

# Transmission Electron Microscopy

*Part I: History and Introduction*

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## Literature

- Thorough theoretical foundation:  
J.C.H. Spence, "High-Resolution Electron Microscopy"  
Oxford University Press, Oxford (2003)
- Inelastic electron scattering:  
R.F. Egerton, "Electron Energy-Loss Spectroscopy in the Electron  
Microscope", Plenum Press, New York (1996)
- Overview of different methods with focus on applications:  
D.B. Williams and C.B. Carter "Transmission Electron Microscopy"  
Vol. 1-4, Plenum Press, New York (1996)
- Optics Background:  
M. Born and E. Wolf, "Principles of Optics",  
Pergamon Press, Oxford (2005)



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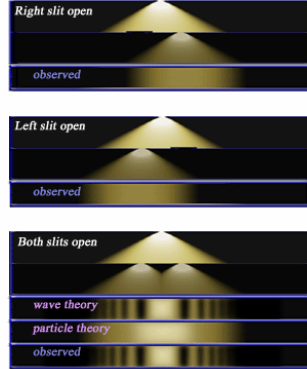
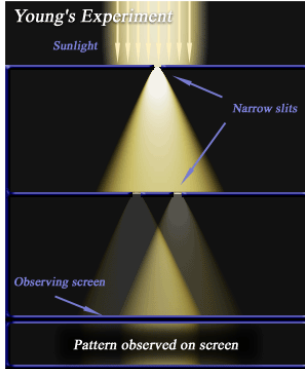
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# Light = Waves



Thomas Young  
(1773 – 1829)



Young's double slit experiment (1805) to solve the dispute of whether light is made of particles or waves

=> Discovery of interference of waves



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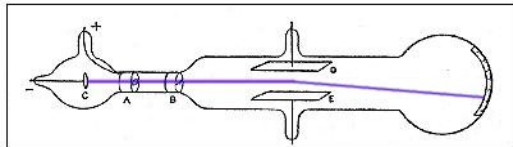
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# ELECTRON = charged PARTICLE



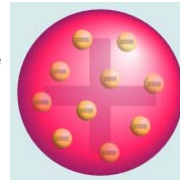
Sir Joseph John Thomson  
(1856 – 1940)  
Nobelpreis 1906



J.J. Thomson's 2nd Cathode ray experiment

1897: discovered "corpuscles", small particles with a charge/mass ratio more than 1000 times greater than that of protons, swarming in a sea of positive charge ("plum pudding model").

=> Discovery of the ELECTRON



Plum pudding model (1904)



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## ELECTRON = WAVE



Observed electrons forming diffraction patterns when passing through a thin film of metal.

=> Discovery of WAVE-like nature of the electron

Sir George Paget Thomson  
(1892 – 1975)  
Nobel Prize: 1937  
(shared with C.J. Davison)



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## ELECTRON = PARTICLE & WAVE



De Broglie's doctoral thesis (1924):

Application of the idea of particle – wave dualism (only known for photons up to then) for any kind of matter.

=> Matter Waves

$$\lambda = \frac{h}{p} = \frac{h}{mv}$$

Louis-Victor Pierre Raymond de Broglie  
(1892 - 1987)  
Nobel prize: 1929

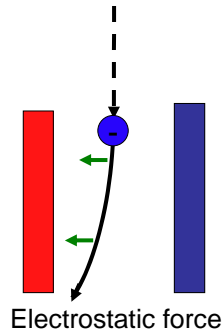


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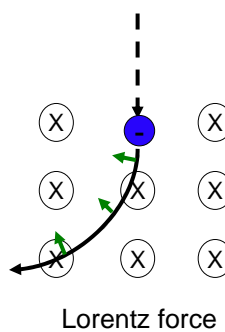


## Electrons in electric and magnetic fields



Electrostatic force

$$F=qE$$



Lorentz force

$$F=qv \otimes B$$

Use these as the only optical elements ( $q=-e$ )



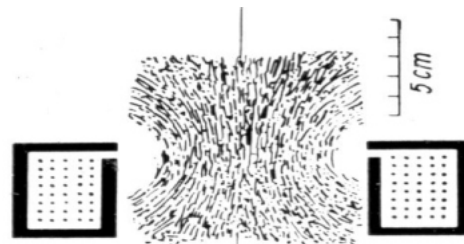
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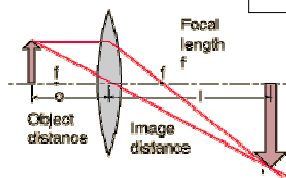
## Electron Optics

**Hans Busch**  
(1884 - 1973)  
1949 Ehrenmitglied der  
"Deutsche Gesellschaft für  
Elektronenmikroskopie"  
(DGE) ernannt und mit  
dem Titel  
"Vater der Elektronenoptik"  
geehrt



Electrons are focused by a short magnetic coil

Electron optics was born in 1927, when Hans Busch showed that the elementary lens equation is applicable to short magnetic coils.



$$\frac{1}{d_{object}} + \frac{1}{d_{image}} = \frac{1}{f} \quad M = \frac{d_{image}}{d_{object}}$$



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## Electron Microscope



Ernst Ruska  
(1906-1988)  
Nobel prize: 1986



FIG. 11. First serially produced electron microscope, by Siemens. General view (von Borries and Ruska, 1939).



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## History of the transmission electron microscope (TEM)

- 1897: Joseph John Thompson: Discovers the electron
- 1924: Louis de Broglie: Wavelength of electron  $\lambda=h/mv$
- 1926: H. Busch: Lenses for electrons
- 1931: Ruska & Knoll: 1st TEM
- 1938: M. von Ardenne: 1st STEM
- 1945: 1nm resolution
- 1986: E. Ruska: Nobel Price
- 1997: O. Krivanek: 1<sup>st</sup> Aberration corrector for STEM
- 1998: M. Haider: 1<sup>st</sup> Aberration corrector for TEM
- ... (more work to be done) ...



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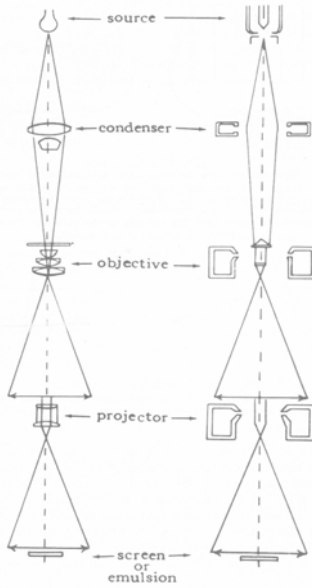
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## The electron microscope

Light  
Microscope

Electron  
Microscope



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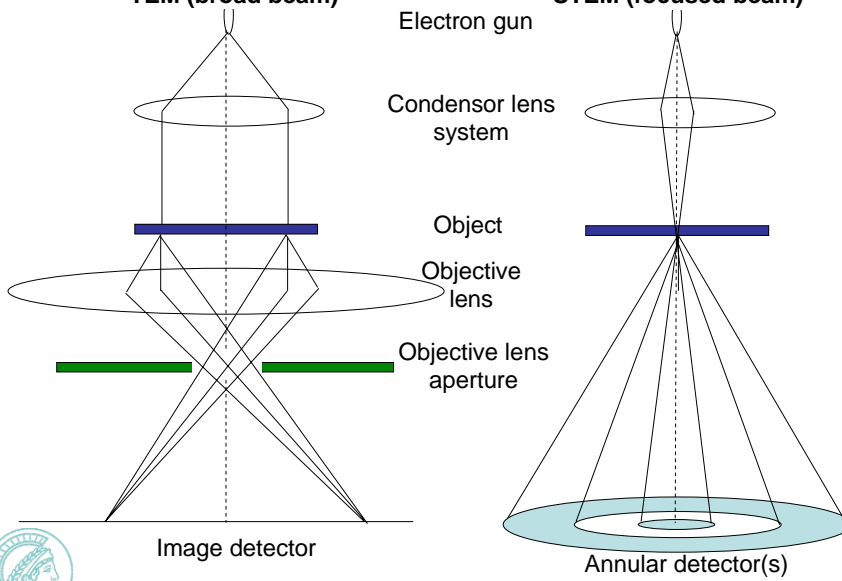
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## Transmission Electron Microscopy

TEM (broad beam)

STEM (focused beam)

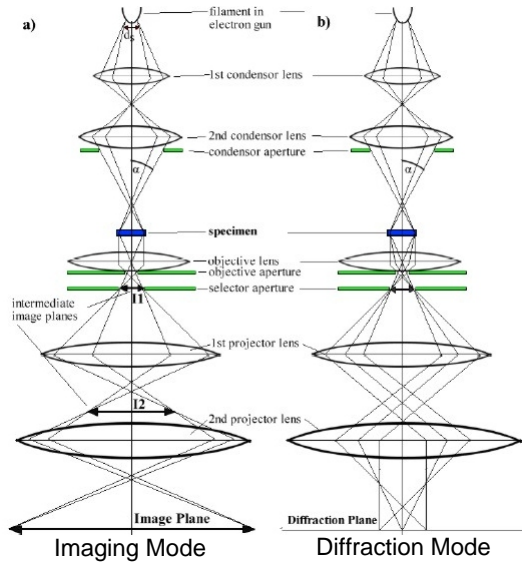


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## (conventional) TEM

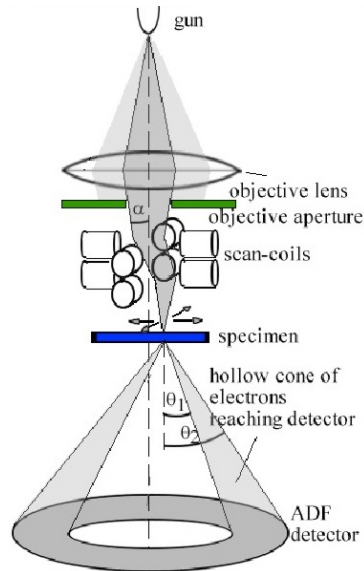


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## Scanning TEM (STEM)



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## Abbreviations

- HEED: high energy electron diffraction
- LEEM: low energy electron microscope (many variations with special names)
- EELS: electron energy loss spectroscopy
- EDXS: energy dispersive X-ray spectroscopy
- SEM: scanning electron microscope (electrons do NOT normally transmit the sample)



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## Themen im ersten Semester

- Physik der Elektronenstreuung und –beugung, Streufaktoren, Bethe-Mott Formel
- Reziproker Raum, Fourier Transformation, Kinematische Beugungstheorie, Strukturfaktoren, Indizierung von Beugungsmustern
- Phasenproblem und Methoden zu dessen Lösung
- Kohärente Abbildung im TEM, Effekt von Linsenfehlern, kohärente Elektronenquellen
- Aufbau eines Durchstrahlungselektronenmikroskops, Elektronenlinsen, Detektoren
- Holographie (off-axis und inline)
- Inelastische Elektronenstreuung (EELS, ELNES, EXELFS), Methoden zu deren Berechnung
- TEM Probenpräparation für Materialwissenschaftliche und Biologische Anwendungen



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## Themen im zweiten Semester

- Dynamische Streutheorie und deren Berechnung (Blochwellen, Multislice)
- Konvergente Elektronenbeugung (CBED), HOLZ-Linien, QCBED
- Thermisch diffuse Streuung, Kikuchi- und Kossellinien
- Kontrast von Kristallstrukturdefekten (Versetzungen, Stapelfehlern, etc) in „weak beam“ Bildern und LACBED
- Tomographie, Beugungstomographie
- Mathematische Methoden zur Lösung des Inversionsproblems der dynamischen Elektronenbeugung



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## A Selection of Microscopes at the MPI für Metallforschung



Zeiss 912: 200kV,  
in-column filter



JEOL 4000 EX/FX  
400kV, 1.7Å



JEOL ARM,  
1250kV, 1.1Å



VG HB501-STEM  
100kV, EELS/EDXS  
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Zeiss Libra 200FE+MC  
200kV, in column filter  
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Zeiss SESAM  
200kV, <0.1eV, <1Å  
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