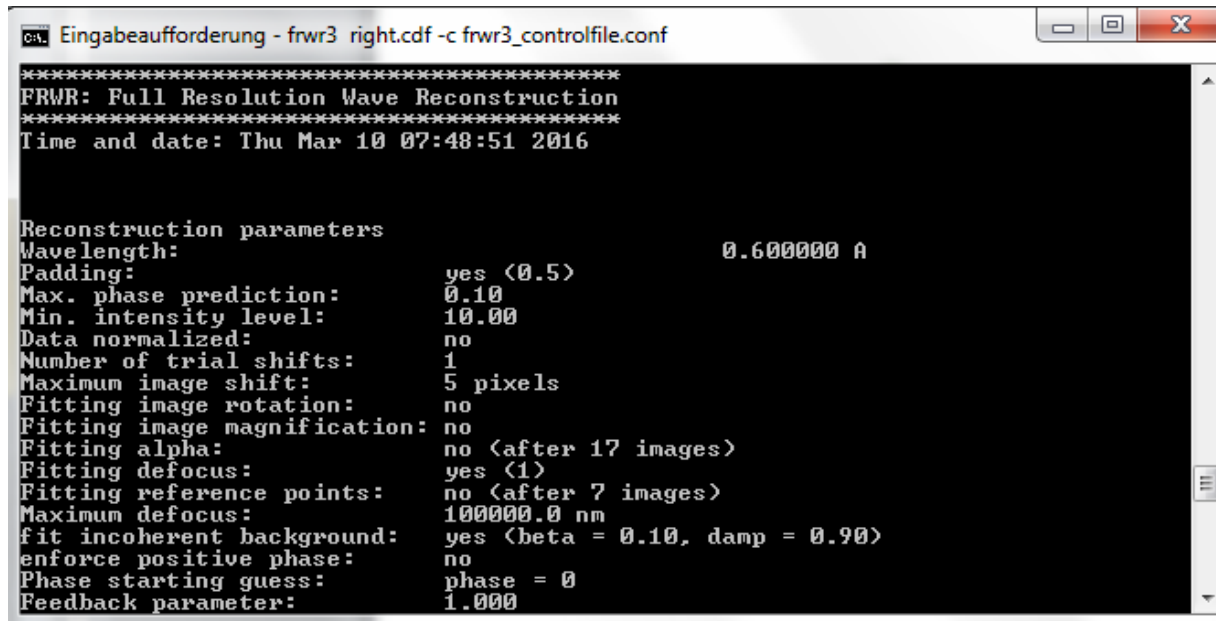
## Adjust defocus & Astigmatism at Overfocus



## Running the reconstruction

Open a command window, navigate to the folder where you want to store the results, and start the reconstruction using the command

```
frwr3 [projectName].cdf -c [controlFile]
```



```
Eingabeaufforderung - frwr3 right.cdf -c frwr3_controlfile.conf
*****
FRWR: Full Resolution Wave Reconstruction
*****
Time and date: Thu Mar 10 07:48:51 2016

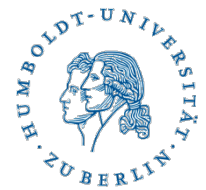
Reconstruction parameters
Wavelength:                                0.600000 A
Padding:                                   yes <0.5>
Max. phase prediction:                     0.10
Min. intensity level:                     10.00
Data normalized:                           no
Number of trial shifts:                    1
Maximum image shift:                       5 pixels
Fitting image rotation:                   no
Fitting image magnification:              no
Fitting alpha:                            no <after 17 images>
Fitting defocus:                          yes <1>
Fitting reference points:                 no <after 7 images>
Maximum defocus:                          1000000.0 nm
fit incoherent background:               yes <beta = 0.10, damp = 0.90>
enforce positive phase:                   no
Phase starting guess:                     phase = 0
Feedback parameter:                       1.000
```

Because of extensive hard disc access this reconstruction may run for quite some time, especially if distortion correction is turned on.

Reconstructions can always be interrupted (Ctrl + C) and resumed with the command

```
frwr3 [projectName].cdf -c [controlFile] -r
```





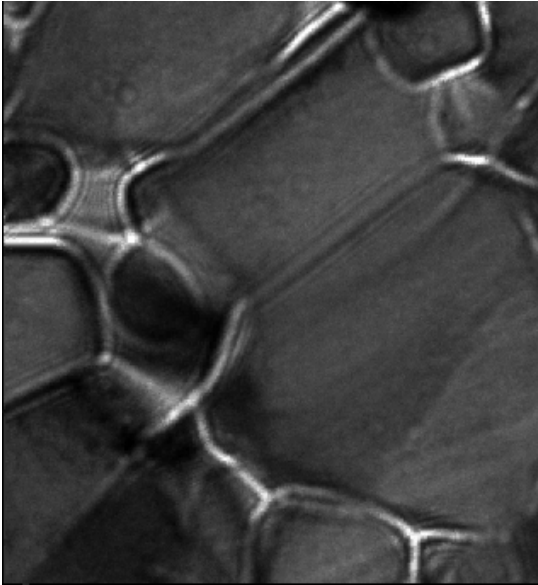
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# Low Spatial Frequencies: Compensate Distortions !

Raw experimental data



Distortions (amplified x5)

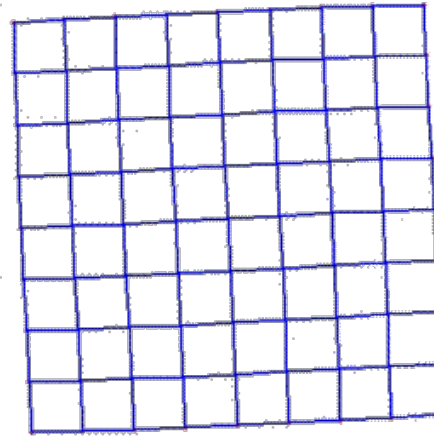
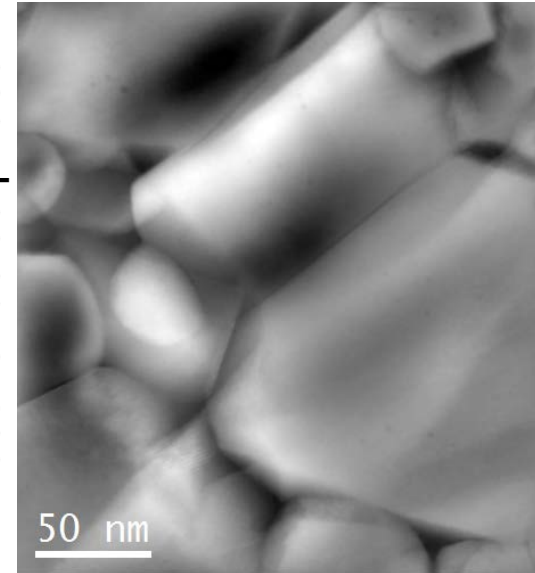
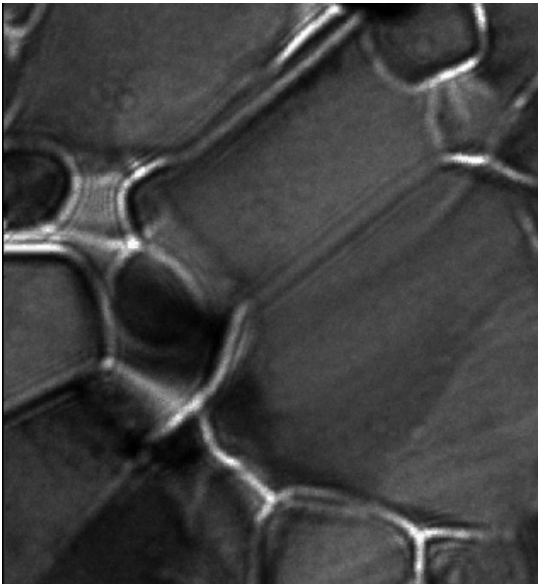


Image 3, Defocus = -12184 nm

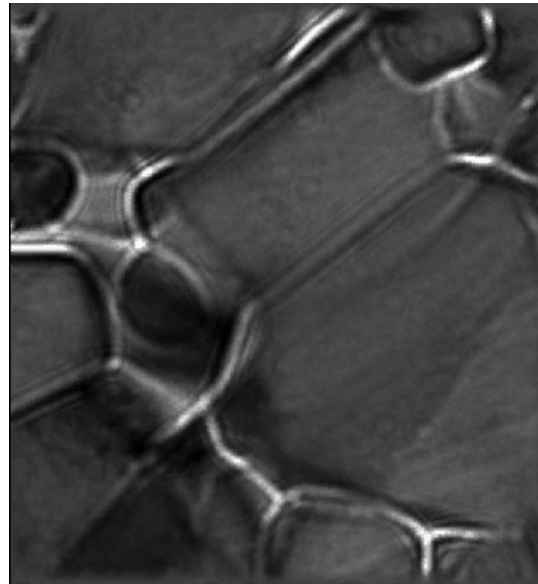
Reconstructed phase



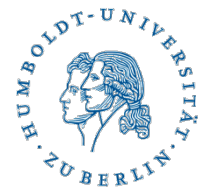
Distortions corrected



Simulated from wave



Use simulated  
focal series for  
verifying the result



## How to control distortion fitting

A few lines from the control file:

```
fit distortions:      1
fit rotation:         1
fit magnification:    1
```

Turns distortion fitting on/off

Controls fitting rotation and magnification separately (only if 'fit distortions' =1)

```
zoomFactor_mm: 0 0 0
```

```
rot_rad_mm: 0 0 0
```

```
zoomX_mm: 0 0 0
```

```
zoomY_mm: 0 0 0
```

```
spiral_mm: 0 0 0 % in rad per kpixel
```

```
pincush_mm: 0 0 0 % per kpixel and
```

Starting parameters for distortions

- microscope dependent

- can be obtain from previous reconstructions

```
zoomFactor_mm range: 3
```

```
rot_rad_mm range: 2
```

```
zoomX_mm range: 0.1
```

```
zoomY_mm range: 0.1
```

```
spiral_kpix_mm range: 0.4
```

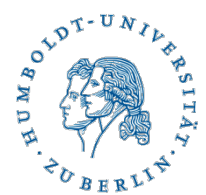
```
pincushion_kpix_mm range: 0.4
```

Range over which you allow parameters to vary

- microscope dependent

- always per mm of defocus

=> small defocus => small distortions



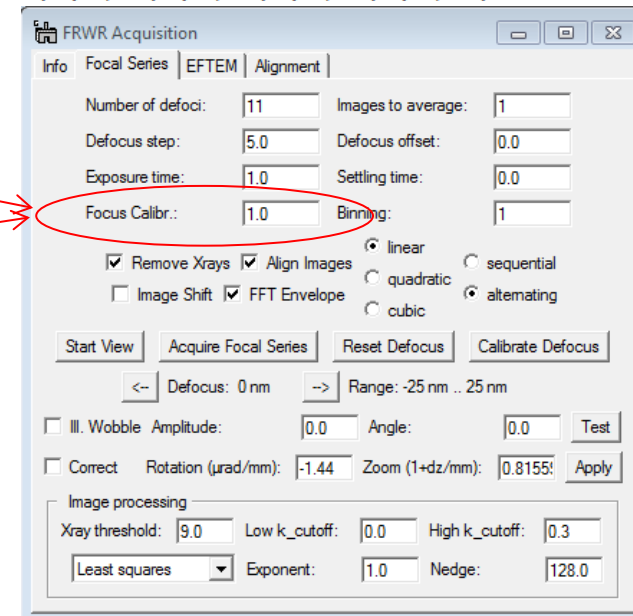
## Reporting of Distortion Parameters: imgParams.log

```
defocus: -11468.386 -10206.851 -8843.599 -7370.959 -5878.288 -4378.202 -2817.284 -1244.810 500.000 2039.198 3660.883 5279.983 6853.055 8481.858 10028.473
rotation: 0.000321 0.000320 0.000302 0.000268 0.000222 0.000169 0.000111 0.000054 -0.000000 -0.000035 -0.000052 -0.000044 -0.000008 0.000066 0.000175
zoom: 0.000836 0.000787 0.000721 0.000637 0.000537 0.000426 0.000299 0.000162 -0.000000 -0.000149 -0.000310 -0.000473 -0.000633 -0.000797 -0.000950
zoomX: -0.000000 -0.000000 -0.000000 -0.000000 -0.000000 -0.000000 -0.000000 -0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000
zoomY: -0.000000 -0.000000 -0.000000 -0.000000 -0.000000 -0.000000 -0.000000 -0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000
spiral: 0.001318 0.000840 0.000455 0.000171 -0.000001 -0.000080 -0.000091 -0.000057 0.000000 0.000035 0.000027 -0.000060 -0.000250 -0.000587 -0.001068
pincushion: 0.001293 0.001117 0.000941 0.000765 0.000601 0.000446 0.000296 0.000153 -0.000000 -0.000131 -0.000268 -0.000407 -0.000546 -0.000696 -0.000847
alpha: 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930 0.033930
position: (48, 35) (48, 35) (47, 36) (48, 35) (48, 35) (48, 36) (48, 36) (48, 36) (48, 35) (48, 35) (49, 35) (49, 35) (48, 35) (48, 35) (49, 35)
```

Calibration=fitted def./requested def.

The fitted defocus step  
(can also be used for microscope calibration):

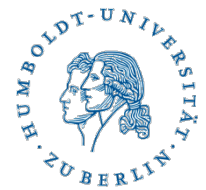
```
defocus fit: 1628.79 1.99006 -1.76152 nm
```



The following section from imgParams.log can be  
copied into the control file for future reconstructions:

```
zoomFactor_mm: -0.0949699 -1.20323 74.5796
rot_rad_mm: -0.0270412 2.646 222.616
zoomX_mm: 0 0 0
zoomY_mm: 0 0 0
spiral_mm: 0.0307718 -3.13081 -1244.92 % in rad per kpixel and mm
pincush_mm: -0.0859823 0.64515 -99.9325 % per kpixel and mm
```



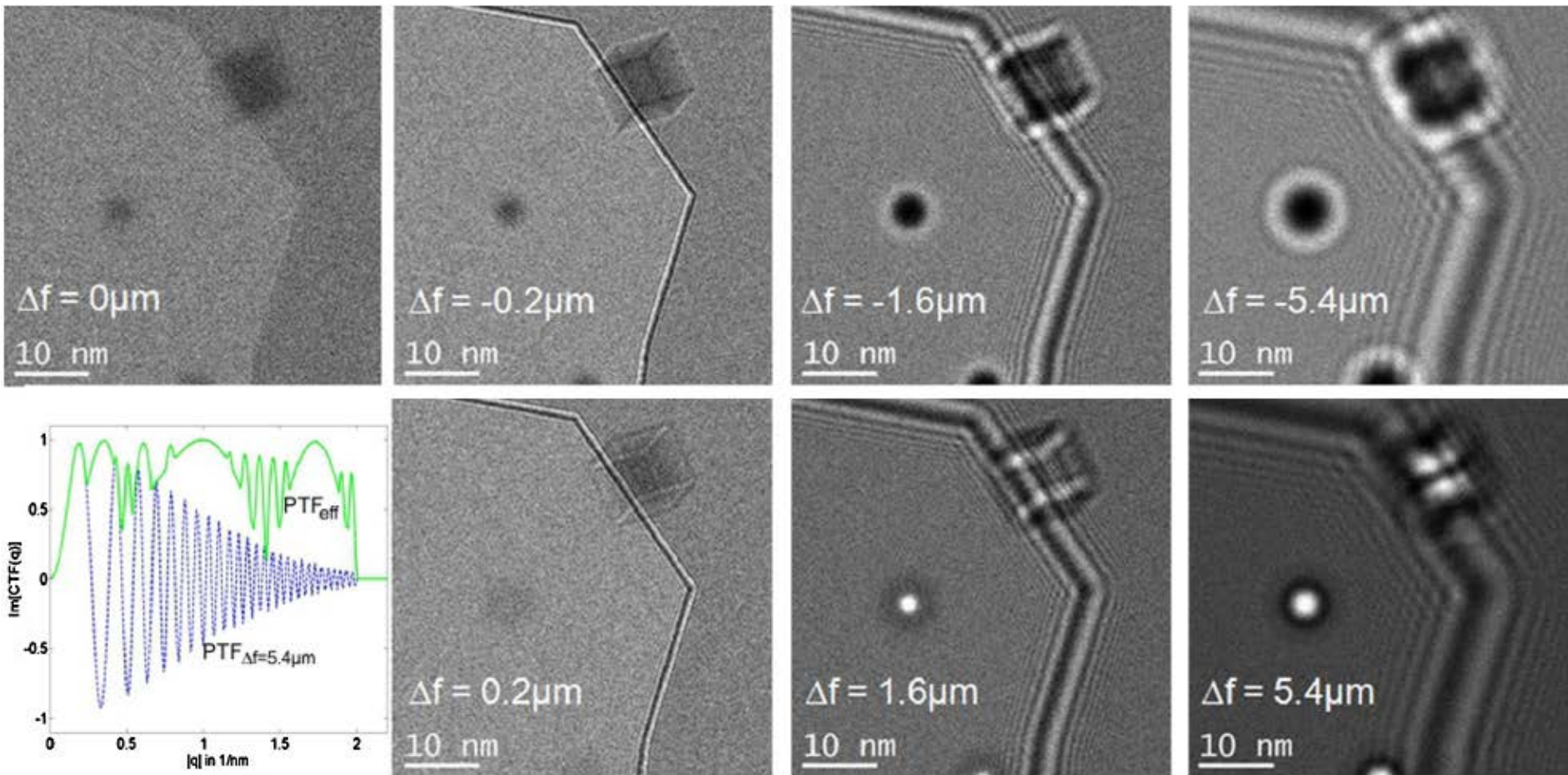


## Towards Full-Resolution Inline Holography (FRIH)

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# Recovering Low Frequencies Despite Low Spatial Coherence

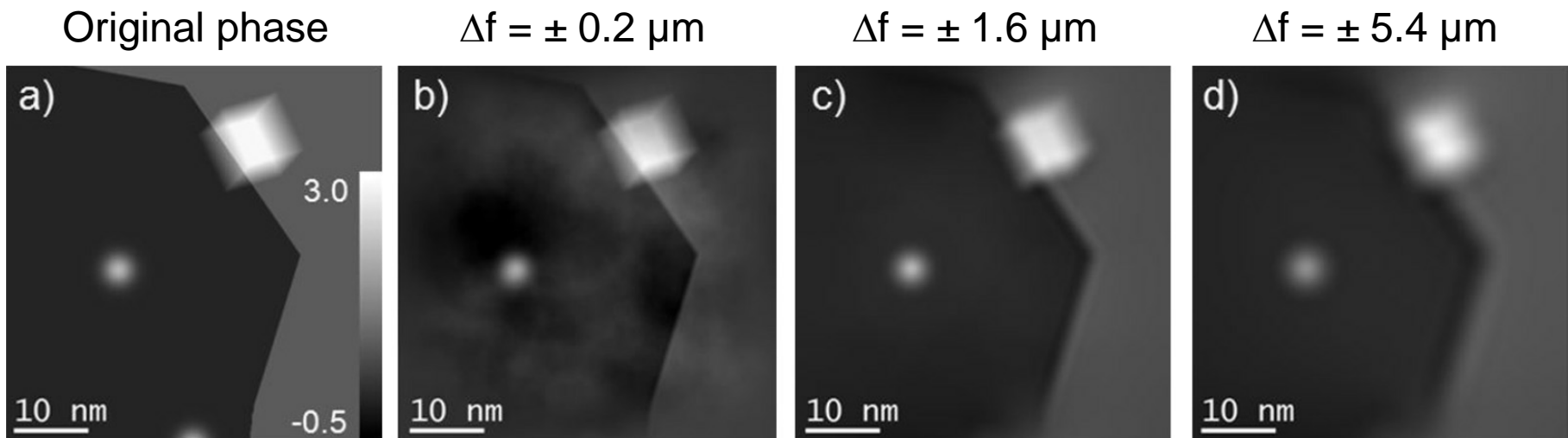


Electron beam energy: 200 kV ( $\lambda = 2.51$  pm)  
 Illumination convergence semi-angle:  $\alpha = 0.05$  mrad  
 Dose: 34.2 electrons /  $\text{\AA}^2$

## Applying the Transport of Intensity Equation

$$\frac{2\pi}{\lambda} \frac{\partial I(\vec{r}, \Delta f)}{\partial \Delta f} = \nabla_{x,y} \cdot [I(\vec{r}, \Delta f) \nabla_{x,y} \phi(\vec{r}, \Delta f)]$$

Solving the TIE extrapolates to low spatial frequencies irrespective of spatial coherence



⇒ Compromise between low spatial frequency artefacts and resolution



The TIE is not self-consistent, requires boundary conditions

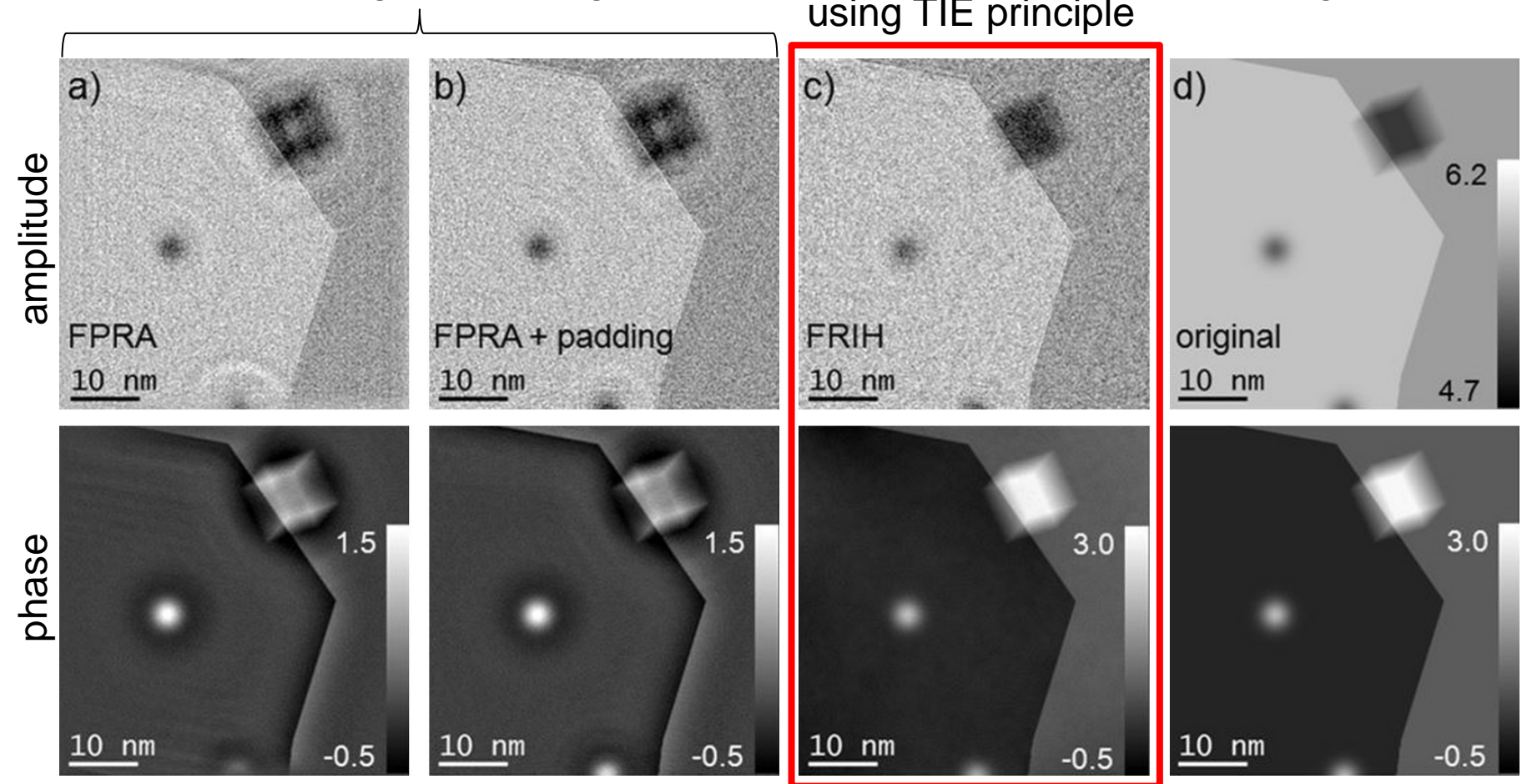


# Full-Resolution Inline Holography (FRIH)

Flux-preserving iterative algorithms

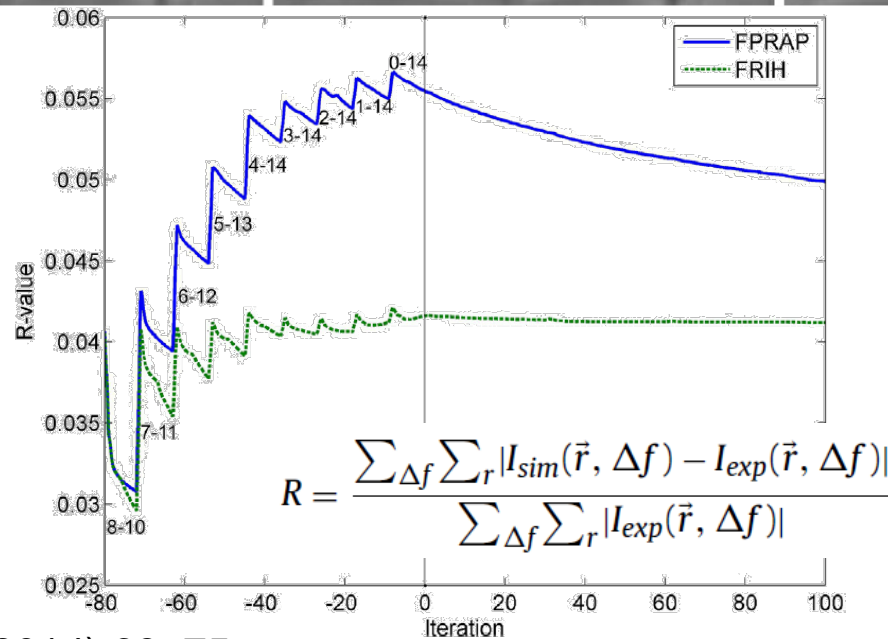
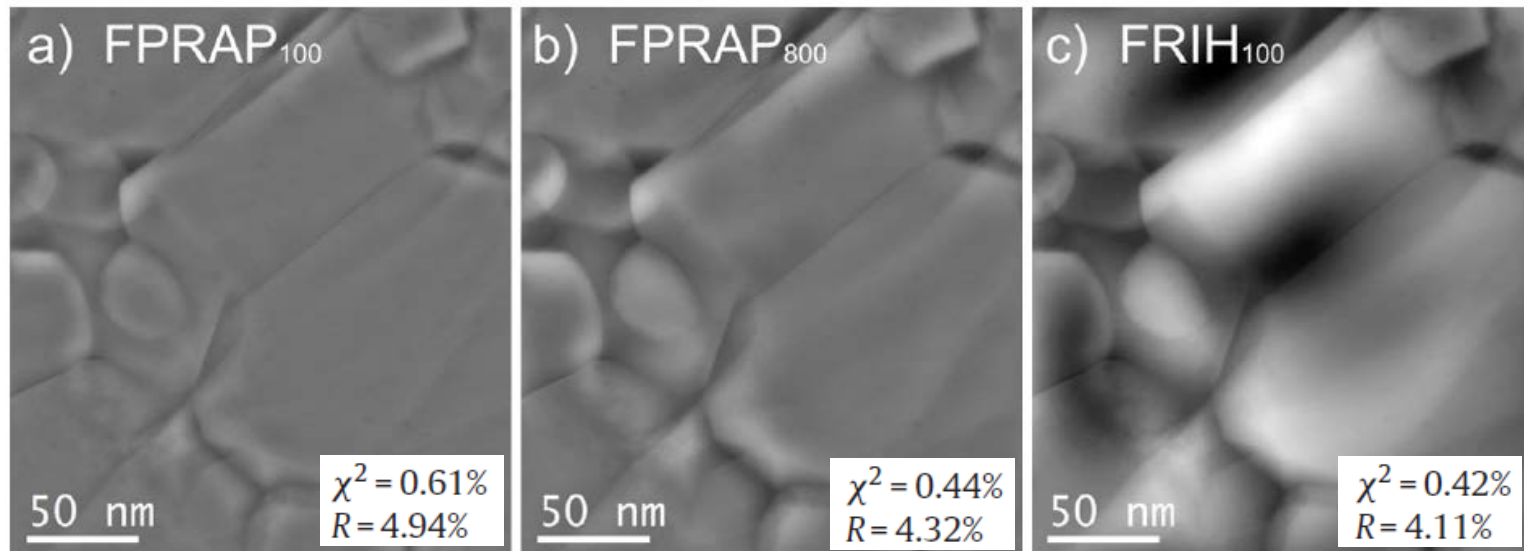
Phase prediction  
using TIE principle

Original





## Applying FRIH to Experimental Data



FPRAP<sub>iter</sub>:  
flux-preserving  
reconstruction algorithm  
with padding

## Phase Prediction in Control File

```
enforce equal defocus steps:      0
intermediate loops:               5
final loops:                     50
residue loops:                   0
```

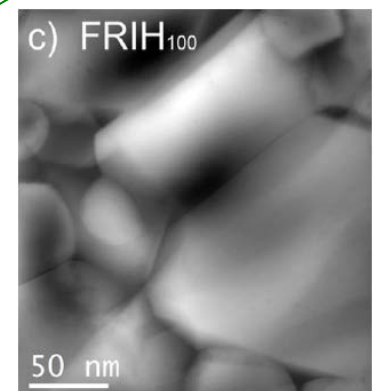
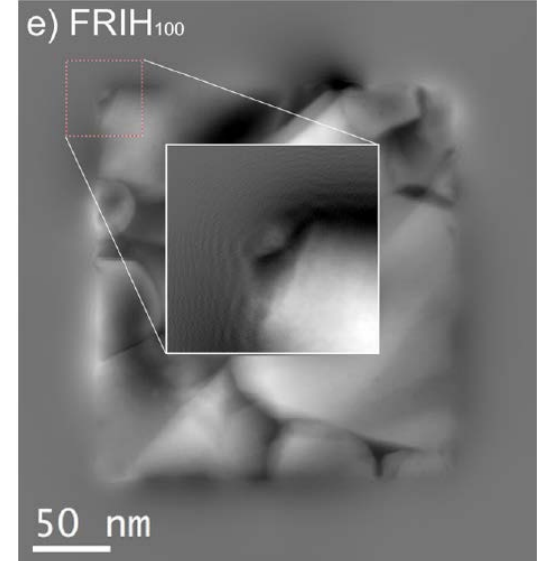
```
~~~~~
```

```
% Settings for Phase Prediction:
```

```
phase predict loops:             4 % applies TIE to residual
phase predict threshold:         0.025 % keep only small phase update
low intensity threshold:         5 % avoids dividing by zero in TIE
padding:                         0.25
```

```
~~~~~
```

```
Average chi2: 0.00171284
Image 0: defocus: 0.0 nm, wave shift: (0, 0)
Image 1: defocus: -0.1 nm, wave shift: (0, 0)
Image 2: defocus: -0.2 nm, wave shift: (0, 0)
Image 3: defocus: -0.3 nm, wave shift: (0, 0)
Image 4: defocus: -0.4 nm, wave shift: (0, 0)
Mean defocus step = -0.1nm
Will read image 5
6-Loop 0: align=1, R-value=0.0174959
6-Loop 1: align=0, R-value=0.017343
6-Loop 2: align=0, R-value=0.0172945
6-Loop 3: align=1, R-value=0.017267
6-Loop 4: align=0, R-value=0.0172463
6-Loop 5: align=0, R-value=0.0172295 (P)
6-Loop 6: align=0, R-value=0.0172472 (P)
6-Loop 7: align=0, R-value=0.0172754 (P)
6-Loop 8: align=0, R-value=0.0172974 (P)
```



5 iterations with flux-preserving  
GS-like projections

4 iterations with phase predictions

## Successive Increase of Defocus Range

frame	0	1	2	3	4	5	6
defocus	-90	-40	-10	0	10	40	90

Control file

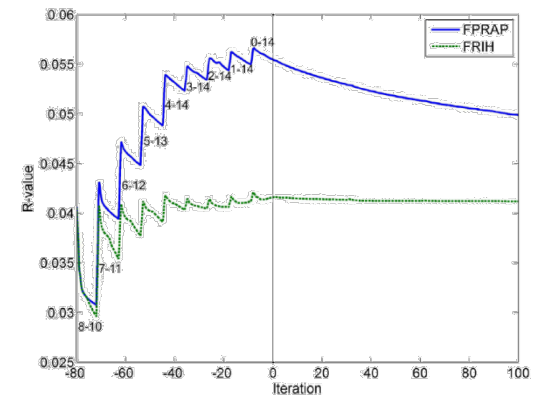
```
init loops 2:      5
init loops 3:      6
```

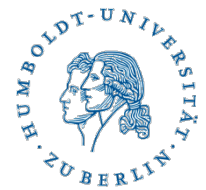
1. Find out whether frame 4 or 2 correlates better with frame 3
2. Reconstruct from only those two frames (e.g. 5 iterations)
3. Try including a 3rd frame below or above (e.g. 6 iterations)

4. Initial reconstruction using 3 images only (3-loop)
5. Alignment of images simulated for  $\Delta f = +40$  with exp. data

6. Reconstruction using 5 images only (5-loop)
7. Alignment of images simulated for  $\Delta f = \pm 90$  with exp. data

This procedure allows images with very different contrast to be aligned in a fully **automated** fashion quite **reliably**





## Towards Full-Resolution Inline Holography (FRIH)

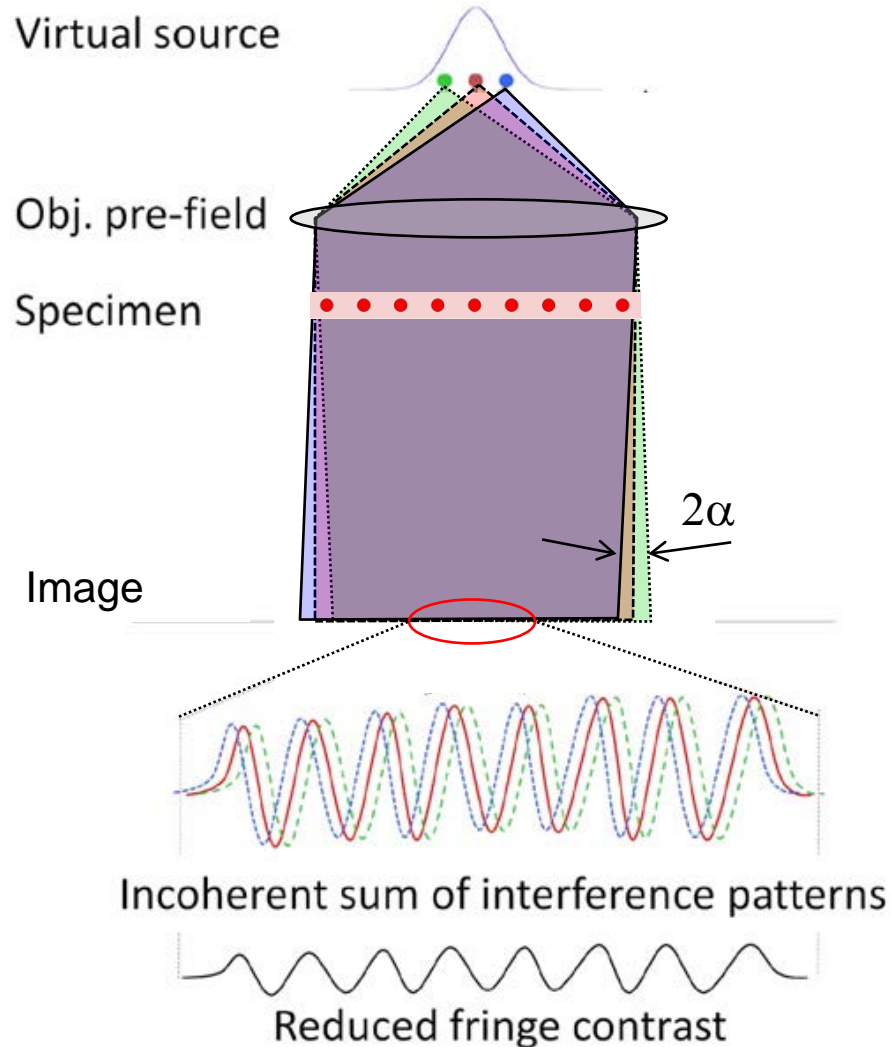
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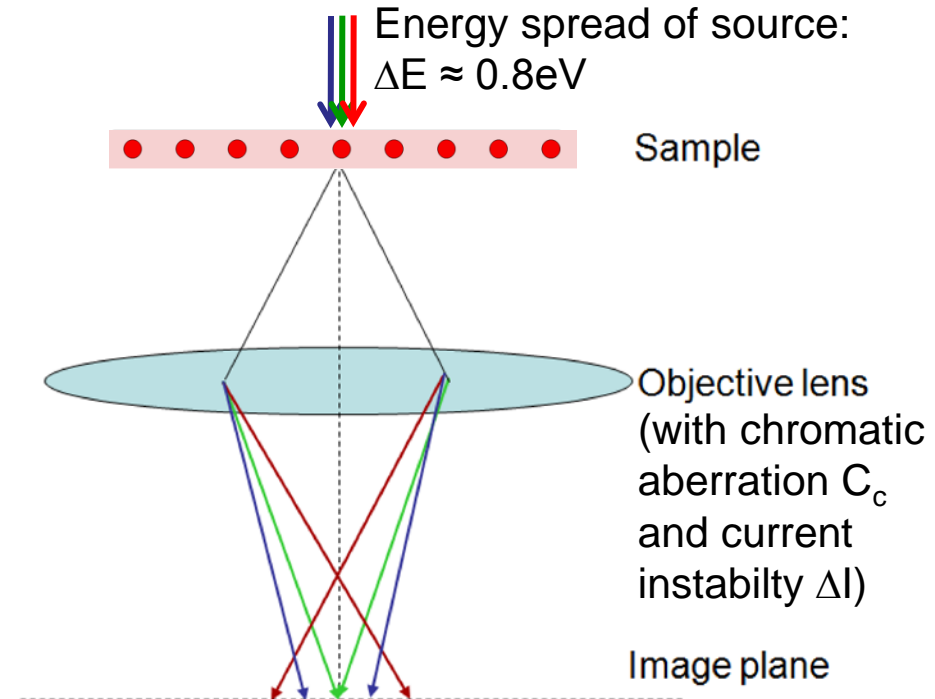


# Partial Coherence

## Partial **spatial** coherence



## Partial **temporal** coherence



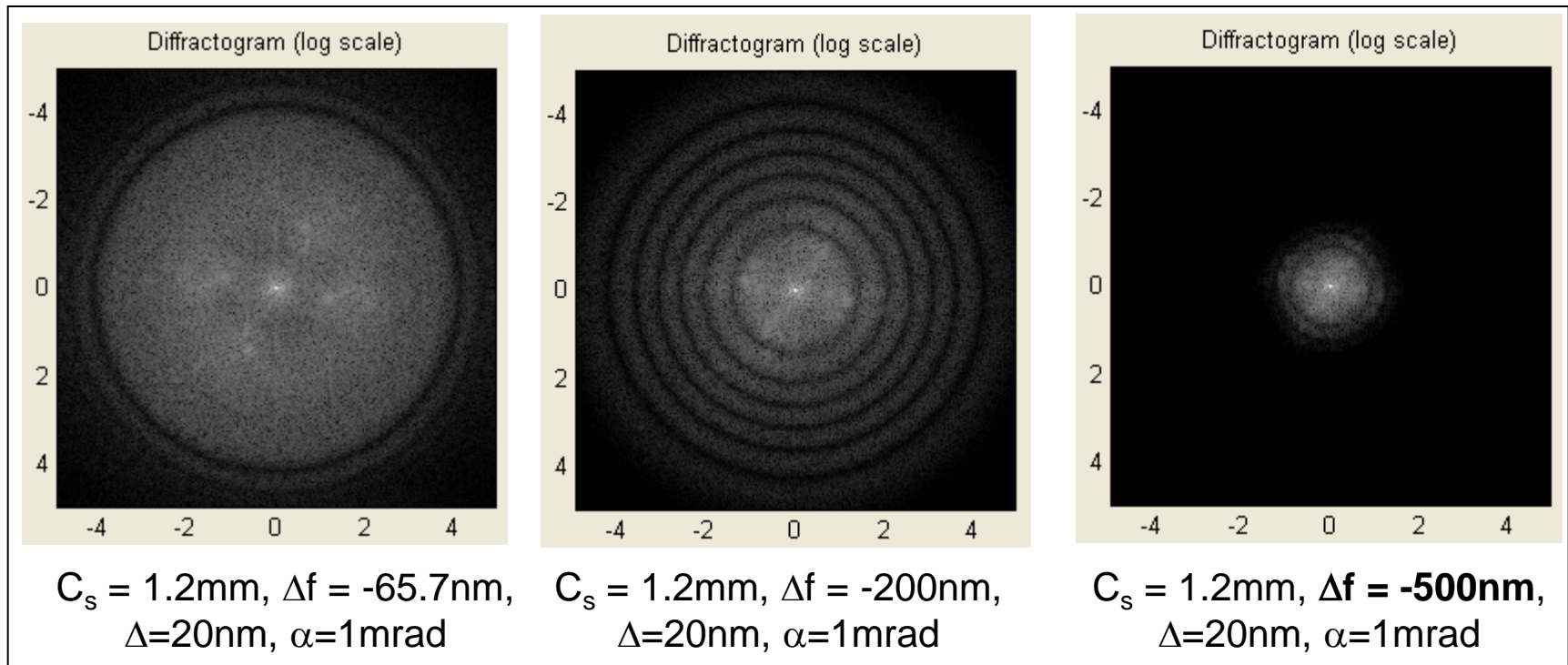
## Parameters describing partial coherence

Spatial: illumination semi-convergence  $\alpha$

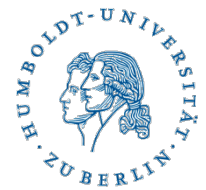
Temporal: focal spread  $\Delta = C_c \sqrt{\frac{\Delta E^2}{E^2} + 2 \frac{\Delta I^2}{I^2}}$

## Effect of Partial Coherence in TEM

While coherent aberrations mingle amplitude and phase information in the images, partial coherence destroys information within the image all together.



Finite values of  $\Delta$  and  $\alpha$  are a result of limited (partial) **temporal (longitudinal)** and **spatial (transversal)** coherence as well as chromatic aberrations.



## The Transfer Cross Coefficient (TCC)

$$I(\vec{r}) = \text{FT}^{-1} \left[ \int \Psi_0(\vec{q} + \vec{q}') T(\vec{q} + \vec{q}', \vec{q}') \Psi_0^*(\vec{q}') d^2 \vec{q}' \right]$$

Transmission cross coefficient (TCC)

$$TCC(q + q', q') = T_{\Delta}(q + q', q') T_s(q + q', q') \times \exp(-i[\chi(q + q') - \chi(q')])$$

Temp. Coherence:  $T_{\Delta}(q + q', q') = \exp \left( -2(\pi \Delta_f)^2 \left[ \frac{\delta \chi(q + q')}{\delta \Delta f} - \frac{\delta \chi(q')}{\delta \Delta f} \right]^2 \right)$

Spatial Coherence:  $T_s(q + q', q') = \exp \left( - \left( \frac{\alpha}{2\lambda} \right)^2 \left[ \frac{\delta \chi(q + q')}{\delta(q + q')} - \frac{\delta \chi(q')}{\delta q'} \right]^2 \right)$

Coherent Transfer:  $\chi(q) = \pi \lambda \Delta f q^2 + 0.5 \pi \lambda^3 C_s q^4 + \dots$

## The quasi-coherent approximation

Spatial Coherence Envelope Function:  $E_s(q) = \exp \left( - \left( \frac{\alpha}{2\lambda} \frac{\delta\chi(q)}{\delta q} \right)^2 \right)$

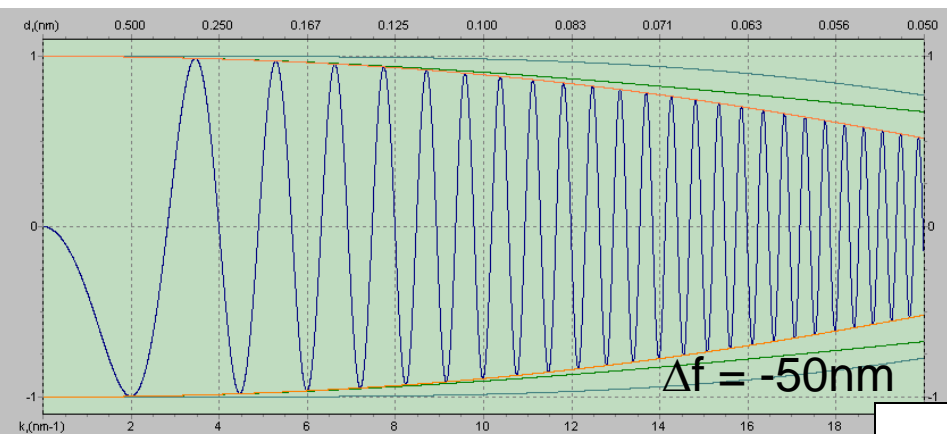
Temporal Coherence Envelope Function:  $E_\Delta(q) = \exp \left( -2(\pi\Delta_f)^2 \left[ \frac{\delta\chi(q')}{\delta\Delta f} \right]^2 \right)$

$$I(\vec{r}) = \left| \text{FT}^{-1} [\Psi_0(\vec{q}) \exp(-i\chi(q)) E_\Delta(q) E_s(q)] \right|^2$$

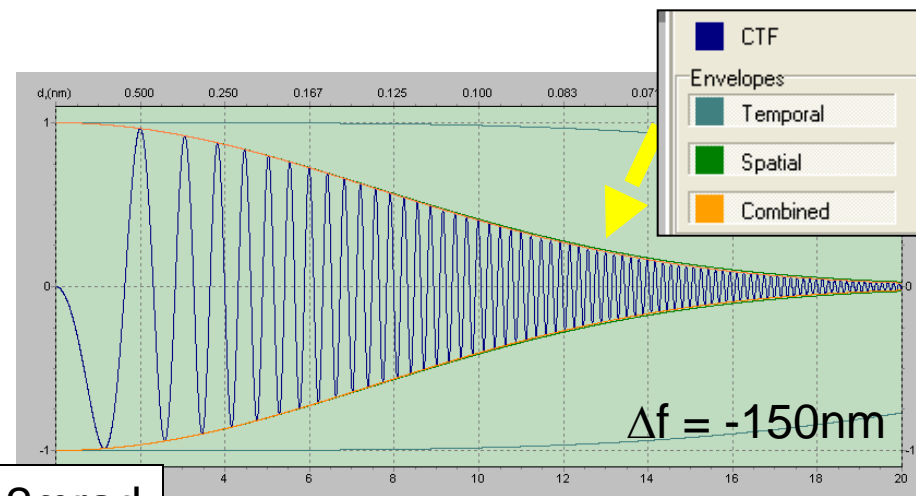
Problem: Multiplying the complex wave function with an envelope cuts away electrons!  
(which is unphysical)

Will assume:

1. (dispersion free) monochromator: energy width 90meV  $\Rightarrow$  neglect  $T_\Delta(q+q',q')$
2.  $C_s$ -corrector  $\Rightarrow \chi(q) = \pi \cdot \lambda \cdot \Delta f \cdot q^2$



$\alpha = 0.2\text{mrad}$

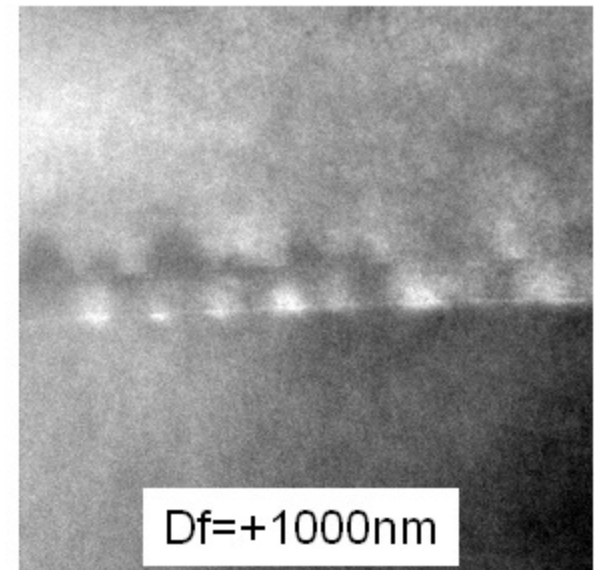
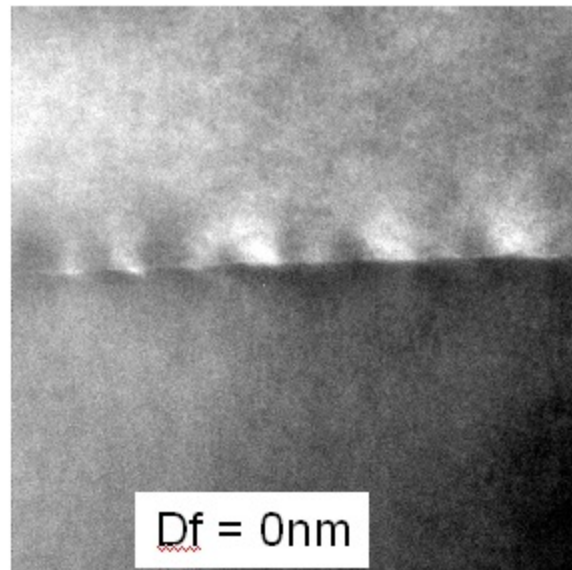
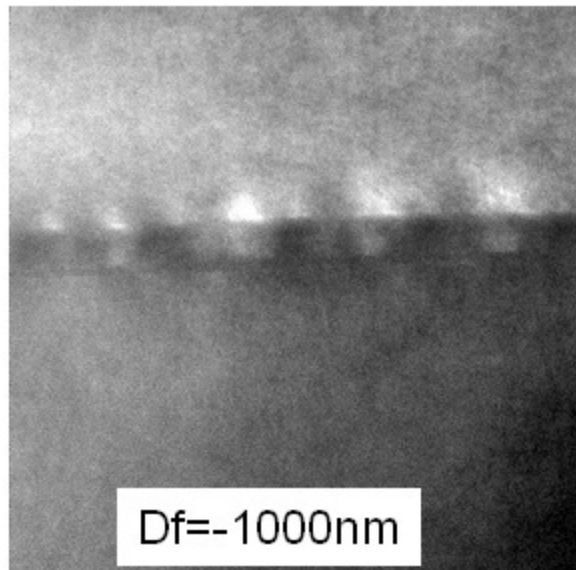




## Flux preservation important at large $\Delta f$

The **TCC** correctly predicts the presence of  
**DF images in defocused BF images**

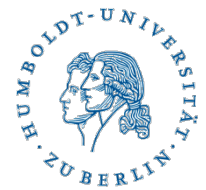
(in the quasi-coherent approximation these electrons would be missing)



Experimental BF images of a  $\Sigma 5$  grain boundary in  $\text{SrTiO}_3$ .  
Large Objective aperture, relatively large convergence angle.

⇒ see C.T. Koch, *A flux-preserving non-linear inline holography reconstruction algorithm for partially coherent electrons*, Ultramicroscopy 108 (2008) 141–150 for details



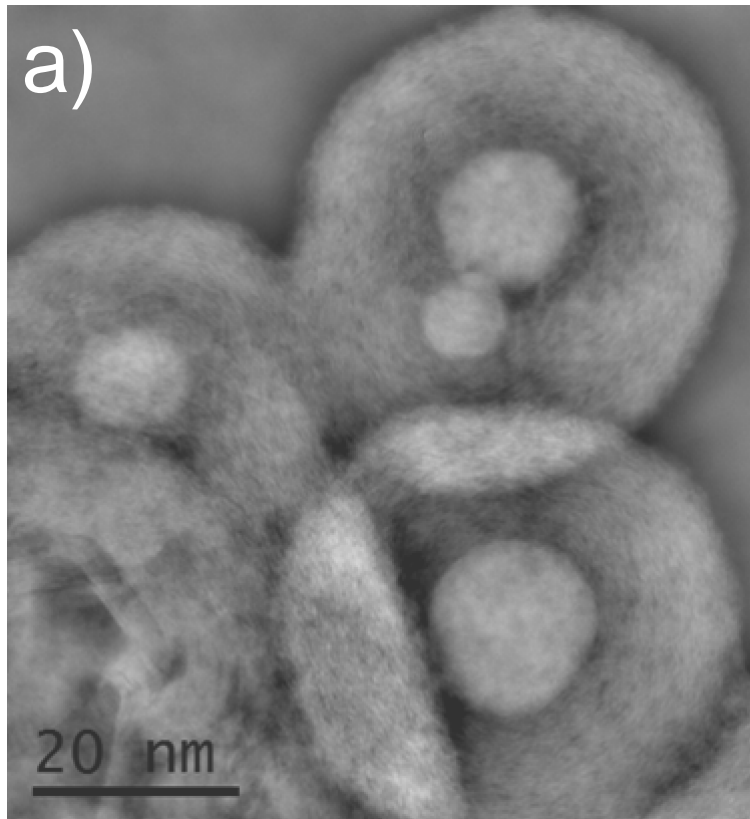


## Towards Full-Resolution Inline Holography (FRIH)

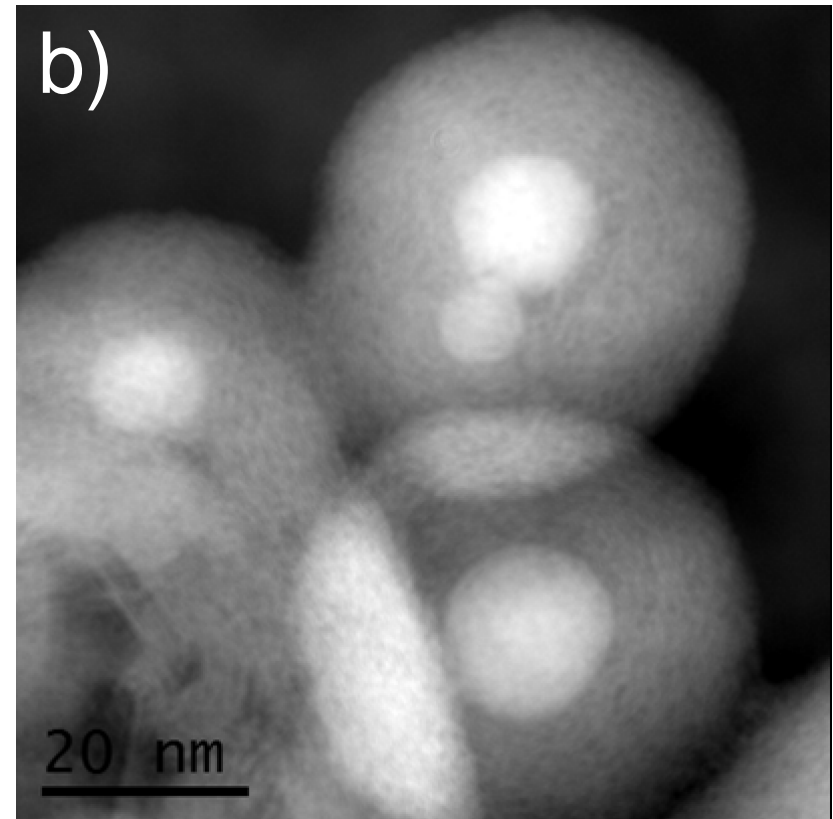
Features of the FRWR (full-resolution wave reconstruction) software:

- Non-linear defocus increments
- Fully automated acquisition in DM
- Setup of reconstruction in DM
- Compensation of distortions induced by changes in objective lens current
- Phase prediction - applies a variant of the TIE with  $(I^{\text{exp}} - I^{\text{sim}})/df$  as input
- Flux-preserving model for partial spatial coherence
- Fitting of incoherent background (mimics incoherent scattering)
- **Different regularization functions for ,improving‘ low spatial frequencies**

## Regularization by, e.g. Gradient Flipping



Conventional reconstruction algorithm

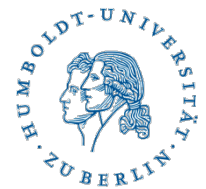


Regularized reconstruction

Further details in the following 3 papers:

- A. Parvizi, W. Van den Broek, CTK, „Recovering low spatial frequencies in wavefront sensing based on intensity measurements “Advanced Structural and Chemical Imaging (2016) accepted
- A. Parvizi, W. Van den Broek, CTK, „The gradient flipping algorithm: introducing non-convex constraints in wavefront reconstructions with the transport of intensity equation“, Optics Express (2016) accepted
- C. Ozsoy Keskinbora et al. „Full-resolution high-contrast imaging of phase objects by gradient-flipping assisted full-resolution wave reconstruction“ (to be submitted)





## How to apply Gradient Flipping (GF)

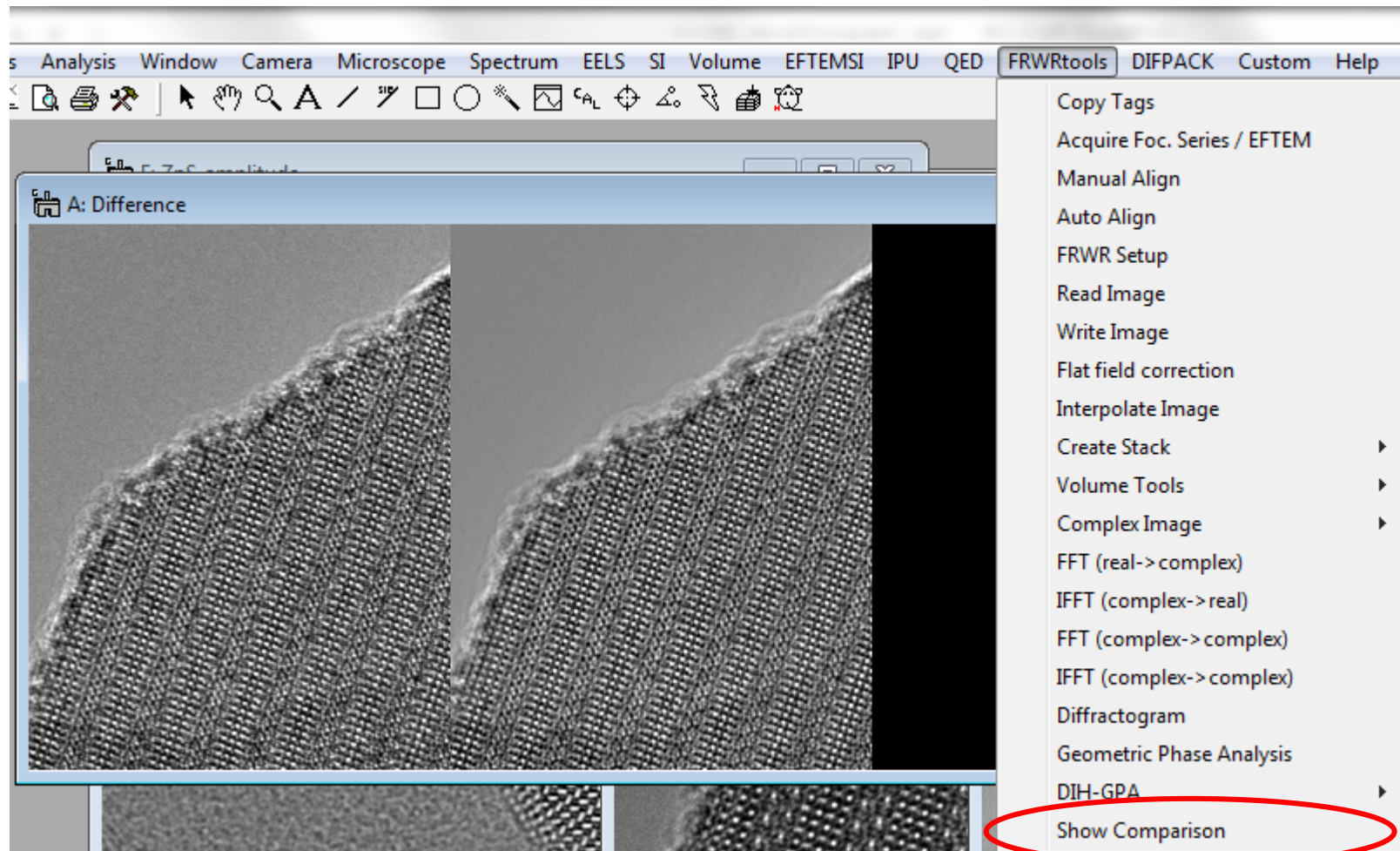
Section of the control file:

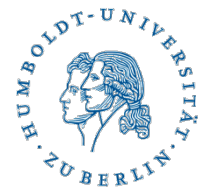
```

#####
% Gradient flipping (GF):
apply GF: 1          Turn GF on/off
GF flipping frequency: 3  Apply GF every 3rd iteration
GF beta: 0.85         Mixing fraction of flipped & unflipped phase
GF signal fraction: 0.92 Initial value for fraction of pixels to be flipped
GF adjust fraction: 1
GF min signal fraction: 0.8 Minimum fraction of pixels to be flipped
GF threshold: 0.01 % will be adjusted Initial value for the flipping threshold
GF length scale (nm): 1 Flipping only affects spatial frequencies below this
GF order: 1 % order=3 means flipping  $dx^2+dy^2$  and  $dx dy$ 
GF 3rd order fraction: 0 (experimental)
%% end of gradient flipping
#####

```

## Always verify the reconstruction





## Some Additional Parameters

```
#####
```

```
% config file for frwr3 reconstruction
```

```
print level:          2    Decide how much to print
save level:           2    Decide how much to save
```

```
shift trials:         1 % try for N best alignments at start
max shift:            12 % pixels between successive images
max shift global:     20 % pixels between any image and ref.
% first frame:        6  % define this image as reference
```

```
max defocus: 70000 % image with a larger defocus will be excluded
```

```
fit incoherent background: 1 0.1 0.9
```

Feedback parameter ( $< 0.5$ )

Parameters for alignment:

```
bandpass filter low: 0.04 Avoids low-frequency artefacts (e.g. moving bend contours)
bandpass filter high: 0.4 Avoids high frequency noise
exponent:            0.4  % 1=cross, 0.5=mutual, 0=phase corr.
Nedge:               50
Nedge for smoothing: 30
```